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October 31, 2017

The Honorable Jocelyn G. Boyd
Chief Clerk/Administrator
Public Service Commission of South Carolina
101 Executive Center Drive, Suite 100
Columbia, SC 29201

Re: Application Regarding the Acquisition of Progress Energy, Incorporated by Duke Energy Corporation and Merger of Progress Energy Carolinas, Incorporated and Duke Energy Carolinas, LLC
Docket No. 2011-158-E

Dear Mrs. Boyd:

Pursuant to Regulatory Condition 3.10, on a quarterly basis, Duke Energy Carolinas, LLC ("DEC") and Duke Energy Progress, LLC ("DEP") must file (a) a list of all active dockets at the Federal Energy Regulatory Commission ("FERC") in which DEC, DEP, Duke Energy Corporation ("Duke Energy"), Duke Energy Business Services, LLC ("DEBS"), or Progress Energy Service Company, LLC ("PESC") is a party with new information in each quarterly filing tracked; and (b) a list of the periodic reports filed by DEC, DEP, Duke Energy, DEBS or PESC with the FERC.

Attachment A to this letter lists all active FERC dockets as of September 30, 2017, to which DEC, DEP, Duke Energy, or DEBS is a party. Attachment B to this letter lists the periodic reports filed by DEC, DEP, Duke Energy, and DEBS with the FERC during the second quarter of 2017. Black-line versions of Attachments A and B indicating the changes since the last filing are also attached. The referenced dockets and reports can be accessed from the FERC eLibrary system at <http://elibrary.ferc.gov>.

Please feel free to contact me should there be any questions or issues.

Sincerely,

Heather Shirley Smith

Enclosures

cc: Ms. Nanette S. Edwards, Office of Regulatory Staff
Ms. Dawn Hipp, Office of Regulatory Staff
Mr. Jeffery Nelson, Office of Regulatory Staff
Ms. Shannon Bowyer Hudson, Office of Regulatory Staff
Mr. Michael Seaman-Huynh, Office of Regulatory Staff

Attachment A

Open FERC Dockets – Third Quarter 2017

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---|--|--|--|
| RM01-8; RM10-12; RM12-3; ER02-2001 | Duke Energy, DEC, DEP | Notice seeking comments on proposed revisions to EQR reporting requirements, issued 9/22/16. On 11/7/16 FERC extended due date for complying with revised EQR reporting requirements from Q4 2016 to Q1 2017 (due 4/30/17). On 12/5/16 FERC issued its order on rehearing and clarification of its 6/16/16 order on EQR reporting requirements. On 12/6/16 Duke Energy Corp. filed comments on behalf of its subsidiaries that file EQR, supplementing EEI comments: opposing the addition of transaction data for ancillary services provided by transmission providers under their OATTs and opposing the approach to the tariff reference field. During fourth quarter 2016, EEI and several other parties filed comments. On 3/27/17 FERC extended due date for complying with revised EQR reporting requirements from Q1 2017 (due 4/30/17) until further notice, due to technical implementation issues. On 3/29/17 FERC scheduled an EQR Users Group meeting to be held 5/16/17 to discuss potential improvements to EQR filing process. On 5/8/17 FERC issued agenda for 5/16/17 EQR Users Group meeting. During third quarter 2017, FERC granted extensions for second quarter 2017 EQR reports to companies that requested an extension. | Q3 2017 |
| RM05-5 | DEC, DEP | Final Rule, Order No. 676-H on NAESB Standards for Business Practices and Communication Protocols for Public Utilities. On 6/8/16 EEI requested extension of time for utilities to meet deadlines set by Order No. 676-H. On 6/13/16 DEC and DEP expressed support for EEI motion. During second quarter 2016, many other parties filed comments. New NOPR to incorporate by reference the latest version (Version 003.1) of certain Standards for Business Practices and Communication Protocols adopted by the Wholesale Electric Quadrant of NAESB was issued 7/21/16. During third quarter | Q3 2017 |

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| | | 2016, 5 parties submitted comments. On 3/27/17 NAESB filed an informational report on standards development by the Wholesale Electric Quadrant corresponding to NERC efforts to retire Reliability Standard BAL-004-0 Time Error Correction. No further activity. | |
| RM16-17 | Duke Energy | Notice of Proposed Rulemaking (NOPR) to collect data for analytics and surveillance purposes from MBR sellers and entities trading virtual products or holding financial transmission rights, issued 7/21/16. On 9/16/16 Duke Energy submitted comments to supplement those of EEI, focusing on the path to create an implementable Data Dictionary and recommending a supplemental NOPR to address software development time and costs. During third quarter 2016, many parties submitted comments, and FERC scheduled a technical workshop 8/11/16 on the draft Data Dictionary. On 10/14/16 PSEG intervened out-of-time. On 11/2/16 FERC scheduled a second technical workshop to be held 12/7/16. No further activity. | Q3 2017 |
| RM16-23 | Duke Energy, DEC, DEP | NOPR to revise regulations to remove barriers to the participation of electric storage resources and distributed energy resource aggregations in RTO/ISO capacity, energy and ancillary service markets, issued 11/16/16. On 2/13/17 Duke Energy filed comments on behalf of its affiliates, urging FERC to treat energy storage resources in a comparable manner to current resources. During first quarter 2017, many parties intervened and filed comments. On 4/17/17 Harvard Environmental Policy Initiative filed comments. During third quarter 2017, 2 parties filed additional comments. | Q3 2017 |
| RM17-4 | DEC, DEP | Notice of Inquiry (NOI) inviting comments on changes to policy for establishing length of original and new license terms for hydroelectric projects, issued 11/25/16. On 3/20/17, DEC and DEP filed comments | Q3 2017 |

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Open FERC Dockets – Third Quarter 2017

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|----------------------|---|---|---|
| | | supporting longer license terms and answering specific questions posed in the NOI. During first quarter 2017, many others filed comments. On 6/21/17 US Senator M. Cantwell filed comments. No further activity. | |
| RM17-8 | Duke Energy | NOPR on reform of large generator interconnection procedures and agreements, issued 12/15/16. During first and second quarters 2017, many parties filed comments, including Duke Energy on 4/13/17. During third quarter 2017, 2 parties intervened out-of-time. | Q3 2017 |
| AC13-170 | DEP | DEP filed request to use Account 182.2 “Unrecovered Plant” on 9/18/13. On 10/2/13 NCEMPA challenged the request. FERC allowed comments until 10/28/13. NCEMPA, Fayetteville PWC and NCEMC all filed protests by 10/28/13. DEP responded to the protests on 11/13/13. On 10/20/14 DEP filed a motion to hold application in abeyance. On 10/24/14 DEP filed settlement agreement resolving all issues. On 11/13/14 FERC Trial Staff filed comments on the settlement, and on 11/21/14 DEP filed reply comments. No further activity. | Q3 2017 |
| AD08-7 | Progress Energy | Notice of Inquiry (NOI) re methodology for the assessment of electric utility annual charges: FERC issued NOI on 4/21/08; Southern Companies and Progress Energy filed comments on 5/28/08; no activity since third quarter 2008. | Q3 2017 |
| AD14-8 | Duke Energy | Notice of Technical Conference on Winter 2013-14 Operations and Market Performance in RTOs/ISOs, issued 2/21/14. On 5/16/14 Duke Energy intervened. Many parties submitted comments from May through September 2014. On 11/20/14 FERC initiated a review of how each RTO/ISO is addressing fuel assurance, which has a direct and immediate impact on generator performance and system reliability, and directed RTOs/ISOs to file reports on the status of | 32017 |

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| | | their efforts to address fuel assurance issues within 90 days. On 12/19/14 INGA filed comments. On 2/18/15 the RTOs/ISOs filed fuel assurance reports. During first and second quarters of 2015 several parties filed comments on those reports. No further activity. | |
| AD14-15 | DEC, DEP | Common Performance Metrics for regulated utilities operating outside RTOs/ISOs covering the period 2010-14, filed by DEC and DEP 10/27/15 in response to 8/17/15 request for information by the Office of Energy Market Regulation. During fourth quarter 2015 and first quarter 2016, other regulated utilities filed similar reports. On 8/12/16 FERC Staff filed its report on performance metrics for RTOs, ISOs and individual utilities for 2010-14. On 10/18/16 FERC issued a revised report and also an errata notice correcting Table 9 (re Duke Energy information on Special Protection Systems). On 8/3/17 FERC Staff filed 2016 Common Metrics Report. On 8/3/17 FERC issued an Errata Notice with corrections to the report filed 8/12/16, as revised 10/18/16. | Q3 2017 |
| AD15-5 | Duke Energy | Workshop on Available Transfer Capability standards for wholesale electric transmission services, held on 4/21/15. On 5/20/15 Duke Energy responded to comments raised, during the workshop, that questioned the disparity of ATCs calculated by DEC and DEP for the same time period and path. Several other parties filed comments during second quarter 2015. No further activity. | Q3 2017 |
| AD16-16 | Duke Energy | Notice of Technical Conference on PURPA implementation, to be held 6/29/16, issued 2/9/16. On 6/7/16 Duke Energy filed comments and speaker materials of Kendal Bowman, urging FERC to return to a needs-based implementation of PURPA, with rates established through actual incremental bona fide offers in a nondiscriminatory process. During second quarter 2016, others filed comments and | Q3 2017 |

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| | | speaker materials. On 9/6/16 FERC invited post-conference comments by 11/7/16. During third quarter 2016, one party filed comments, and NCSEA and NC Clean Energy Business Alliance intervened jointly. On 11/7/16 Duke Energy Corp. filed comments urging FERC to implement PURPA in a manner that reflects all of the goals in the statute, the principles of cooperative federalism set forth in PURPA, and decades of judicial and Commission precedents; and encouraged FERC not to intrude into the States' PURPA rate-making jurisdiction in order to prioritize a single subordinate element of §210(a), e.g. mandating long-term contracts. During fourth quarter 2016 many other parties filed comments. On 1/5/17 NARUC filed a motion to append an addendum to its 11/7/16 comments. During third quarter 2017, Xcel Energy supplemented its 11/7/16 post technical conference comments; Alliant Energy and IECA filed their testimony before the US House Subcommittee on Energy. | |
| AD16-18 | Duke Energy | Competitive Transmission Development – Technical Conference. On 5/31/16 Duke Energy filed comments prior to the 6/27-28/16 technical conference, stating that it's far too soon after implementation of Order No. 1000 to embark on reforms. During second quarter 2016, many other parties filed comments and speaker materials. On 8/15/16 FERC granted an extension of time until 10/3/16 to file post-conference comments. During third quarter 2016, a few parties intervened or filed comments. On 10/3/16 Duke Energy Corp. filed comments stating that Duke has no objection to FERC issuing policy guidance on cost containment mechanisms proposed in competitive transmission processes as warranted and requested, but does not support the issuance of a rulemaking at this time or the sort of guidance that triggers new processes where existing processes are | Q3 2017 |

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| | | meeting the goals of Order No. 1000. During fourth quarter 2016 many other parties filed comments. No further activity. | |
| AD16-20 | Duke Energy | Data requests to RTOs/ISOs on Electric Storage Participation in Regions with Organized Markets, issued 4/11/16. On 6/6/16 Duke Energy submitted comments expressing support for FERC efforts to better understand RTO/ISO market rules' impact on storage technology and participation of energy storage resources in wholesale markets. During second quarter 2016, the RTOs/ISOs responded to the data request, and many parties filed comments. On 11/17/16 FERC issued a NOPR on electric storage participation in RTO/ISO markets. On 12/20/16 FERC extended the deadline for comments to 2/13/17. During first quarter 2017, many parties filed comments. On 9/27/17 Advanced Energy Management Alliance filed supplemental comments. | Q3 2017 |
| AD17-11 | Duke Energy | Notice of Technical Conference re State Policies and Wholesale Markets Operated by ISO-NE, NYISO and PJM, issued 3/3/17. Technical conference was held 5/1/17 and 5/2/17. During second quarter 2017 hundreds of parties filed comments before and after the technical conference, including Duke Energy on 6/22/17. Per 6/30/17 notice granting extension, reply comments are due 7/7/17. During third quarter 2017, many parties intervened and filed comments. | Q3 2017 |
| CP13-534 | DEC | East Tennessee Natural Gas, LLC application for a certificate of public convenience and necessity for its proposed Kingsport Expansion Project, filed 8/14/13. DEC intervened on 9/13/13. FERC requested additional information on 10/3/13, and East Tennessee responded on 10/22/13. On 11/25/13 FERC requested additional information, and East Tennessee responded on 12/10/13. On 1/17/14 East Tennessee filed responses to 1/13/14 FERC Staff data request. | Q3 2017 |

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| | | In second and third quarters 2014 East Tennessee filed status reports and supplemental information. In third quarter, FERC approved variance and other requests for modifications and issued an environmental compliance inspection report. On 9/17/14 East Tennessee filed a status report and a request to place project into service by 10/15/14. On 10/14/14 FERC approved request to place Kingsport Expansion Project into service. In fourth quarter 2014 and first, second and third quarters of 2015 East Tennessee filed various status reports. On 10/20/15 East Tennessee filed its quarterly state report: all construction activity has been completed. On 1/6/16 and 4/12/16 East Tennessee advised that it continued to monitor right-of-way. During third quarter 2016, East Tennessee filed its quarterly status report on right-of-way monitoring. During fourth quarter 2016, East Tennessee filed its quarterly status report and FERC issued its Environmental Inspection Report finding no problems and recommending no further inspections. During first quarter 2017, East Tennessee filed its quarterly status report. No further activity. | |
| CP13-551 | DEC, DEP | Transcontinental Gas Pipe Line Co. (Transco) application for a certificate of public convenience and necessity to construct and operate the Leidy Southeast Project, to be in service by 12/1/15, filed 9/28/13. DEC and DEP intervened on 10/23/13. During the fourth quarter of 2013 and the first two quarters of 2014, Transco provided supplemental information and responded to Commission requests for additional information. On 6/12/14 FERC issued its schedule for environmental review of the project. During third quarter 2014, Transco responded to data requests, and many parties filed comments. On 12/18/14 FERC issued certificate and approved abandonment of facilities. In fourth quarter 2014 Transco filed supplemental | Q3 2017 |

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| | | information and on 12/19/14 filed its acceptance of certificate. In first and quarters of 2015 Transco filed supplemental information and requests for variance. On 4/28/15 and 5/19/15 FERC granted Transco's requests to proceed with construction of certain facilities for the project. On 7/13/15 FERC granted Transco's request to use areas as additional temporary workspace. In third and fourth quarters 2015 and first quarter 2016, Transco filed various reports. On 12/30/15 and 12/31/15 FERC authorized commencement of service for facilities but required Transco to continue filing status reports until restoration activities are complete. On 3/3/16 FERC denied requests for rehearing of 12/18/14 order granting CPCN. During second and third quarters 2016, Transco filed various reports. During fourth quarter 2016, Transco filed status reports, supplemental information, and a variance request (that was granted); and FERC issued 2 environmental inspection reports and 1 construction inspection report. On 11/28/16 FERC granted the request to place the Skillman Loop in service. During first and second quarters 2017, Transco filed various reports and variance requests; during second quarter 2017, FERC approved request to maintain permanent storage yard in Monroe County, PA. On 7/13/17 Transco filed its quarterly status report. | |
| CP14-68 | Duke Energy, DEC, DEP, DEBS | Texas Eastern Transmission, LP abbreviated application for a certificate of public convenience and necessity (CPCN) for its proposed Ohio Pipeline Energy Network Project to deliver production from Utica and Marcellus Shale to Gulf Coast area markets, filed 1/31/14 and revised 3/7/14. Duke Energy intervened on behalf of DEC, DEP, DEBS and other utilities on 2/11/14. On 2/25/14 DEC and DEP also intervened separately. In second quarter 2014 Texas | Q3 2017 |

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|---------------|--|--|--|
| | | <p>Eastern responded to various FERC data requests. On 6/24/14 FERC issued its schedule for environmental review of the project, which was revised on 8/11/14. FERC issued environmental assessment 8/22/14, and during third quarter 2014 several parties filed comments. In fourth quarter 2014 Texas Eastern submitted various reports. On 12/2/14 FERC issued a certificate. On 12/5/14 Texas Eastern filed its acceptance of the certificate and subsequently on 12/15/14 and 12/22/14 submitted its implementation plan. In first and second quarters of 2015 Texas Eastern filed reports and submitted variance requests. On 4/16/15 FirstEnergy intervened out of time and requested a stay of construction authorized in Columbiana County, OH. On 4/24/15 Texas Eastern objected to the late intervention and the stay. On 4/30/15 FirstEnergy filed its answer and renewed its request for expedited action on its motion for stay. After FERC opened a new docket (RP15-971), FirstEnergy on 5/6/15 filed a motion for abeyance of action on prior motions in this docket. During third quarter 2015, Texas Eastern submitted various reports and requests for variance; FERC granted requests on 7/16/15, 8/4/15, 9/11/15, 9/15/15, 9/22/15 and 9/29/15. During fourth quarter 2015, Texas Eastern submitted various reports and supplemental information. On 11/17/15 FERC granted request to place in service the remaining OPEN facilities not included in prior in-service approvals. On 12/1/15 Texas Eastern filed notice of commencement of service on those remaining facilities. During first and second quarters 2016, Texas Eastern submitted reports on post in-service right-of-way monitoring. During third and fourth quarters 2016, Texas Eastern filed various reports and variance requests. During second quarter 2017, Texas Eastern filed quarterly status reports. On</p> | |

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| | | 9/5/17 Texas Eastern filed a variance request, which FERC granted 9/7/17. | |
| CP15-16 | DEC, DEP | Transco application for a CPCN for Hillabee Expansion Project, filed 11/18/14, and supplemented on 12/22/14 and 12/23/14. On 12/22/14 DEC and DEP intervened. During fourth quarter 2014 many other parties intervened or filed comments. During first quarter 2015 Transco filed supplemental information. On 3/13/15 FERC requested additional information within 30 days. On 4/10/15 Transco filed the requested information. During second quarter 2015 FERC requested additional information, which Transco filed, and various parties filed comments. On 5/13/15 FERC issued schedule for environmental review of the Southeast Market Pipelines Project, of which Transco's application is part. During third quarter 2015, Transco filed various reports including the Draft Environmental Impact Statement. During fourth quarter 2015, public meetings were held; comments were filed; FERC requested additional information; and Transco filed supplemental information including Final Environmental Impact Statement (EIS). On 2/2/16 FERC issued CPCN. On 3/2/16 Transco filed its acceptance of CPCN, and several parties filed requests for rehearing of 2/2/16 order. During first quarter 2016, Transco filed supplemental information. On 3/29/16 FERC granted rehearing for further consideration of its 2/2/16 order. During second quarter 2016, Transco filed supplemental information and various reports. On 5/24/16 FERC granted Transco's request to use certain contractor yards, and on 6/29/16 FERC granted variance request to use a temporary access road in Coosa County. During third quarter 2016, Transco filed supplemental information, various reports and notice of commencement of construction. On 9/7/16 FERC denied requests for | Q3 2017 |

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| | | clarification of its 2/2/16 order with respect to Transco accounting for fuel costs via fuel tracker filings. During fourth quarter 2016, Transco filed variance requests and various reports; and FERC issued an Environmental Inspection Report. On 3/27/17 Sierra Club filed comments. During first and second quarters 2017, Transco filed variance requests and various reports, and FERC responded to requests. On 5/18/17 Sierra Club asked FERC to deny requests until DC Circuit Court rules on pending matter. During third quarter 2017, Transco filed status reports and supplemental information. On 9/27/17 FERC issued Draft Supplemental EIS. | |
| CP15-29 | DEC, DEP | Transco's application for a CPCN for Gulf Trace Expansion Project, filed 12/15/14. On 1/12/15 DEC and DEP intervened. During first quarter 2015, Transco supplemented its application, and other parties intervened. On 3/4/15 and 3/23/15 FERC requested additional information. In second quarter 2015 Transco responded to FERC's data requests and filed supplemental information on the project. On 9/14/15 Transco filed comments and clarifications on draft Environmental Assessment. On 10/21/15 FERC issued the CPCN, with conditions including completion of construction and placement into service within 2 years. On 11/3/15 Transco accepted the CPCN. On 11/20/15 Transco requested clarification or rehearing on air emissions modeling requirements. On 12/14/15 FERC granted rehearing for further consideration. During first quarter 2016, Transco commenced construction of the project and filed various status reports. During second quarter 2016, Transco filed supplemental information and various reports. On 5/16/16 and 6/3/16 FERC granted Transco's requests to use temporary work space to stockpile excavated soil and to install piping. On 6/16/16 FERC | Q3 2017 |

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Open FERC Dockets – Third Quarter 2017

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| | | dismissed Transco's rehearing request as moot. During third quarter 2016, Transco filed various reports and variance requests. During fourth quarter 2016, Transco filed status reports, and FERC issued Environmental and Construction Inspection Reports and granted the request to place the Duralde Meter Station facilities into service. During first and second quarters 2017, Transco filed status reports, supplemental information and various requests; and FERC responded to requests and issued an Environmental Inspection Report. During third quarter 2017, Transco filed various reports. | |
| CP15-89 | DEC, DEP | Transco's application for an abbreviated CPCN for Garden State Expansion Project, filed 2/18/15. On 3/23/15 DEC and DEP intervened. During first quarter 2015, Transco supplemented its application, and other parties intervened or filed comments. During second quarter 2015, Transco responded to FERC's 4/7/15, 4/22/15 and 5/13/15 data requests, and many parties filed comments. During third and fourth quarters 2015, Transco filed supplemental information, and additional parties (including many individuals) intervened and filed comments. During first quarter 2016, many more parties intervened and filed comments. On 2/18/16 Transco filed draft implementation plan. On 3/28/16 Transco renewed its request for prompt approval of CPCN. On 4/7/16 FERC issued certificate. On 4/11/16 Transco accepted certificate. During second quarter 2016 several parties filed requests for rehearing/stay of 4/7/16 order, and Transco filed various reports and implementation plans. On 6/8/16 FERC denied the stay but granted rehearing for further consideration. On 7/1/16 Transco filed a request for partial notice to proceed with construction. During third quarter 2016, various parties filed comments opposing that request, and Transco filed various | Q3 2017 |

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| | | reports. On 11/9/16 FERC denied requests for rehearing. During fourth quarter 2016, Transco filed status reports. On 1/6/17 the town of Bordentown, NJ filed a petition for review with the 3d Cir. Court of Appeals. During first quarter 2017, Transco filed status reports, requests to proceed and variance requests. On 3/22/17 Bordentown protested the request for a notice to proceed. On 3/24/17 FERC approved the 3/21/17 request to construct the Chesterfield Meter Station and related equipment. On 3/29/17 Bordentown filed a motion for stay of the 3/24/17 notice to proceed. During second quarter 2017, Transco filed status reports and variance requests. On 4/3/17 Transco filed answer to 3/29/17 motion to stay. During third quarter 2017, Transco filed various reports, supplemental information and variance requests. On 9/8/17 FERC allowed Transco to commence service of Phase I facilities. | |
| CP15-90 | DEC, DEP | Texas Eastern's abbreviated application for a CPCN for Gulf Markets Expansion Project, filed 2/19/15. On 3/23/15 DEC and DEP intervened. During first quarter 2015, Texas Eastern supplemented its application, and other parties intervened or filed comments. During second quarter 2015, Texas Eastern responded to FERC data requests and provided supplemental information; several parties filed comments. During third quarter 2015, Texas Eastern filed an Environmental Assessment Report and supplemental information. On 8/19/15 FERC issued a data request, which Texas Eastern responded to on 8/21/15. On 12/17/15 FERC issued order granting CPCN, subject to conditions including completion of construction and placement into service within 2 years. On 1/7/16 Texas Eastern accepted CPCN. On 1/19/16 Texas Eastern filed request for rehearing or clarification of 12/17/15 order. On 2/16/16 FERC granted | Q3 2017 |

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| | | rehearing for further consideration of its 12/17/15 order. During first quarter 2016, Texas Eastern commenced construction and filed various status reports. On 4/8/16 and 4/29/16 Texas Eastern requested authorization to commence construction of additional facilities, which FERC granted on 4/11/16 and 5/3/16. On 5/20/16 FERC denied rehearing and granted clarification. During second and third quarters 2016, Texas Eastern filed various reports, supplemental information, various requests and requests to commence construction. On 9/14/16 and 9/26/16 Texas Eastern requested authorization to begin service at certain facilities, both of which FERC granted. On 10/7/16 Texas Eastern advised FERC of commencement of service for Phase 1 facilities. During fourth quarter 2016, Texas Eastern also filed supplemental information and status reports; and FERC issued Environmental Inspection Reports. During first and second quarters 2017, Texas Eastern filed various reports. During third quarter, FERC granted Texas Eastern's request to place into service all project facilities, and Texas Eastern filed its final status report, stating that all construction and restoration activities have been completed. (Closed 9/30/17) | |
| CP15-117 | DEC, DEP | Transco's application for an abbreviated CPCN for the Dalton Expansion Project, filed 4/1/15. On 4/22/15 DEC and DEP intervened. On 4/22/15 NCUC and NYPSC filed comments and requested an evidentiary hearing. On 5/12/15 Transco filed its answer to NCUC / NYPSC protest. On 5/19/15 FERC requested additional information, which Transco provided on 6/4/15. On 5/27/15 the NCUC and NYPSC responded to Transco's answer. Many other parties intervened, and Transco filed supplemental information during second quarter 2015. On 6/17/15 EPA expressed | Q3 2017 |

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| | | concern about route's impact on a federally-mandated conservation easement. During third and fourth quarters 2015, Transco filed supplemental information, and several parties filed comments. During first quarter 2016, Transco filed supplemental information, and FERC issued Environmental Assessment of project. During second quarter 2016, Transco filed supplemental information; EPA and USF&W filed comments, as did other parties. On 8/3/16 FERC issued a CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. During third and fourth quarters 2016 and first quarter 2017, Transco filed various reports and supplemental information and requested authorization to begin construction; and FERC granted many of the requests to proceed. On 3/29/17 FERC authorized Transco to begin partial path interim service. During second quarter 2017, Transco filed reports, and a few parties filed comments. On 7/26/17 FERC granted the request to commence service on the remaining facilities. On 8/4/17 Transco notified FERC that the facilities had been placed in service. During third quarter, Transco filed various reports. | |
| CP15-118 | DEC, DEP | Transco's application for CPCN for Virginia Southside Expansion Project II, filed 3/23/15. On 4/22/15 DEC and DEP intervened. On 4/22/15 NCUC and NYPSC filed comments and requested an evidentiary hearing. On 4/30/15 FERC requested additional information, which Transco filed on 5/11/15. On 5/12/15 Transco filed its answer to NCUC / NYPSC protest. On 5/27/15 the NCUC and NYPSC responded to Transco's answer. Many other parties intervened, and Transco filed supplemental information during second quarter 2015. During third and fourth quarters 2015 and first | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| | | quarter 2016, Transco filed supplemental information and responded to FERC Staff's data requests. During second quarter 2016, Transco filed supplemental information, and FERC revised the schedule for environmental review of the project. On 7/7/18 FERC issued the CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. During third and fourth quarters 2016 and first, second and third quarters 2017, Transco filed various reports and supplemental information and requested authorization to begin construction; and FERC granted several requests to proceed. On 9/13/17 FERC issued an environmental inspection report. | |
| CP15-138 | DEC, DEP | Transco's application for an abbreviated CPCN for Atlantic Sunrise Project, filed 3/30/15. On 4/29/15 DEC and DEP intervened. On 4/22/15 NCUC and NYPSC filed comments and requested an evidentiary hearing. On 5/12/15 Transco filed its answer to NCUC / NYPSC protest. On 5/19/15, 6/10/15 and 6/17/15 FERC requested additional information, which Transco subsequently provided. On 5/27/15 the NCUC and NYPSC responded to Transco's answer. Many other parties intervened, and Transco filed supplemental information during second quarter 2015. During third quarter 2015, Transco filed supplemental information, and many parties filed comments. On 9/30/15 FERC issued a data request. During fourth quarter 2015 and first and second quarters 2016, dozens of parties filed comments (including many individuals), and Transco filed supplemental information. During third and fourth quarters 2016, more comments were filed, and Transco filed supplemental information, reports and responses to FERC data requests. On 12/30/16 FERC issued its Final Environmental Impact Statement. On | Q3 2017 |

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| | | 2/3/17 FERC issued CPCN with conditions, and on 2/6/17 Transco accepted the CPCN. During first quarter 2017, many parties filed comments, protests, requests for rehearing and/or stay; Transco filed reports, requests to proceed, supplemental information and responses to the motions for stay. On 3/13/17 FERC granted rehearing for further consideration of its 2/3/17 ruling. On 3/29/17 FERC granted Transco's request to proceed with constructing meter and regulator facilities in NC. During second quarter 2017, parties filed comments, and Transco filed reports and supplemental information. On 5/11/17 Hilltop Hollow LP filed a petition for review of FERC's 2/3/17 and 3/13/17 orders with the DC Circuit Court. On 8/31/17 FERC denied Allegheny's 2/10/17 request for stay, which led to several requests for rehearing. On 9/26/17 Transco filed its answer to those filings. During third quarter 2017, Transco filed reports, supplemental information and requests to place facilities into service. | |
| CP15-499 | DEC, DEP | Texas Eastern Transmission, LP's application for an abbreviated CPCN for its proposed South Texas Expansion Project, filed 5/21/15. On 6/23/15 DEC and DEP intervened. During second quarter 2015, many other parties intervened. During third and fourth quarters 2015, Texas Eastern responded to FERC data requests, and a few parties filed comments for use in preparing Environmental Assessment. During first quarter 2016, Texas Eastern filed supplemental information. On 12/30/16 Texas Eastern amended its application, changing the target in-service date to 10/1/18. On 1/12/17 Texas Eastern amended its application. During first quarter 2017, a few parties intervened; Texas Eastern responded to a FERC Staff data request and filed updated landowner lists. During second quarter 2017, several parties filed comments; FERC requested additional | Q3 2017 |

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| | | information; and Texas Eastern responded to FERC's data requests. During third quarter 2017, FERC requested information from USF&W and issued Environmental Impact Statement for the project. | |
| CP15-554; CP15-555; CP15-556 | DEC, DEP | Atlantic Coast Pipeline (ACP) application, filed by Dominion Transmission, ACP and Piedmont Natural Gas Co. On 2/17/17 DEC and DEP filed supplemental comments in support of the pipeline. During first quarter 2017, thousands of parties, including individuals, filed comments. During second quarter 2017, ACP and Dominion Transmission filed supplemental information. On 7/21/17 FERC issued the final EIS. During third quarter 2017, hundreds of parties filed comments, and ACP filed supplemental information and responses to FERC data requests. | Q3 2017 |
| CP16-3 | DEC, DEP | Texas Eastern Transmission, LP's application for an abbreviated CPCN for proposed Access South, Adair Southwest and Lebanon Extension Projects, filed 10/8/15. On 11/9/15 DEC and DEP intervened. During fourth quarter 2015, several other parties intervened and Texas Eastern submitted data. On 11/24/15 Texas Eastern filed its answer to comments. On 12/21/15 FERC requested additional information. During first, second and third quarters 2016, Texas Eastern filed supplemental information and amended its application. On 6/16/16 and 9/8/16 USF&W filed comments. On 12/21/16 FERC issued the CPCN and approved abandonment of facilities. On 12/29/16 Texas Eastern filed its Initial Implementation Plan. On 1/9/17 Texas Eastern accepted the CPCN terms. During first quarter 2017, 2 parties filed requests for rehearing; Texas Eastern filed reports, requests to proceed and answer to requests for rehearing; and FERC responded to some of the requests to proceed. On 3/31/17 FERC granted Texas Eastern's request to begin | Q3 2017 |

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| | | construction of the Berne Compressor Station in Ohio. During second quarter 2017, Texas Eastern filed reports and variance request; FERC granted various requests and issued an Environmental Inspection Report. On 7/19/17 FERC granted request to place facilities in service. On 8/8/17 Texas Eastern notified FERC that facilities commenced service 8/1/17. During third quarter 2017, Texas Eastern also filed reports and variance requests. | |
| CP16-10 | DEC, DEP | Mountain Valley Pipeline (MVP) application for CPCN to build 301 miles of new interstate natural gas pipeline, 3 compressor stations and other facilities in WV and VA, filed 10/23/15, requesting a final order by 10/15/16. On 11/12/15 DEC and DEP intervened. During fourth quarter 2015, first, second, third and fourth quarters 2016 and first quarter 2017, dozens of other parties intervened (including many individuals) and filed comments; and MVP filed supplemental information and responses to FERC data requests. On 3/31/17 FERC revised the schedule for environmental review. On 6/23/17 FERC issued the Final Environmental Impact Statement. During second and third quarters 2017, many parties filed comments, and MVP filed supplemental information and responded to FERC data requests. | Q3 2017 |
| CP16-494 | DEC | Transco abbreviated CPCN application to build and operate the Gulf Connector Expansion Project, filed 8/16/16, an expansion of its interstate transmission system in Texas and Louisiana. On 9/15/16 DEC and DEP intervened. During third quarter 2016, several other parties intervened and Transco filed supplemental information. During fourth quarter 2016, Transco responded to data requests, and filed supplemental information; 2 parties filed comments. During first and second quarters 2017, Transco filed supplemental information and responded to a data request. On 9/21/17 FERC issued its | Q3 2017 |

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| | | Environmental Assessment of the project. During third quarter 2017, Transco filed supplemental information. | |
| CP16-501 | DEC, DEP | Texas Eastern's abbreviated application for CPCN for proposed Marshall County Mine Panel Project in WV, filed 9/15/16. On 10/20/16 DEC and DEP intervened. During fourth quarter 2016 several other parties intervened, Texas Eastern filed supplemental information and responses to various FERC data requests. On 1/26/17 FERC issued a CPCN, and on 2/7/17 Texas Eastern accepted the CPCN order. During first quarter 2017, Texas Eastern filed a notice to proceed with construction, and FERC approved it 3/7/17. On 4/5/17 Texas Eastern filed a Notice of Commencement of Construction. During second quarter 2017, Texas Eastern filed supplemental information and variance requests; and FERC responded to variance requests. On 9/5/17 FERC issued a Construction Inspection Report. During third quarter 2017, Texas Eastern filed various status reports. | Q3 2017 |
| CP17-6 | DEC, DEP | Texas Eastern abbreviated application for approval to abandon 16.5 miles of pipeline facilities previously removed from active gas service in OH, WV and PA, filed 10/28/16. On 11/30/16 DEC and DEP intervened. During fourth quarter 2016 several other parties intervened. On 12/21/15 FERC issued Notice of its Staff's intention to prepare an Environmental Assessment of the impacts of abandonment. On 3/7/17 FERC issued its schedule for environmental review of the project. During first quarter 2017, a few parties filed comments; FERC issued a data request; and Texas Eastern responded to the data request and filed supplemental information. On 6/15/17 FERC approved abandonment of the facilities, with environmental conditions. On 8/3/17 Texas Eastern filed a request for extension of | Q3 2017 |

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| | | time to file implementation plan, which FERC granted 8/4/17. On 8/31/17 USF&W filed supplemental information. | |
| CP17-46 | DEC, DEP | Southern Natural Gas Co. (SNG) CPCN application for the Fairburn Expansion Project in Georgia, filed 2/3/17. On 3/7/17 DEC and DEP intervened. During first quarter 2017, several other parties intervened, and SNG filed supplemental information and responded to a FERC data request. On 3/20/17 FERC issued notice of Environmental Assessment and asked for comments by 4/19/17. During second quarter 2017, parties filed comments, and SNG responded to FERC data requests. On 8/18/17 FERC issued Environmental Assessment of the project. During third quarter 2017, several parties filed comments, and SNG filed supplemental information and responded to FERC data requests. | Q3 2017 |
| CP17-58 | DEC, DEP | Transco abbreviated CPCN application for the St. James Supply Project in Louisiana, filed 2/6/17. On 3/7/17 DEC and DEP intervened. During first quarter 2017, several other parties intervened, and SNG filed supplemental information and responded to a FERC data request. On 3/17/17 FERC issued notice of Environmental Assessment and asked for comments by 4/14/17. During second quarter 2017, parties filed comments, and SNG responded to FERC data requests. On 6/12/17 FERC issued a notice of the schedule for environmental review of the project. On 8/1/17 one party filed comments. | Q3 2017 |
| CP17-101 | DEC, DEP | Transco abbreviated CPCN application to construct and operate the Northeast Supply Enhancement Project, filed 3/26 /17. During first and second quarters 2017, Transco filed supplemental information; hundreds of parties intervened and filed comments, including DEC and DEP on 4/27/17; FERC issued several data requests; and Transco | Q3 2017 |

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| | | responded. During third quarter 2017, hundreds of parties intervened and filed comments, and Transco filed supplemental information. | |
| CP17-212 | DEC | Transco variance request for a route modification in Luzerne and Wyoming counties, part of the Atlantic Sunrise Project, filed 4/19/17 and supplemented 4/27/17 and 5/16/17. During second quarter 2017, several parties intervened, including DEC on 5/12/17. On 5/18/17 FERC granted the certificate to abandon, with conditions. On 5/24/17 Transco accepted the certificate. On 7/31/17 Transco filed implementation plan. On 9/5/17 Transco filed request for Notice to Proceed, which FERC granted 9/15/17. During third quarter, Transco filed supplemental information and weekly status reports. | Q3 2017 |
| CP17-450 | DEC, DEP | Transco application for approval to abandon gathering facilities located in offshore Texas, filed 5/25/17. During second quarter 2017, several parties intervened, including DEC and DEP on 6/19/17; FERC issued a data request, and Transco responded. On 7/25/17 Transco filed supplemental information. On 8/2/17 FERC issued the Environmental Assessment. On 8/8/17 FERC granted the request to abandon pipeline facilities and required Transco to notify the Commission within 10 days of abandonment. | Q3 2017 |
| EL13-88 | Duke Energy, DEC, DEP, DEBS | Complaint of Northern Indiana Public Service Co. (NIPSCO) against MISO and PJM to remedy flaws in the interregional planning process between the two, filed on 9/11/13. Duke Energy intervened on 9/30/13 on behalf of its subsidiaries that sell into the PJM market. Both MISO and PJM filed answers or motions for summary disposition in October 2013. On 12/19/13 FERC issued an order holding the complaint in abeyance, pending resolution of related matters that may materially affect certain elements of this complaint. On 7/30/14 NIPSCO asked FERC to no longer hold complaint in | Q3 2017 |

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| | | <p>abeyance. Several parties responded to NIPSCO's request in third quarter 2014, and on 9/9/14 NIPSCO responded to issues raised. On 12/18/14 FERC directed Staff to convene a technical conference to explore issues raised in the complaint and established a refund effective date of 9/11/13. On 12/19/14 Midwest TDUS intervened. On 2/12/15 FERC requested comments on the issues prior to the technical conference. Initial comments were filed in first quarter 2015. Reply comments were filed in second quarter 2015, and a technical conference was held 6/15/15 to explore issues raised in the complaint. On 9/28/15 NIPSCO filed its answer. On 10/13/15 PJM filed a limited response to NIPSCO answer. During first quarter 2016, additional comments and answers were filed. On 4/21/16 FERC granted complaint in part and directed MISO and PJM to submit compliance filings and informational filings pertaining to their interregional planning process. During second quarter 2016, several parties requested rehearing, filed answers and intervened out of time. On 6/17/16 FERC granted rehearing for further consideration. During third quarter 2016, more parties filed motions to intervene and answers to each other's filings. On 8/19/16 MISO and PJM filed a joint report on efforts to improve transmission expansion planning coordination, as required by 4/21/16 order. On 10/18/16 (supplemented 10/25/16) MISO and PJM filed another report in response to 4/21/16 order. During fourth quarter 2016, several parties filed comments on the 10/25/16 report. On 2/3/17 MISO and MISO TOs requested an extension of time, which FERC granted 2/10/17, making compliance filings due 4/24/17. No further activity.</p> | |
| EL14-37 | Duke Energy, DEC, DEP | Investigation to address whether PJM's current OATT applies the Financial Transmission Rights (FTR) forfeiture rule to Up to | Q3 2017 |

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| | | <p>Congestion transactions justly, issued 8/29/14. On 9/22/14 Duke Energy intervened on behalf of its subsidiaries; many other parties intervened as well during third quarter 2014. On 9/24/14 the Financial Marketers Coalition (FMC) filed a motion for clarification and request for expedited consideration. During fourth quarter 2014 more parties intervened. On 10/22/14 FERC granted rehearing for further consideration of its 8/29/14 order initiating the investigation. On 10/31/14 FERC scheduled a technical conference to be held 1/7/15 re PJM's FTR tariff provisions. On 12/16/14 FERC denied the FMC motion. The technical conference was held 1/7/15. During first quarter 2015, several parties intervened out of time. During second quarter 2015, more parties intervened and filed comments following the technical conference. During third quarter 2015, PJM, the PJM Market Monitor and Exion Energy each filed answers, and the Financial Marketers Coalition filed reply comments. On 11/25/15 Vandelay Holdings intervened out-of-time. On 2/11/16 PJM advised that Up-to Congestion Transactions were increasing again and encouraged resolution of this proceeding. Joint parties and FMC responded on 2/26/16 and 3/1/16 respectively. On 1/19/17 FERC issued its Order on investigation, finding PJM's application of its FTR forfeiture rule to virtual transactions to be unjust and unreasonable; requiring PJM to make a compliance filing within 90 days; and holding the issue of uplift in abeyance, pending the outcome of a NOPR in a separate proceeding. On 7/14/17 PJM filed a statement of support.</p> | |
| EL15-29, ER15-623 (not | Duke Energy, DEC, DEP | PJM revision of (1) Operating Agreement (OA) and OATT to correct deficiencies related to excuses for resource performance and (2) Reliability Pricing Market rules in its OATT, filed 12/12/14. On | Q3 2017 |

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| consolidated but closely related) | | <p>1/12/15 Duke Energy intervened on behalf of several subsidiaries. During first quarter 2015, many parties intervened or filed comments. On 2/13/15 PJM filed its answer to comments and protests. On 2/25/15 the PJM Independent Market Monitor (IMM) filed its answer to comments. On 3/20/15 and 3/26/15 the PJM IMM filed its answer to PJM's 2/13/15 filing and to answers filed by others to the 2/25/15 filing. On 6/9/15 FERC conditionally accepted the proposed tariff revisions. On 6/30/15 several parties requested expedited clarification or rehearing by 7/17/15. On 7/9/15 PJM filed a compliance tariff filing. During third quarter 2015, more parties filed requests for rehearing or clarification. On 7/22/15 FERC denied requests for clarification, granted in part the request for rehearing, granted in part the complaint and directed PJM to submit a compliance filing within 15 days. On 7/24/15 PJM filed answers to new requests for rehearing. On 7/29/15 and 9/18/15 FERC granted rehearing for further consideration in 2 subdockets. On 12/22/15 PJM filed an informational filing to notify FERC and market participants of its plan to implement capacity performance rules accepted by the Commission and as clarified in PJM compliance filings. On 1/21/16 Delaware PSC filed comments on PJM plan. On 2/11/16 PJM and IMM proposed a solution to issue related to nonperformance of generators. On 5/10/16 FERC granted rehearing in part, accepted PJM's compliance filings and directed PJM to submit another compliance filing in 30 days. On 6/9/16 Ill. Muni Electric Agency (IMEA) requested rehearing of 5/10/16 order. On 6/16/16 FERC denied rehearing of 7/22/15 order (allowing PJM to revise OATT to allow annual demand resources to participate in capacity performance transition incremental auctions). During</p> | |

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| | | second quarter 2016, PJM and IMM filed answers to IMEA rehearing request. On 7/8/16 FERC granted rehearing for further consideration. During third quarter 2016, several parties filed petitions for review with the DC Circuit Court of Appeals (in ER15-623). No further activity. | |
| EL15-67 | DEC, DEP, DEBS | Complaint of Linden VFT, LLC against PJM re PJM's proposed cost allocations for projects resulting from PJM's 2013 Regional Transmission Expansion Plan, filed 5/22/15 and amended 7/10/15. On 7/1/15 DEBS intervened on behalf of several affiliates. On 7/13/15 PSEG moved to reject the complaint, and on 7/24/15 Linden responded. On 8/20/15 PJM filed its answer to the complaint. During third and fourth quarters 2015, several parties filed comments and answers. On 11/24/15 FERC accepted the tariff revisions but suspended them for 5 months, subject to refund, to become effective 4/25/16 or on a date set forth in future order following a technical conference. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. During first quarter 2016, technical conference was held, and parties filed comments. On 4/22/16 FERC denied complaint. During second quarter 2016, several parties requested rehearing of 4/22/16 order. On 6/21/16 FERC granted rehearing for further consideration. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed at the technical conference. On 5/31/17 PSEG responded in opposition to motions. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and other responded to ConEd's motion. | Q3 2017 |
| EL15-79 | Duke Energy, DEC, DEBS | Complaint of Tran Source LLC alleging that PJM refused to provide data underlying cost estimates in System Impact Studies for queue | Q3 2017 |

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| | | positions, filed 6/23/15. On 5/27/16 Duke Energy intervened on behalf of subsidiaries that sell into PJM. In second quarter 2016, FERC established hearing procedures (after having held proceeding in abeyance), scheduled and then rescheduled the prehearing conference for 8/2/16. On 6/16/16 FERC allowed Duke Energy and others to intervene. During third quarter 2016, a prehearing conference was held 8/22/16, and the Chief Judge issued a procedural schedule. In fourth quarter 2016, prehearing conferences were held on 10/26/16 and 12/20/16; a discovery dispute was resolved; PJM Transmission Owners (TOs) were granted an interlocutory appeal related to the discovery dispute; and a new procedural schedule was set. On 1/4/17 TranSource filed testimony. During second quarter 2017, PJM and Commission Staff filed testimony, and a discovery dispute led to oral argument on 7/18/17. On 8/29/17 FERC modified the unopposed procedural schedule that Transco had proposed. Testimony was filed, and hearing was held 9/12/17. | |
| EL15-80 | DEC, DEP, DEBS | Complaint of Advanced Energy Management Alliance Coalition (AEMA) against PJM re capacity performance transition auctions, filed 6/29/15. On 7/8/15 DEBS intervened on behalf of several affiliates. During third quarter 2015, many other parties intervened and filed comments or protests. See the update above at EL15-29. | Q3 2017 |
| EL15-95 | DEC, DEP, DEBS | Complaint of Delaware PSC and MD PSC against PJM, alleging that use of DFAX cost allocation method is unreasonable, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. During fourth quarter 2015, several other parties intervened. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. During first quarter 2016, parties filed post-technical conference comments. On 4/22/16 FERC denied complaint and accepted PJM's cost | Q3 2017 |

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| | | responsibility assignments for transmission projects. During second quarter 2016 several parties requested rehearing. On 6/21/16 FERC granted rehearing for further consideration. During third quarter 2016, a few parties intervened and filed comments. On 8/5/16 PJM advised that the Artificial Island project, a transmission line in the Regional Transmission Extension Plan approved by the PJM Board, has been suspended until analysis can be completed. On 8/23/16 complainants moved to defer ruling on requests for rehearing. On 4/12/17 PJM filed an informational filing on status of Artificial Island: PJM Board approved lifting of suspension. On 4/27/17 ODEC filed comments on 4/12/17 PJM filing. On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017. [See also ER15-2562 and ER15-2653 below.] | |
| EL16-34 | Duke Energy, DEC | Complaint of Electric Power Supply Assoc. (EPSA), Retail Energy Supply Assoc. (RESA), Dynegy, Eastern Generation and NRG against FirstEnergy, asking FERC to rescind waiver of affiliate power sales restriction granted to FirstEnergy market-based rate (MBR) subsidiaries as it relates to “abusive” affiliate power sales agreement, filed 1/27/16. On 2/3/16 Duke Energy intervened on behalf of several subsidiaries. During first and second quarters 2016, several other parties intervened and filed comments. On 3/9/16 EPSA et al. filed their answer. On 4/27/16 FERC granted complaint and directed FirstEnergy to revise MBR tariffs to rescind waiver of affiliate power sales restriction. During second quarter 2016, several parties subsequently filed protests or comments. On 7/7/16 Ohio Energy Group filed its answer, refuting arguments raised in protests. No further activity. | Q3 2017 |

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| EL16-49 | Duke Energy, DEC, DEBS | Complaint of Calpine Corp., Dynegy, et al. against PJM, asking FERC to expand the Minimum Offer Price Rule to prevent the artificial suppression of prices in the Reliability Pricing Model market by below-cost offers for existing resources whose continued operation is being subsidized by state-approved out-of-market payments, filed 3/21/16. On 4/5/16 Duke Energy intervened on behalf of subsidiaries that sell in PJM. During first and second quarters 2016, many other parties intervened and filed protests. On 4/25/16 and 6/6/16 PJM filed its answer; other parties responded with comments. On 1/9/17 Calpine amended its complaint and requested expedited action. During first quarter 2017, several parties intervened out-of-time, and many others filed protests or comments. On 1/24/17 FirstEnergy filed a motion to dismiss the complaint. On 1/30/17 PJM and the PJM Independent Market Monitor (IMM) both filed answers to the amended complaint. During third quarter 2017, Illinois Attorney General filed comments. On 8/30/17 EPSA filed a motion to lodge a federal court decision and requested expedited action on the complaint. During third quarter 2017, several parties filed answers to the motion to lodge. | Q3 2017 |
| EL16-112 | DEC, DEP, DEBS | Complaint of Coalition of MISO Transmission Customers, filed 9/8/16, alleging that MISO misapplied tariff provisions pertaining to capacity import and export limits and requesting an audit of the independent market monitor's review of offers into MISO's 2016-17 planning resource auction. On 9/15/16 various Duke Energy affiliates intervened. During third quarter 2016, several other parties intervened or filed protests/comments. On 9/28/16 MISO responded to the comments. During fourth quarter 2016, several parties filed answers. On 12/6/16 FERC denied the complaint in part, finding that MISO | Q3 2017 |

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| | | did not violate its Tariff and that its approach for calculating the Sub-Regional Export Constraint for the 2016-17 Auction was just and reasonable, and declining to direct MISO to provide refunds. The order granted the complaint in part, finding that current provisions for calculating Sub-Regional Export and Import constraints do not specify the methodology for the calculations and directing MISO to propose a methodology for those calculations within 55 days. During first quarter 2017, several parties filed protests or requests for rehearing. On 2/6/17 FERC granted rehearing for further consideration. On 8/22/17 the Illinois Attorney General filed comments. | |
| EL17-8 | DEC, DEP, DEBS | Complaint of Indianapolis Power & Light (IPL) alleging that MISO's OATT doesn't compensate IPL for the reliability service provided by IPL's grid-scale battery installation and requesting fast-track processing, filed 10/21/16. On 11/7/16 DEC, DEP and DEBS intervened. On 11/10/16 MISO filed a motion to dismiss. On 11/23/16 IPL responded to MISO's motion to dismiss. On 12/14/16 MISO filed an answer to IPL's response. During fourth quarter 2016 many parties intervened or filed comments. On 2/1/17 FERC granted the complaint in part and denied in part. During first quarter 2017, MISO and IPL filed requests for rehearing. On 3/27/17 FERC granted rehearing for further consideration. During second quarter 2017, two parties filed motions to intervene; MISO filed an answer to protests; and IPL filed a petition for review with 7 th Circuit Court. No further activity. | Q3 2017 |
| EL17-29 | Duke Energy, DEC, DEP, DEBS | Complaint of American Municipal Power (AMP) alleging that MISO's assessment of congestion and administrative charges associated with dispatches of an AMP generating resource by PJM is | Q3 2017 |

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| | | unjust and unreasonable, filed 12/19/16 and supplemented 12/20/16. On 1/17/17 Duke Energy intervened on behalf of subsidiaries. On 1/25/17 MISO filed its answer to the complaint and also a joint motion with PJM to hold the matter in abeyance. During first quarter 2017, other parties intervened and filed comments on the answers and motion to stay. On 3/27/17 MISO and PJM filed an informational update on efforts to resolve the congestion overlap issue. On 5/26/17 MISO and PJM filed a status update. On 6/12/17 AMP filed comments on the 5/26/17 filing. On 7/25/17 and 9/25/17 MISO/PJM filed a status update. On 8/9/17 AMP filed a response to the 7/25/17 status update. | |
| EL17-32 | Duke Energy, DEC, DEP | Complaint of Old Dominion Electric Cooperative (ODEC) requesting fast-track processing to prevent an unreasonable and irreparable action by PJM that will prevent Seasonal Capacity Performance Resources from participating in the Base Residual Auction for the 2020-21 delivery year, scheduled for May 2017, filed 12/23/16. On 1/12/17 Duke Energy intervened on behalf of subsidiaries. On 1/25/17 PJM filed its answer, corrected on 1/26/17. On 2/9/17 ODEC responded. During first quarter 2017, many other parties intervened and filed comments. No further activity. | Q3 2017 |
| EL17-36 | Duke Energy, DEC, DEP | Complaint of Advanced Energy Management Alliance against PJM, seeking changes to PJM's OATT and Reliability Assurance Agreement to protect customers from unnecessary and dramatic increases in costs if seasonal capacity resources are precluded from participating in the May 2017 Base Residual Auction for the 2020-21 delivery year, filed 1/5/17. On 1/12/17 Duke Energy intervened on behalf of subsidiaries. On 2/28/17 PJM filed its answer. During first quarter 2017, many parties intervened, filed comments or answers. | Q3 2017 |

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|----------------------|---|---|---|
| | | No further activity. | |
| EL17-62 | Duke Energy, DEC, DEP | Complaint of Potomac Economics asking FERC to direct PJM to revise its OATT and Reliability Assurance Agreement Among Load-Serving Entities in the PJM Region (RAA) to eliminate the existing requirement that resources located external to PJM seeking to offer as Capacity Performance Resources in PJM be pseudo-tied into PJM, filed 4/6/17. During second quarter 2017, many parties intervened and filed comments, including Duke Energy on 4/13/17. On 5/8/17 PJM filed a motion to dismiss, and several parties filed comments. On 6/20/17 PJM filed its answer to comments and protests. No further activity. | Q3 2017 |
| EL17-65 | Duke Energy, DEC, DEP | Complaint of Renewable Energy Systems Americas (RESA) and Invenergy Storage Development LLD alleging that PJM's implementation of a frequency regulation signal inconsistent with the operational design of PJM's market for energy-limited resources negatively affected storage devices and is discriminatory, filed 4/14/17. During second quarter 2017, many parties intervened and filed comments, including Duke Energy on 5/12/17. On 5/31/17 RESA filed its answer to comments. On 6/9/17 PJM IMM filed its answer. On 6/27/17 PJM filed its answer. On 7/25/17 complainants requested appointment of a settlement judge, to which PJM and 2 other parties replied during third quarter 2017. | Q3 2017 |
| EL17-68 | Duke Energy, DEC, DEP | Linden VFT complaint and request for fast track processing, alleging that PJM's cost reallocations for BLC project are unjust and unreasonable, filed 4/28/17. During second quarter 2017, several parties intervened, including Duke Energy on 5/2/17. On 5/18/17 PJM filed its answer. Parties subsequently filed comments and protests, and Linden VFT filed its response to PJM's answer on | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-----------------------|---|--|---|
| | | 5/31/17. No further activity. | |
| EL17-75 | Duke Energy, DEC, DEP | Advanced Energy Economy (AEE) petition for declaratory order re the participation of Energy Efficiency Resources (EERs) in markets operated by RTOs/ISOs following PJM proposal to initiate a stakeholder process to revise its tariffs to give State Commissions the authority to restrict or condition the participation of EERs in PJM's capacity market, filed 6/2/17. During second quarter 2017, several parties intervened, including Duke Energy on 6/29/17. On 6/29/17 the Organization of MISO States (OMS) requested an extension until 7/19/17 to file comments. During third quarter 2017, many parties intervened and filed comments or answers. | Q3 2017 |
| EL17-82 | Duke Energy, DEC, DEP | Complaint of PJM Independent Market Monitor (IMM) alleging that PJM incorrectly granted a Competitive Entry Exemption from the Minimum Offer Price Rule to an unnamed participant ineligible for such an exemption and asking FERC to order PJM to rescind the grant, filed 7/21/17. On 8/10/17 Duke Energy intervened on behalf of subsidiaries. On 8/21/17 PJM filed its answer. On 8/30/17 PJM IMM filed its response. On 9/8/17 PJM filed a further, limited answer. During third quarter 2017, several parties intervened and filed comments, protests or answers. | Q3 2017 |
| EL17-83 | DEC | Complaint of Piedmont Municipal Power Agency (PMPA) alleging DEC has assessed charges in violation of service agreement for wholesale generation service, filed 8/1/17. On 9/11/17 DEC filed its answer and a motion for summary judgement. During third quarter 2017, several parties intervened. | Q3 2017 |
| EL17-84; ER17-2073 | Duke Energy, DEC, DEP | Section 206 investigation into the justness of Hudson Transmission Partners LLC (HTP) being unable to convert its Firm Withdrawal | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | Rights into Non-Firm Transmission Withdrawal Rights following FERC's rejection of PJM's unexecuted amendment to Interconnection Service Agreement, issued 9/8/17. On 9/18/17 Duke Energy intervened on behalf of subsidiaries. During third quarter 2017, FirstEnergy and AEP also intervened in complaint docket. | |
| ER13-535 | Duke Energy, DEC, DEP | PJM revisions to PJM OATT to implement stakeholder-driven revisions to the minimum offer price rule under PJM's Reliability Pricing Model, filed on 12/7/12: Duke Energy intervened on behalf of DEC and DEP on 12/28/12. PJM answered comments of various parties on 1/8/13. On 2/5/13 FERC advised PJM that its initial filing was deficient, and PJM on 3/14/13 responded to questions raised. Various parties subsequently commented in first and second quarters 2013. On 5/2/13 FERC issued an order accepting in part and rejecting in part PJM's proposal. PJM submitted its compliance filing on 6/3/13, and several parties requested rehearing in May and June 2013. On 6/27/13 FERC granted rehearing for further consideration. On 7/15/13 PJM answered protests. FERC scheduled and held a technical conference on centralized capacity markets in RTOs/ISOs on 9/25/13. On 10/15/15 FERC issued order denying rehearing and accepting PJM's compliance filing. On 12/14/15 PJM Power Providers Group and NRG Power Marketing each filed petitions for review with the US Court of Appeals. On 2/23/16 FERC certified record to the DC Circuit Court of Appeals. No further activity. | Q3 2017 |
| ER13-1923 | DEC, DEP | MISO Order No. 1000 Compliance filing re interregional transmission planning and coordination with Southeastern Regional Transmission Planning (SERTP) members, filed 7/10/13. DEC and DEP intervened on 9/3/13. MISO submitted answer to comments and protests on 10/21/13. On 1/23/15 FERC accepted the MISO | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| | | compliance filing, subject to further compliance filings within 60 days. On 2/23/15 MISO et al. requested clarification or rehearing. On 2/24/15 MISO et al. requested a 90-day extension of time, which FERC granted on 3/6/15. On 3/25/15 FERC granted rehearing for further consideration of its 1/23/15 order. On 6/22/15 MISO submitted its SERTP compliance filing; comments are due 7/13/15. On 7/13/15 International Transmission Co. intervened out-of-time. On 11/25/15 FERC denied request for rehearing and conditionally accepted the compliance filings, subject to further compliance filings within 30 days. On 12/23/15 MISO submitted its SERTP compliance filing. On 3/22/16 FERC accepted SERTP-MISO interregional interface compliance filings and denied requests for rehearing of second compliance order. During second quarter 2016, MISO Transmission Owners filed a petition for review with the DC Circuit. No further activity. | |
| ER13-1924 | Duke Energy, DEC, DEP | PJM Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with MISO, filed 7/10/13. Duke Energy intervened on behalf of DEC, DEP and other subsidiaries on 9/5/13. MISO Transmission Owners (TOs) submitted answer to NIPSCO protest on 10/31/13. On 12/18/14 FERC rejected in part and conditionally accepted in part PJM's compliance filing and directed PJM to submit further compliance filing within 60 days. On 1/20/15 MISO TOs requested rehearing. On 1/26/15 PJM et al. requested an extension to submit compliance filing, which FERC granted on 1/30/15, extending the deadline to 6/16/15. On 2/9/15 FERC granted rehearing for further consideration of its 12/18/14 order. On 6/15/15 FERC held a technical conference to explore issues raised. On 6/9/15 MISO and | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | PJM requested an extension of time to draft tariff modifications, which FERC granted on 6/16/15. On 8/21/15 ITC Companies and NIPSCO filed a joint protest. On 9/11/15 PJM answered the protest, and on 9/25/15 ITC and NIPSCO responded to PJM's answer. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. During second quarter 2016 several parties requested clarification of 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 10/28/16 FERC denied rehearing and conditionally accepted third compliance filings subject to further compliance filings. On 12/27/16 MISO TOs filed a petition for review with the DC Circuit Court of Appeals. No further activity. | |
| ER13-1943 | Duke Energy, DEC, DEP | MISO Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with PJM, filed 7/10/13. Duke Energy intervened on behalf of DEC, DEP and other subsidiaries on 9/5/13. On 9/11/13 NIPSCO filed a protest. On 10/31/13 MISO Transmission Owners filed an answer to the NIPSCO protest, as did PJM on 11/21/13. On 11/1/13 MISO and PJM filed a joint answer to various protests and comments, and in November 2013 MISO Transmission Owners filed a reply, as did American Transmission Co., Duke Energy Transmission Holding Co. and Duke-American Transmission Co. On 12/18/14 FERC rejected in part and conditionally accepted in part the | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| | | <p>compliance filings and directed MISO to submit further compliance filings within 60 days. On 1/20/15 MISO TOs submitted request for rehearing. On 1/26/15 MISO et al. requested a 120-day extension, which FERC granted on 1/30/15, making the filing due 6/16/15. On 2/19/15 FERC granted rehearing for further consideration of its 12/18/14 order. Technical conference was held 6/15/15 to explore seams-related issues in EL13-88. On 6/9/15 MISO et al. requested an extension of time, which FERC granted on 6/16/15: compliance filings are due 7/31/15. On 7/13/15 PJM filed its compliance filing per 12/18/14 order. During third quarter 2015, three parties intervened or filed protests. On 9/11/15 PJM filed its answer to protests. On 9/25/15 ITC Companies and NIPSCO filed their answer to PJM's answer. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. During second quarter 2016, several parties requested clarification of 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 6/20/16 MISO submitted a tariff filing in compliance with 4/5/16 order. On 7/5/16 Entergy intervened. On 10/28/16 FERC denied rehearing requests and conditionally accepted third compliance filing and directed PJM/MISO to submit another compliance filing in 30 days. On 11/22/16 PJM/MISO filed the compliance filing. On 12/27/16 MISO TOs filed a petition for review of the 10/28/16 order with the DC Circuit Court of Appeals. On 1/9/17 FERC accepted</p> | |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| | | MISO filing. No further activity. | |
| ER13-1944 | Duke Energy, DEC, DEP | PJM Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with MISO, filed 7/10/13. Duke Energy intervened on behalf of DEC, DEP and other subsidiaries on 9/5/13. On 9/11/13 NIPSCO filed a protest. On 10/31/13 MISO Transmission Owners (TOs) filed an answer to the NIPSCO protest, as did PJM on 11/21/13. On 11/1/13 MISO and PJM filed a joint answer to various protests and comments, and in November 2013 American Transmission Co., Duke Energy Transmission Holding Co. and Duke-American Transmission Co. filed a reply. On 12/18/14 FERC rejected in part and conditionally accepted in part the compliance filings and directed PJM to submit further compliance filings within 60 days. On 1/20/15 MISO TOs requested rehearing. On 1/26/15 PJM et al. requested an extension to submit compliance filing, which FERC granted on 1/30/15, extending the deadline to 6/16/15. On 2/19/15 FERC granted rehearing for further consideration of its 12/18/14 order. Technical conference was held 6/15/15 to explore seams-related issues in EL13-88. On 6/9/15 MISO et al. requested an extension of time, which FERC granted on 6/16/15: compliance filings are due 7/31/15. On 7/13/15 PJM filed its compliance filing per 12/18/14 order. During third quarter 2015, three parties intervened or filed protests. On 9/11/15 PJM filed its answer to protests. On 9/25/15 ITC Companies and NIPSCO filed their answer to PJM's answer. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. During second | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | quarter 2016, several parties requested clarification of 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 6/20/16 PJM submitted a tariff filing in compliance with 4/5/16 order. On 7/8/16 American Municipal Power intervened. On 10/28/16 FERC denied rehearing requests and conditionally accepted third compliance filing and directed PJM/MISO to submit another compliance filing in 30 days. On 11/22/16 PJM/MISO filed the compliance filing. On 12/22/16 PJM filed a correction of the PJM/MISO JOA. On 12/27/16 MISO TOs filed a petition for review of the 10/28/16 order with the DC Circuit Court of Appeals. On 1/9/17 FERC accepted PJM filing. No further activity. | |
| ER13-1945 | Duke Energy, DEC, DEP | MISO Order No. 1000 Compliance filing re interregional transmission planning and coordination, set forth in OATT Attachment FF, with PJM, SERTP and SPP, filed 7/10/13. Duke Energy intervened on behalf of DEC, DEP and other subsidiaries on 9/5/13. NIPSCO filed a protest on 9/11/13, and various parties filed answers in third quarter 2013. On 10/31/13 MISO Transmission Owners (TOs) filed an answer to the NIPSCO protest. On 12/18/14 FERC rejected in part and conditionally accepted in part the compliance filings related to the MISO-PJM interregional interface and directed MISO to submit further compliance filings within 60 days. On 1/20/15 MISO TOs requested rehearing. On 1/23/15 FERC conditionally accepted MISO's compliance filings, subject to further compliance filings within 60 days. On 1/26/15 MISO et al. requested an extension to submit compliance filing, which FERC granted on | Q3 2017 |

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| | | <p>1/30/15, extending the deadline to 6/16/15. On 2/19/15 FERC granted rehearing for further consideration of its 12/18/14 order and also issued an order conditionally accepting the MISO-SPP filings, subject to further compliance filings within 60 days. On 2/23/15 MISO et al. requested rehearing of 1/23/15 order. On 2/24/15 MISO et al. requested an extension to submit compliance filing with the SERTP interregional interface, which FERC granted 3/6/15, making the filing due 6/22/15. On 3/25/15 FERC granted rehearing for further consideration of its 1/23/15 order. On 4/2/15 MISO et al. requested an extension of time, which FERC granted on 4/17/15: MISO-SPP compliance filings are due 8/18/15. Technical conference was held 6/15/15 to explore seams-related issues in EL13-88. On 6/9/15 MISO et al. requested an extension of time, which FERC granted on 6/16/15: MISO-PJM compliance filings are due 7/31/15. On 8/21/15 ITC Companies and NIPSCO filed a protest. On 11/25/15 FERC conditionally accepted MISO's compliance filing for the MISO-SERTP interface. On 12/23/15 MISO and MISO Transmission Owners filed a request for rehearing of 11/25/15 order. On 3/22/16 FERC issued order denying request for rehearing and accepting SERTP and MISO's compliance filings. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. During second quarter 2016, several parties requested clarification of 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On</p> | |

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| | | 6/20/16 FERC denied the motion for extension. On 10/28/16 FERC denied rehearing requests and conditionally accepted third compliance filing and directed PJM/MISO to submit another compliance filing in 30 days. On 12/27/16 MISO TOs filed a petition for review of the 10/28/16 order with the DC Circuit Court of Appeals. No further activity. | |
| ER14-972 | Duke Energy, DEC, DEP, DEBS | PJM amendments to Schedules 6 and 12 to incorporate cost responsibility assignments for 111 upgrades in the recent update to its Regional Transmission Expansion Plan, filed on 1/10/14. Duke Energy intervened on behalf of DEBS, DEC, DEP and other utilities on 1/15/14. On 4/9/14 FERC accepted the filing but required a compliance filing. On 5/9/14 PJM submitted the compliance filing. Several parties requested rehearing, and on 6/9/14 FERC granted rehearing for further consideration. On 6/13/14 ConEd filed a motion to lodge a related deficiency letter (from ER14-1485). On 7/3/14 PJM filed an answer, and Linden VFT responded on 7/10/14. On 8/20/14 PJM filed a motion to hold docket in abeyance, to allow parties to discuss cost allocation for the contested projects. On 12/16/14 PJM filed a motion to release the stay for this docket but not for the related docket, ER14-1485. During first quarter 2015, 2 more parties intervened out of time, and Linden VFT advised that no settlement discussions are pending with PJM. On 4/9/15 NY Power Authority (NYPA) intervened out of time; on 4/24/15 PJM opposed the intervention; and on 5/11/15 NYPA responded. On 6/18/15 FERC denied the complaint, denied rehearing and accepted ConEd's compliance filing. On 6/25/15 Con Ed filed a petition for review, and on 6/26/15 Linden VTF did likewise. During third quarter 2015, two parties filed requests for rehearing, and ConEd intervened. On | Q3 2017 |

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| | | 8/10/15 PSEG Companies filed an answer, and on 8/19/15 Linden VFT filed its answer. On 8/19/15 FERC granted rehearing for further consideration of its 6/18/15 order. On 10/23/15 ConEd filed a motion to lodge; on 11/9/15 PSE&G and PJM opposed that motion. On 11/25/15 FERC accepted and suspended PJM's proposed tariff revisions and scheduled a technical conference on 1/12/16. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. During second quarter 2016, several parties requested rehearing of 4/22/16 orders, and Linden filed answers to those requests. On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. No further activity. [See also ER14-1485, ER15-2562 and ER15-2563.] | |
| ER14-1461 | Duke Energy, DEC, DEP, DEBS | PJM revision of its Reliability Assurance Agreement and OATT Attachment DD to reform the current Reliability Pricing Model market rules that incent sellers in 3-year forward auctions to submit speculative offers that can undermine long-term reliability, filed 3/10/14 and amended 3/14/14. Duke Energy intervened on behalf of DEBS, DEC, DEP and other utilities on 3/28/14. On 5/9/14 FERC rejected tariff changes and instituted a Section 206 proceeding (EL14-48); a technical conference will be held. On 6/9/14 PJM requested rehearing, as did PJM Utilities Coalition. On 7/8/14 FERC granted rehearing for further consideration. On 8/18/14 PJM requested deferral until FERC has acted on PJM's capacity performance filing, | Q3 2017 |

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| | | which will be made in December 2014. On 9/10/14 AEP and Dayton P&L responded to PJM's request to defer action. On 10/29/15 PJM filed a report and requested continued deferral of action in this docket; PJM committed to file a further report by 11/23/16. On 11/2/15 Pepco Holdings filed a motion to intervene. On 11/23/16 PJM filed a further report and request for continued deferral of action. On 12/30/16 PJM IMM filed a motion to lodge a report on the issues raised and a motion to commence the compliance process set forth in 5/9/14 order. On 1/17/17 PJM Load Group filed answer to IMM motions. On 2/9/17 PJM filed answer. No further activity. | |
| ER14-1485 | Duke Energy, DEC, DEP, DEBS | PJM revision of Schedule 12 Appendix A to incorporate changes to the RTEP, filed 3/13/14. Duke Energy intervened on behalf of DEBS, DEC, DEP and other utilities on 4/16/14. On 6/6/14 FERC issued deficiency letter. On 7/7/14 PJM submitted a filing in response to deficiency letter, and several parties protested. On 8/21/14 PJM filed a motion to hold the docket in abeyance, to allow parties to discuss cost allocation for the contested projects, and on 9/26/14 PJM filed errata to its motion, to correct effective date entered in eTariff metadata. On 1/26/15 Linden VFT advised that no settlement discussions are pending with PJM. On 3/31/15 Con Edison filed a motion to lodge information related to the PSE&G Sewaren Project. On 4/15/15 PSEG opposed ConEd's 3/31/15 motion to lodge, and on 4/23/15 ConEd filed its answer. On 4/9/15 NYPA intervened out of time; on 4/24/15 PJM Transmission Owners filed its opposition; and on 5/11/15 NYPA filed its answer. On 7/15/15 PJM requested FERC release the stay and resume the procedural schedule. On 9/11/15 FERC accepted PJM's proposed cost responsibility assignments for 19 baseline upgrades in the RTEP, effective 6/11/14. On 10/13/15 | Q3 2017 |

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| | | <p>ConEd and Linden VFT filed requests for rehearing of 9/11/15 order. On 10/23/15 ConEd filed a motion to lodge. In fourth quarter 2015, PJM TOs and PSE&G opposed ConEd and Linden requests for rehearing, and ConEd and Linden filed further answers. On 11/9/15 FERC granted rehearing for further consideration. On 11/25/15 FERC accepted and suspended the proposed tariff revisions and on 12/4/15 scheduled a technical conference to be held 1/12/16. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 3/22/16 ConEd filed motion to lodge FERC's 2/12/16 order holding that reliability projects driven by Form 715 criteria lack regional benefits; to reopen the record and vacate the cost allocation for the Sewaren Project. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. During second quarter 2016, several parties protested or requested rehearing of 4/22/16 orders, and Linden filed answers to those requests. On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. On 12/9/16 FERC denied rehearing, denied clarification and rejected PJM compliance filing that reassigned cost responsibility to PSEG; the latter had the incorrect effective date. No further activity. [See also ER14-972, ER15-2562, and ER15-2563.]</p> | |
| ER14-2242 | Duke Energy, | ODEC petition for waiver of provisions in PJM OATT and Operating | Q3 2017 |

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| | DEC, DEP, DEBS | Agreement to make ODEC whole for certain January 2014 operations, filed 6/23/14. Duke Energy intervened on behalf of utilities and DEBS on 7/14/14. In third quarter 2014 many other parties intervened, and several filed answers. On 6/9/15 FERC denied ODEC petition for waiver of certain PJM OATT provisions to allow recovery of natural gas costs associated with January 2014 cold weather events. On 7/9/15 Old Dominion requested rehearing of the 6/9/15 order (supplemented on 8/18/15); on 8/10/15 FERC granted the request for rehearing for further consideration. On 3/1/16 FERC denied rehearing and reaffirmed earlier ruling that ODEC's waiver request is barred by the filed rate doctrine and the rule against retroactive ratemaking. On 4/13/16 ODEC filed petition for review with DC Circuit Court of Appeals. No further activity. | |
| ER14-2940 | Duke Energy, DEC, DEP | PJM revision to OATT re triennial review of its Variable Resource Requirement curve, filed 9/25/14. On 10/15/14 Duke Energy intervened on behalf of several subsidiaries. On 11/28/14 FERC conditionally accepted the tariff revisions and required a compliance filing. On 12/19/14 PJM submitted the compliance filing. Several parties subsequently filed requests for rehearing in fourth quarter 2014. On 1/20/15 FERC granted rehearing for further consideration of its 11/28/14 order. On 10/15/15 FERC denied requests for rehearing and accepted PJM's 12/19/14 compliance filing. On 12/15/15 PSEG and PJM Power Providers Group filed separate petitions for review with the US Court of Appeals. On 2/1/16 FERC certified record to DC Circuit Court of Appeals. No further activity. | Q3 2017 |
| ER15-2562 | DEC, DEP, DEBS | PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 19 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| | | <p>10/12/15 DEBS intervened on behalf of several affiliates. During fourth quarter 2015, several parties intervened and filed comments. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 12/23/15 Linden VFT requested clarification or rehearing of 11/25/15 order. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. During second quarter 2016, several parties protested or requested rehearing of 4/22/16 order. On 6/21/16 FERC granted rehearing for further consideration of the 4/22/16 order. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed in the technical conference. On 5/31/17 PSEG responded in opposition. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and other responded to ConEd's motion. [See also EL15-67, ER14-972, ER14-1485, and ER15-2563.]</p> | |
| ER15-2563 | DEC, DEP, DEBS | <p>PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 12 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. During fourth quarter 2015, several parties intervened and filed comments. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 12/23/15</p> | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| | | Linden VFT requested clarification or rehearing of 11/22/15 order. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. During second quarter 2016 several parties requested rehearing. On 6/21/16 FERC granted rehearing for further consideration. On 4/12/17 PJM filed an informational filing on status of the Artificial Island: PJM board approved lifting the suspension. On 4/27/17 ODEC filed comments on the 4/12/17 PJM filing. On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017. [See also EL15-95, ER14-972, ER14-1485, and ER15-2562.] | |
| ER16-372 | DEC, DEP, DEBS | PJM revision of its OATT and Operating Agreement to allow Market Sellers to submit day-ahead offers that vary by hour and to allow Market Sellers to update offers in real time on an hourly basis under certain circumstances, filed 11/20/15. On 12/10/15 DEBS intervened on behalf of several affiliates. During fourth quarter 2015, several other parties intervened or filed comments. On 1/8/16 PJM filed its answer to comments. On 2/3/16 FERC advised that 11/20/15 filing was deficient and requested information in 30 days. On 3/4/16 PJM responded to FERC data request. During first quarter 2016, more parties intervened or filed comments on PJM's 3/4/16 response. On 6/17/16 FERC rejected PJM's 11/20/15 filing and directed PJM to submit another compliance filing within 30 days. On 6/24/16 PJM requested an extension of time, which FERC granted on 6/30/16, making the compliance filing due 8/16/16. On 8/16/16 PJM submitted | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| | | its compliance filing. During third and fourth quarters 2016, several parties intervened and filed comments, protests or responses to the filings of others. On 2/3/17 FERC accepted the compliance filing and required a further compliance filing in 30 days. On 3/6/17 PJM requested clarification and also filed its compliance filing. On 3/10/17 the PJM IMM filed an answer to PJM's request for clarification, and on 3/27/17 the PJM IMM filed comments on the PJM compliance filing. On 3/31/17 the Joint State Commissions (PA, NJ and DE) filed a reply to the 3/10/17 IMM filing. On 4/11/17 and 4/13/17 PJM filed its answer to PJM IMM's 3/28/17 comments. During second quarter 2017, PJM IMM, filed comments on PJM's answer, as did several other parties. On 7/31/17 PJM amended its 3/6/17 filing to better describe how PJM will implement hourly offers and calculate penalties. During third quarter 2017, several parties filed comments. On 9/29/17 PJM informed FERC of readiness to implement intraday offers by 11/1/17. | |
| ER16-1967 | Duke Energy, DEC, DEP | PJM revisions to Operating Agreement with MISO to establish timelines for the coordinated system plan study process, in compliance with 4/21/16 order on NIPSCO complaint, filed 6/20/16. On 6/23/16 Duke Energy intervened on behalf of its subsidiaries. During second and third quarters 2016, other parties intervened and filed comments or protests. On 7/26/16 PJM and MISO both filed answers to comments and protests. On 8/9/16 International Transmission Co. also filed an answer. On 12/15/16 PJM submitted its compliance filing. On 1/19/17 FERC accepted PJM's 12/15/16 filing. On 1/19/17 FERC also issued an order on rehearing: denying requests for rehearing, granting requests for clarification in part, conditionally accepting and rejecting in part MISO and PJM's | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | 6/30/16 compliance filings, and requiring further compliance filings in 30 days. On 2/3/17 MISO and PJM requested an extension of time, which FERC granted on 2/10/17, making the compliance filings due 4/24/17. On 4/24/17 PJM filed its compliance filing, subsequently amended on 6/29/17. On 8/1/17 FERC accepted filing as amended 6/29/17. (Closed 9/30/17) | |
| ER17-179 | DEC, DEP | PJM and PJM Transmission Owners (TOs) OATT revisions in compliance with show cause order in EL16-71 regarding transmission planning for Supplemental Projects, filed 10/25/16. On 11/2/16 Duke Energy intervened on behalf of subsidiaries. During fourth quarter 2016 several other parties intervened and filed comments, protests and answers. On 11/30/16 and 12/7/16 PJM and PJM TOs filed answers to comments, protests, and AMP's motion to dismiss. No further activity. | Q3 2017 |
| ER17-367 | DEC, DEP | PJM revisions to its OATT and Reliability Assurance Agreement to support the continuing participation of certain resources as Capacity Performance Resources in its Reliability Pricing Model capacity market, to allow resources to obtain additional capacity interconnection rights and modify rules for measuring Demand Resource performance during the winter periods. On 12/2/16 Duke Energy intervened on behalf of several subsidiaries. During fourth quarter 2016, dozens of other parties intervened, and filed comments or protests. On 12/22/16 LS Power filed its answer to comments. On 12/23/16 PJM Power Providers Corp. and Exelon each filed answers to comments. On 12/23/16 FERC advised PJM that its 11/17/16 filing was deficient and requested additional information within 30 days. On 1/23/17 PJM filed a tariff revision in response to 12/23/16 deficiency letter, updated on 1/24/17. During first quarter 2017, a few | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | parties filed comments or protests, which PJM answered on 3/6/17. On 3/21/17 FERC accepted PJM compliance filing. On 4/25/17 PSEG requested clarification of 3/21/17 order. No further activity. | |
| ER17-905 | Duke Energy, DEC, DEP | NYISO proposed revisions to Joint Operating Agreement (JOA) with PJM, to address interchange scheduling and the implementation of Market-to-Market (M2M) coordination at the ABC Interface and JK Interface on the border of southeastern NY and northern NJ, filed 1/31/17. During first quarter 2017, many parties intervened and filed comments. On 3/31/17 FERC accepted the filing. During second quarter 2017, parties intervened out-of-time, including Duke Energy on 4/13/17, and several requested rehearing. On 5/26/17 FERC granted rehearing for further consideration. No further activity. | Q3 2017 |
| ER17-950 | Duke Energy, DEC, DEP | PJM proposed revisions to its OATT Schedule 12 appendices to revise cost responsibility assignments for Regional Facilities and Necessary Lower Voltage Facilities and Lower Voltage Facilities included in the PJM Regional Transmission Expansion Plan (RTEP) due to termination of long-term firm point-to-point transmission service agreements entered between PJM and ConEd, filed 2/8/17 and amended 2/13/17. During first quarter 2017, many parties intervened and filed comments or protests. During second quarter 2017, parties intervened out-of-time, including Duke Energy on 4/6/17, and several parties filed answers. On 4/25/17 FERC accepted PJM's filings and required a compliance filing. On 4/26/17 PJM filed its compliance filing. During second quarter 2017, several parties filed requests for clarification or rehearing. On 6/20/17 FERC accepted PJM's compliance filing, and on 6/23/17 FERC granted rehearing for further consideration. No further activity. | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|----------------------|---|---|---|
| ER17-1042 | DEC | Revision of PPA with Central EPC (Rate Schedule No. 336) to provide for recovery of costs incurred by DEC in compliance with federal and state environmental requirements related to remediation and management of coal ash combustion residuals and closure of coal ash basins, filed 2/27/17. During first quarter 2017, several EMCs and Piedmont Municipal Power Agency intervened. On 4/24/17 FERC issued deficiency letter. On 5/24/17 DEC responded to deficiency letter. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1138 | Duke Energy, DEC, DEP | PJM revision of OATT and Reliability Assurance Agreement re enhancements to rules governing resources physically outside the PJM region that serve as capacity for loads in the PJM region, filed 3/9/17. On 3/28/17 Duke Energy intervened on behalf of subsidiaries. During first quarter 2017, many other parties intervened. During second quarter 2017, several parties intervened out-of-time; several responded to MISO's 5/26/17 proposal for a technical conference; and PJM, NYISO, PJM IMM, MISO, Brookfield Energy Marketing and Exelon filed answers. On 5/8/17 FERC issued a deficiency letter. On 6/2/17 FERC granted PJM an extension until 9/17/17 to respond. On 8/22/17 two parties filed comments. On 9/18/17 PJM responded to FERC's 5/5/17 deficiency letter. | Q3 2017 |
| ER17-1236 | Duke Energy, DEC, DEP | PJM proposed revisions to its OATT Schedule 12 Appendix A to incorporate cost responsibility assignments for new baseline upgrades included in the recent RTEP approved by the PJM Board of Managers, filed 3/17/17. During second quarter 2017, parties intervened, including Duke Energy on 4/25/17. On 6/1/17 FERC accepted PJM's filing. On 6/30/17 Dominion filed a request for rehearing. On 7/31/17 FERC granted rehearing for further consideration. | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|----------------------|---|--|---|
| ER17-1357 | DEC, DEP | Revision of Joint OATT to add Attachment W memorializing the methodology to calculate real power loss factors and to change the real power loss factor for DEC and DEP to be calculated June 1 annually, filed 3/31/17. During second quarter 2017, several parties intervened. On 5/30/17 FERC issued deficiency letter. On 6/27/17 DEP and DEC responded to deficiency letter. On 7/18/17 NCEMPA filed a protest. On 7/31/17 DEP filed a correction to 6/27/17 filing. On 8/2/17 DEP/DEC filed answer to protest. On 8/25/17 NCEMPA, NCEMC and Fayetteville PWC responded jointly. On 9/27/17 FERC accepted and suspended rates, subject to refund, and established settlement judge procedures. | Q3 2017 |
| ER17-1406 | Duke Energy, DEC, DEP | PJM proposed revisions to OATT Schedule 12, to establish the means by which the costs of Targeted Market Efficiency Projects (TMEPs) will be assigned in the PJM Region, filed 4/11/17. During second quarter 2017, parties intervened, including Duke Energy on 4/17/17. No further activity. | Q3 2017 |
| ER17-1420 | Duke Energy, DEC, DEP | PJM proposed revisions to OATT Schedule 12 Appendix A to incorporate cost responsibility assignments for new baseline upgrades included in the recent RTEP approved by the PJM Board of Managers, filed 4/13/17 and amended 4/28/17. During second quarter 2017, parties intervened, including Duke Energy on 5/18/17. On 6/1/17 FERC accepted PJM's filing. On 6/30/17 Dominion filed a request for rehearing. On 8/8/17 FERC granted extension until 8/25/17. During third quarter 2017, many parties intervened and filed comments, answers and protests. | Q3 2017 |
| ER17-1461 | DEC | Proposed revision of PPA with City of Greenwood, SC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed | Q3 2017 |

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|---------------|--|--|--|
| | | 4/24/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | |
| ER17-1462 | DEC | Proposed revision of PPA with City of Concord to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/24/17 and supplemented 5/24/17. During second quarter 2017, several parties intervened. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1470 | DEP | Proposed revision of PPAs with Towns of Black Creek, Lucama and Stantonsburg to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/25/17 and supplemented 5/25/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1474 | DEC | Proposed revision of PPA with City of Kings Mountain, NC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/26/17 and supplemented 5/24/17. During second quarter 2017, several parties intervened. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1486 | DEC | Proposed revision of PPA with Forest City, NC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/27/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1492 | DEC | Proposed revision of PPA with Town of Dallas, NC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/28/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |

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|----------------------|---|---|---|
| ER17-1520 | DEC | Proposed revision of PPA with Lockhart Power Co. to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/2/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1553 | DEP | Proposed revision to PPA with Fayetteville PWC, filed 5/5/17 and supplemented 5/25/17. On 5/9/17 Fayetteville PWC intervened. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1557 | DEP | Proposed revision of PPA with NCEMPA to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/5/17 and supplemented 5/25/17. On 5/19/17 NCEMPA intervened. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1576 | DEC | Proposed revision of PPA with Western Carolina University to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/9/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1582 | DEC | Proposed revision of PPA with Town of Highlands, NC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/10/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1611 | DEC | Proposed revision of PPA with Town of Prosperity, SC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/15/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |

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|---|---|--|---|
| ER17-1614 | DEC | Proposed revision of PPA with Town of Due West, SC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/17/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1617; ER17-1618 (DEP Certificate of Concurrence) | DEC, DEP | Available Capacity Sales Agreement between DEC and DEP, to allow the parties to sell each other capacity in instances where one party has more capacity than it needs and the other party would benefit from the acquisition of such capacity, filed 5/17/17. During second quarter 2017, several parties intervened and protested. On 7/5/17 NCEMC withdrew protest. On 7/14/17 FERC issued deficiency letter. On 8/11/17 DEC responded to deficiency letter. | Q3 2017 |
| ER17-1653 | DEP | Certificate of Concurrence with revised Interconnection Agreement filed by Dominion to reflect a new Dominion substation that will result in the reconfiguration and renaming of two 230kV Interconnection Points, filed 5/3/17. On 7/12/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1771 | DEP | Proposed revision of PPA with Town of Winterville, NC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 6/7/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1783 | DEC | Proposed revision of PPAs with Piedmont EMC, Rutherford EMC and Haywood EMC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 6/9/17. During second quarter 2017, several parties intervened. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1826 | DEC | Proposed amendments Attachment C-1 of Joint OATT to align | Q3 2017 |

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|--|---|--|---|
| | | processes used by DEC and DEP to calculate ATC and to consolidate Attachments C-1 and C-3 into Attachment C-1, filed 6/15/17. During third quarter 2017, NCEMC and NCEMPA intervened. On 8/7/17 FERC accepted filing. (Closed 9/30/17) | |
| ER17-1839 | DEP | Proposed revision of 2 PPAs with Piedmont EMC and Haywood EMC, filed 6/15/17. During second quarter 2017, Piedmont EMC and Haywood EMC intervened. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1901 | DEP | Cancellation of 89 transmission service agreements for which service hasn't been provided or customers charged during the past 3 years, filed 6/26/17. On 8/2/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-1967; ER17-1963 (withdrawn); ER17-1964 | DEC, DEP | Updated triennial market power analysis focusing on generation owned and controlled by Duke Energy MBR sellers, along with proposed revisions to MBR tariffs to reflect FERC's standard tariff provisions for third-party providers of ancillary services, including Primary Frequency Response service, filed 6/30/17. No further activity. | Q3 2017 |
| ER17-2016 | DEC | Revised Power Purchase Agreement with Piedmont Municipal Power Agency to allow recovery of costs incurred in compliance with federal and state environmental requirements relating to the remediation and management of coal ash combustion residuals and closure of basins in NC and SC, filed 7/19/17. On 8/25/17 FERC accepted the filing. (Closed 9/30/17) | Q3 2017 |
| ER17-2134 | DEC | Cancellation of 88 transmission service agreements under the Joint OATT, filed 7/24/17. On 9/12/17 FERC accepted the filing. (Closed 9/30/17) | Q3 2017 |
| ER17-2183 | DEP | Cancellation of OATT service agreement with Coastal Carolina Clean Power, LLC Kornegay Plant, filed 7/31/17. On 9/20/17 FERC | Q3 2017 |

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| | | accepted filing. (Closed 9/30/17) | |
| ER17-2209 | DEP | Refund report which demonstrates that making time-value refunds to Dominion under the Facilities Upgrade Agreement would result in a loss to DEP, filed 8/1/17. On 9/22/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-2210 | DEC | Metering Agreement with SCE&G that inadvertently had not been filed previously, filed 8/1/17. On 9/22/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| ER17-2218 | Duke Energy, DEC, DEP | Revisions to PJM-MISO Joint Operating Agreement (JOA) to improve implementation and operation of Pseudo-Ties by incorporating into the JOA standard definitions, rules and responsibilities between the two RTOs, to be effective 10/1/17, filed 8/1/17. On 8/22/17 Duke Energy intervened on behalf of subsidiaries. During third quarter 2017, many parties intervened and filed comments, protests or answers. On 9/28/17 FERC issued a deficiency letter and required additional information within 30 days. | Q3 2017 |
| ER17-2267 | Duke Energy, DEC, DEP | PJM filing of unexecuted Interconnection Service Agreement with Linden VFT, L.L.C. and Public Service Electric and Gas Company (PSE&G) pertaining to transition from Firm to Non-Firm Transmission Withdrawal Rights and requesting that FERC resolve dispute between Linden and PSE&G, filed 8/9/17. On 9/13/17 Duke Energy intervened on behalf of subsidiaries. During third quarter 2017, many parties intervened and filed comments, protests or answers. | Q3 2017 |
| ER17-2279 | DEP | Re-filing Large Generator Interconnection Agreement with Craven County Wood Energy LP, inadvertently omitted when Notice of Succession filing was made in 2013, filed 8/10/17. On 9/27/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |

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| ER17-2407 | DEC | Revisions to 10 Power Purchase Agreements to add provisions that reflect electrical losses associated with generator step-up transformers in calculating the quantity of demand and energy billed, filed 8/31/17. | Q3 2017 |
| ER17-2434 | DEP | Revision of Network Integration Transmission Service Agreement and Network Operating Agreement with NCEMC and a new Metering Services Agreement, in conjunction with significant revisions to Power Sale Agreement with NCEMC, filed 9/5/17 and amended 9/7/17. On 9/8/17 Fayetteville PWC intervened. On 9/26/17 NCEMC intervened. | Q3 2017 |
| ER17-2436 | DEC | Revision of Catawba Nuclear Station Interconnection Agreement with NCEMC, to allow recovery of costs incurred in compliance with federal and state environmental requirements relating to the remediation and management of coal ash combustion residuals and closure of basins in NC and SC, filed 9/5/17. On 9/6/17 NCEMC intervened. | Q3 2017 |
| ER17-2437 | DEC | Revision of Power Purchase Agreement with NCEMC, to allow recovery of costs incurred in compliance with federal and state environmental requirements relating to the remediation and management of coal ash combustion residuals and closure of basins in NC and SC, filed 9/5/17. On 9/6/17 NCEMC intervened. | Q3 2017 |
| ER17-2438 | DEP | Revision of Power Supply Agreement with NCEMC, to allow recovery of costs incurred in compliance with federal and state environmental requirements relating to the remediation and management of coal ash combustion residuals and closure of basins in NC and SC, filed 9/5/17. On 9/6/17 NCEMC intervened. | Q3 2017 |
| ER17-2439 | DEP | Revision of Power Supply & Coordination Agreement with NCEMC, to allow recovery of costs incurred in compliance with federal and state environmental requirements relating to the remediation and | |

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| | | management of coal ash combustion residuals and closure of basins in NC and SC, filed 9/5/17. On 9/6/17 NCEMC intervened. | |
| ER17-2499 | DEC | PJM Pseudo-Tie Agreement for Cheoah Units, with TVA, Brookfield Energy Marketing LP and Smoky Mountain Transmission LLC, filed 9/18/17. On 9/25/17 DEC intervened, since it is the third-party Transmission Provider in the agreement and in Appendix 3, an agreement with TVA and Brookfield. | Q3 2017 |
| ER17-2500 | DEC | PJM Pseudo-Tie Agreement for Calderwood Units, with TVA, Brookfield Energy Marketing LP and Smoky Mountain Transmission LLC, filed 9/18/17. On 9/25/17 DEC intervened, since it is the third-party Transmission Provider in the agreement and in Appendix 3, an agreement with TVA and Brookfield. | Q3 2017 |
| P-432 | DEP | Walters Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q3 2017 |
| P-2206 | DEP | Yadkin-Pee Dee Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. Relicensing: A final BiOp was issued by NMFS on 4/29/13 with a finding of no jeopardy but with a newly imposed onerous monitoring provision. DEP filed a complaint in the US District Court, Western District NC on 12/23/13 appealing the final BiOp. On 8/26/14 DEP and NMFS entered into a | Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | <p>settlement, which was approved by the court on 9/2/14. Pursuant to the settlement NMFS issued the revised final BiOp on 4/17/15 consistent with an agreed upon framework. FERC issued a new 40-year license on 4/1/15, but based on the disputed BiOp. On 5/1/15 American Rivers and the City of Rockingham requested rehearing of license terms related to flow. On 5/1/15 DEP also requested rehearing. On 10/15/15 FERC denied American Rivers' / Rockingham's request for rehearing and granted in part DEP's request for rehearing. The license term remains 40 years. On 12/10/15 American Rivers and Rockingham appealed the FERC Order and the NMFS BiOp to the Fourth Circuit Court of Appeals. DEP was permitted to intervene on 12/31/15. Petitioners filed their opening brief on 4/19/16. FERC and NMFS each filed their briefs in response on 6/20/16. DEP filed its brief in response on 7/5/16. Petitioners filed reply brief 7/20/16. Oral argument was held in Richmond, VA on 3/23/17. On 7/6/17, the Fourth Circuit Court of Appeals issued a unanimous decision upholding the FERC Order and the NMFS BiOp.</p> | |
| P-2232 | DEC | <p>Catawba-Wateree Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. This included Duke Energy filing on June 30, 2017, its first annual report of its compliance with License operational requirements (lake levels, flow releases, and water quality).</p> <p>Relicensing: operating under annual licenses since expiration of prior</p> | Q3 2017 |

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| | | <p>license in 2008. The issuance of the final Biological Opinion (BiOp) by the National Marine Fisheries Service (NMFS) was the only outstanding item requiring completion before FERC could issue a new license for the Project. A final BiOp was issued by NMFS on 7/8/13 with a finding of no jeopardy. On 4/17/14 FERC denied DEC's motion requesting that FERC find that South Carolina waived issuance of the water quality certification for failure to act in a timely manner. FERC cannot issue the new license without either a water quality certification or a finding that the certification was waived. On 5/16/14 DEC filed a request for rehearing, appealing the 4/17/14 denial. On 7/11/14 environmental groups American Rivers and the SC Coastal Conservation Council, the SC Department of Health & Environmental Control (SCDHEC) and DEC executed a settlement whereby the environmental groups have dropped their objection to issuance of a water quality certification; DEC agrees to apply for a new certification; and all parties agree to seek a stay of proceedings in SC state courts re challenges to the previously proposed and then denied water quality certification. On 2/12/15 SCDHEC issued a new water quality certification, which has become final and nonappealable. On 3/3/15 SCDHEC notified FERC of the settlement and new final water quality certification. FERC issued a new operating license on 11/25/15, with a 40-year license term. On 12/21/15 DEC filed a rehearing request with FERC, specifically requesting a 50-year license term. On 1/19/16, FERC issued a tolling order effectively giving itself as much time as it needs to respond to DEC rehearing request. On 7/6/16 FERC issued an order on rehearing denying DEC's request for a 50-year license. FERC did approve some minor helpful changes to the license. On 8/22/16 DEC filed a</p> | |

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| | | <p>petition for review in the Court of Appeals, challenging the license denial order. The court ordered FERC to file the administrative record by 10/21/16. On 10/25/16 the court established the briefing schedule. DEC's opening brief was filed on 12/5/16. FERC's brief was filed 2/2/17, and DEC's reply brief was filed 2/17/17. FERC's final brief was filed 3/9/17. The court will set the date for oral argument in a subsequent order.</p> <p><u>License Amendment:</u> On 5/5/17, DEC filed an application to amend the current License. This amendment consisted of several changes to the current License including Comprehensive Relicensing Agreement (CRA) member party requests, some voluntary updates requested by DEC, and changes supporting implementation of the CW Water Management Group's (CWWMG) Water Supply Master Plan. Both states have issued water quality certifications. The FERC put the application out for public notice, which closed 8/14/17.</p> | |
| P-2331 | DEC | Ninety-Nine Islands Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q3 2017 |
| P-2332 | DEC | Gaston Shoals Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q3 2017 |
| P-2380 | DEP | Marshall Hydroelectric Project. Various routine filings pursuant to | Q3 2017 |

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| | | license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | |
| P-2503 | DEC | Keowee-Toxaway Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q3 2017 |
| P-2601 | DEC | Bryson Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q3 2017 |
| P-2603 | DEC | Franklin Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q3 2017 |
| P-2619 | DEC | Mission Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and | Q3 2017 |

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| | | wildlife protection plans. | |
| P-2686 | DEC | West Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q3 2017 |
| P-2692 | DEC | Nantahala Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q3 2017 |
| P-2694 | DEC | Queens Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q3 2017 |
| P-2698 | DEC | East Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q3 2017 |
| P-2740 | DEC | Bad Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation | Q3 2017 |

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| | | and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | |
| RP13-1359 | DEC, DEP | Transco cash-out report for the period 8/1/12 – 7/31/13 and report of cash-out refunds during that period, filed 9/27/13. DEC and DEP intervened on 10/9/13. No further activity. | Q3 2017 |
| RP17-587 | DEC, DEP | East Tennessee's cashout report for November 2015 through October 2016, filed 3/30/17. During second quarter 2017, several parties intervened, including DEC and DEP on 4/12/17. No further activity. | Q3 2017 |
| RP17-744 | DEP | East Tennessee Natural Gas filing of a letter agreement with DEP for firm transportation service under Rate Schedule FT-A, filed 5/12/17. On 5/23/17 DEP intervened. On 7/5/17 FERC accepted filing. (Closed 9/30/17) | Q3 2017 |
| RP17-869 | DEC, DEP | Texas Eastern submission of 2 alternative tariff records with rates for the Lebanon Extension Project which was completed early and will be available for service on 8/1/17, subject to request for rehearing in CP16-3, filed 6/29/17. On 7/11/17 DEC and DEP intervened. During third quarter 2017, several parties intervened. On 7/28/17 FERC accepted the tariff record which did not reflect the Commission's action on the request for rehearing. (Closed 9/30/17) | Q3 2017 |
| RP17-942 | DEC, DEP | Transco revision to Gas Tariff to add section on liability to the Terms and Conditions, to establish a bilateral liability provision that limits liability to direct damages, filed 7/31/17. On 8/11/17 DEC and DEP intervened. During third quarter, several other parties intervened or filed comments. On 8/30/17 FERC accepted the filing. (Closed 9/30/17) | Q3 2017 |
| RP17-952 | DEC, DEP | Transco filing of initial recourse rates for the Atlantic Sunrise Project, portions of which will be available for service on 9/11/17, filed | Q3 2017 |

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| | | 8/1/17. On 8/11/17 DEC and DEP intervened. During third quarter 2017, several other parties intervened. On 8/30/17 FERC accepted the filing. On 9/6/17 Transco filed notice that partial path service began 9/1/17. (Closed 9/30/17) | |
| RP17-964 ; RP17-967 | DEC, DEP | Texas Eastern's Joint Settlement Extension Agreement with shippers who agreed to participate in a dispute resolution process, filed 8/4/17. On 8/9/17 Texas Eastern asked FERC to consider the agreement in a new docket (RP17-967) instead. On 8/16/17 DEC and DEP intervened in both dockets. During third quarter 2017, many other parties intervened. | Q3 2017 |
| RP17-1049 | DEC, DEP | Transco cash-out report for the period 8/1/16 through 7/31/17, filed 9/14/17. On 9/26/17 DEC and DEP intervened. During third quarter 2017, several parties intervened. | Q3 2017 |
| RP17-1053 | DEC, DEP | Dominion Energy Carolina Gas Transmission LLC report of proposed Fuel Retainage Percentages for the 12-month period beginning 11/1/17 and report of proposed surcharge for outstanding balance in the Transportation Deferred Account, filed 9/15/17. On 9/27/17 DEC and DEP intervened. During third quarter 2017, 2 other parties intervened. | Q3 2017 |

Attachment B**Periodic Reports Filed with FERC – Third Quarter 2017**

| Docket No(s). | Filing Entity (Duke Energy, DEC, DEBS) | Description of Report |
|----------------------|---|--|
| N/A | DEC, DEP | Form 3Q – Quarterly Financial Report |
| ER05-1236 | DEC | Independent Entity Independent Market Monitor Reports submitted quarterly by Potomac Economics, Ltd. |

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| RM01-8; RM10-12; RM12-3; ER02-2001 | Duke Energy, DEC, DEP | Notice seeking comments on proposed revisions to EQR reporting requirements, issued 9/22/16. On 11/7/16 FERC extended due date for complying with revised EQR reporting requirements from Q4 2016 to Q1 2017 (due 4/30/17). On 12/5/16 FERC issued its order on rehearing and clarification of its 6/16/16 order on EQR reporting requirements. On 12/6/16 Duke Energy Corp. filed comments on behalf of its subsidiaries that file EQR, supplementing EEI comments: opposing the addition of transaction data for ancillary services provided by transmission providers under their OATTs and opposing the approach to the tariff reference field. During fourth quarter 2016, EEI and several other parties filed comments. On 3/27/17 FERC extended due date for complying with revised EQR reporting requirements from Q1 2017 (due 4/30/17) until further notice, due to technical implementation issues. On 3/29/17 FERC scheduled an EQR Users Group meeting to be held 5/16/17 to discuss potential improvements to EQR filing process. On 5/8/17 FERC issued agenda for 5/16/17 EQR Users Group meeting. <u>During third quarter 2017, FERC granted extensions for second quarter 2017 EQR reports to companies that requested an extension.</u> | Q2 Q3 2017 |
| RM05-5 | DEC, DEP | Final Rule, Order No. 676-H on NAESB Standards for Business Practices and Communication Protocols for Public Utilities. On 6/8/16 EEI requested extension of time for utilities to meet deadlines set by Order No. 676-H. On 6/13/16 DEC and DEP expressed support for EEI motion. During second quarter 2016, many other parties filed comments. New NOPR to incorporate by reference the latest version (Version 003.1) of certain Standards for Business Practices and Communication Protocols adopted by the Wholesale Electric Quadrant of NAESB was issued 7/21/16. During third quarter | Q2 Q3 2017 |

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| | | 2016, 5 parties submitted comments. On 3/27/17 NAESB filed an informational report on standards development by the Wholesale Electric Quadrant corresponding to NERC efforts to retire Reliability Standard BAL-004-0 Time Error Correction. No further activity. | |
| RM16-17 | Duke Energy | Notice of Proposed Rulemaking (NOPR) to collect data for analytics and surveillance purposes from MBR sellers and entities trading virtual products or holding financial transmission rights, issued 7/21/16. On 9/16/16 Duke Energy submitted comments to supplement those of EEI, focusing on the path to create an implementable Data Dictionary and recommending a supplemental NOPR to address software development time and costs. During third quarter 2016, many parties submitted comments, and FERC scheduled a technical workshop 8/11/16 on the draft Data Dictionary. On 10/14/16 PSEG intervened out-of-time. On 11/2/16 FERC scheduled a second technical workshop to be held 12/7/16. No further activity. | Q2 Q3 2017 |
| RM16-23 | Duke Energy, DEC, DEP | NOPR to revise regulations to remove barriers to the participation of electric storage resources and distributed energy resource aggregations in RTO/ISO capacity, energy and ancillary service markets, issued 11/16/16. On 2/13/17 Duke Energy filed comments on behalf of its affiliates, urging FERC to treat energy storage resources in a comparable manner to current resources. During first quarter 2017, many parties intervened and filed comments. On 4/17/17 Harvard Environmental Policy Initiative filed comments. <u>During third quarter 2017, 2 parties filed additional comments.</u> | Q2 Q3 2017 |
| RM17-4 | DEC, DEP | Notice of Inquiry (NOI) inviting comments on changes to policy for establishing length of original and new license terms for hydroelectric projects, issued 11/25/16. On 3/20/17, DEC and DEP filed comments | Q2 Q3 2017 |

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| | | supporting longer license terms and answering specific questions posed in the NOI. During first quarter 2017, many others filed comments. On 6/21/17 US Senator M. Cantwell filed comments. <u>No further activity.</u> | |
| RM17-8 | Duke Energy | NOPR on reform of large generator interconnection procedures and agreements, issued 12/15/16. During first and second quarters 2017, many parties filed comments, including Duke Energy on 4/13/17. <u>During third quarter 2017, 2 parties intervened out-of-time.</u> | Q2 Q3 2017 |
| AC13-170 | DEP | DEP filed request to use Account 182.2 “Unrecovered Plant” on 9/18/13. On 10/2/13 NCEMPA challenged the request. FERC allowed comments until 10/28/13. NCEMPA, Fayetteville PWC and NCEMC all filed protests by 10/28/13. DEP responded to the protests on 11/13/13. On 10/20/14 DEP filed a motion to hold application in abeyance. On 10/24/14 DEP filed settlement agreement resolving all issues. On 11/13/14 FERC Trial Staff filed comments on the settlement, and on 11/21/14 DEP filed reply comments. No further activity. | Q4 Q3 2017 |
| AD08-7 | Progress Energy | Notice of Inquiry (NOI) re methodology for the assessment of electric utility annual charges: FERC issued NOI on 4/21/08; Southern Companies and Progress Energy filed comments on 5/28/08; no activity since third quarter 2008. | Q2 Q3 2017 |
| AD14-8 | Duke Energy | Notice of Technical Conference on Winter 2013-14 Operations and Market Performance in RTOs/ISOs, issued 2/21/14. On 5/16/14 Duke Energy intervened. Many parties submitted comments from May through September 2014. On 11/20/14 FERC initiated a review of how each RTO/ISO is addressing fuel assurance, which has a direct and immediate impact on generator performance and system reliability, and directed RTOs/ISOs to file reports on the status of | Q2-3 2017 |

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| | | their efforts to address fuel assurance issues within 90 days. On 12/19/14 INGA filed comments. On 2/18/15 the RTOs/ISOs filed fuel assurance reports. During first and second quarters of 2015 several parties filed comments on those reports. No further activity. | |
| AD14-15 | DEC, DEP | Common Performance Metrics for regulated utilities operating outside RTOs/ISOs covering the period 2010-14, filed by DEC and DEP 10/27/15 in response to 8/17/15 request for information by the Office of Energy Market Regulation. During fourth quarter 2015 and first quarter 2016, other regulated utilities filed similar reports. On 8/12/16 FERC Staff filed its report on performance metrics for RTOs, ISOs and individual utilities for 2010-14. On 10/18/16 FERC issued a revised report and also an errata notice correcting Table 9 (re Duke Energy information on Special Protection Systems). No further activity. <u>On 8/3/17 FERC Staff filed 2016 Common Metrics Report. On 8/3/17 FERC issued an Errata Notice with corrections to the report filed 8/12/16, as revised 10/18/16.</u> | Q2 Q3 2017 |
| AD15-5 | Duke Energy | Workshop on Available Transfer Capability standards for wholesale electric transmission services, held on 4/21/15. On 5/20/15 Duke Energy responded to comments raised, during the workshop, that questioned the disparity of ATCs calculated by DEC and DEP for the same time period and path. Several other parties filed comments during second quarter 2015. No further activity. | Q2 Q3 2017 |
| AD16-16 | Duke Energy | Notice of Technical Conference on PURPA implementation, to be held 6/29/16, issued 2/9/16. On 6/7/16 Duke Energy filed comments and speaker materials of Kendal Bowman, urging FERC to return to a needs-based implementation of PURPA, with rates established through actual incremental bona fide offers in a nondiscriminatory process. During second quarter 2016, others filed comments and | Q2 Q3 2017 |

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| | | speaker materials. On 9/6/16 FERC invited post-conference comments by 11/7/16. During third quarter 2016, one party filed comments, and NCSEA and NC Clean Energy Business Alliance intervened jointly. On 11/7/16 Duke Energy Corp. filed comments urging FERC to implement PURPA in a manner that reflects all of the goals in the statute, the principles of cooperative federalism set forth in PURPA, and decades of judicial and Commission precedents; and encouraged FERC not to intrude into the States' PURPA rate-making jurisdiction in order to prioritize a single subordinate element of §210(a), e.g. mandating long-term contracts. During fourth quarter 2016 many other parties filed comments. On 1/5/17 NARUC filed a motion to append an addendum to its 11/7/16 comments. No further activity. <u>During third quarter 2017, Xcel Energy supplemented its 11/7/16 post technical conference comments; Alliant Energy and IECA filed their testimony before the US House Subcommittee on Energy.</u> | |
| AD16-18 | Duke Energy | Competitive Transmission Development – Technical Conference. On 5/31/16 Duke Energy filed comments prior to the 6/27-28/16 technical conference, stating that it's far too soon after implementation of Order No. 1000 to embark on reforms. During second quarter 2016, many other parties filed comments and speaker materials. On 8/15/16 FERC granted an extension of time until 10/3/16 to file post-conference comments. During third quarter 2016, a few parties intervened or filed comments. On 10/3/16 Duke Energy Corp. filed comments stating that Duke has no objection to FERC issuing policy guidance on cost containment mechanisms proposed in competitive transmission processes as warranted and requested, but does not support the issuance of a rulemaking at this time or the sort | Q2 <u>Q3</u> 2017 |

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| | | of guidance that triggers new processes where existing processes are meeting the goals of Order No. 1000. During fourth quarter 2016 many other parties filed comments. No further activity. | |
| AD16-20 | Duke Energy | Data requests to RTOs/ISOs on Electric Storage Participation in Regions with Organized Markets, issued 4/11/16. On 6/6/16 Duke Energy submitted comments expressing support for FERC efforts to better understand RTO/ISO market rules' impact on storage technology and participation of energy storage resources in wholesale markets. During second quarter 2016, the RTOs/ISOs responded to the data request, and many parties filed comments. On 11/17/16 FERC issued a NOPR on electric storage participation in RTO/ISO markets. On 12/20/16 FERC extended the deadline for comments to 2/13/17. During first quarter 2017, many parties filed comments. No further activity. <u>On 9/27/17 Advanced Energy Management Alliance filed supplemental comments.</u> | Q2 Q3 2017 |
| AD17-11 | Duke Energy | Notice of Technical Conference re State Policies and Wholesale Markets Operated by ISO-NE, NYISO and PJM, issued 3/3/17. Technical conference was held 5/1/17 and 5/2/17. During second quarter 2017 hundreds of parties filed comments before and after the technical conference, including Duke Energy on 6/22/17. Per 6/30/17 notice granting extension, reply comments are due 7/7/17. <u>During third quarter 2017, many parties intervened and filed comments.</u> | Q2 Q3 2017 |
| CP13-534 | DEC | East Tennessee Natural Gas, LLC application for a certificate of public convenience and necessity for its proposed Kingsport Expansion Project, filed 8/14/13. DEC intervened on 9/13/13. FERC requested additional information on 10/3/13, and East Tennessee responded on 10/22/13. On 11/25/13 FERC requested additional information, and East Tennessee responded on 12/10/13. On 1/17/14 | Q2 Q3 2017 |

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| | | East Tennessee filed responses to 1/13/14 FERC Staff data request. In second and third quarters 2014 East Tennessee filed status reports and supplemental information. In third quarter, FERC approved variance and other requests for modifications and issued an environmental compliance inspection report. On 9/17/14 East Tennessee filed a status report and a request to place project into service by 10/15/14. On 10/14/14 FERC approved request to place Kingsport Expansion Project into service. In fourth quarter 2014 and first, second and third quarters of 2015 East Tennessee filed various status reports. On 10/20/15 East Tennessee filed its quarterly state report: all construction activity has been completed. On 1/6/16 and 4/12/16 East Tennessee advised that it continued to monitor right-of-way. During third quarter 2016, East Tennessee filed its quarterly status report on right-of-way monitoring. During fourth quarter 2016, East Tennessee filed its quarterly status report and FERC issued its Environmental Inspection Report finding no problems and recommending no further inspections. During first quarter 2017, East Tennessee filed its quarterly status report. No further activity. | |
| CP13-551 | DEC, DEP | Transcontinental Gas Pipe Line Co. (Transco) application for a certificate of public convenience and necessity to construct and operate the Leidy Southeast Project, to be in service by 12/1/15, filed 9/28/13. DEC and DEP intervened on 10/23/13. During the fourth quarter of 2013 and the first two quarters of 2014, Transco provided supplemental information and responded to Commission requests for additional information. On 6/12/14 FERC issued its schedule for environmental review of the project. During third quarter 2014, Transco responded to data requests, and many parties filed comments. On 12/18/14 FERC issued certificate and approved abandonment of | Q2 Q3 2017 |

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| | | facilities. In fourth quarter 2014 Transco filed supplemental information and on 12/19/14 filed its acceptance of certificate. In first and quarters of 2015 Transco filed supplemental information and requests for variance. On 4/28/15 and 5/19/15 FERC granted Transco's requests to proceed with construction of certain facilities for the project. On 7/13/15 FERC granted Transco's request to use areas as additional temporary workspace. In third and fourth quarters 2015 and first quarter 2016, Transco filed various reports. On 12/30/15 and 12/31/15 FERC authorized commencement of service for facilities but required Transco to continue filing status reports until restoration activities are complete. On 3/3/16 FERC denied requests for rehearing of 12/18/14 order granting CPCN. During second and third quarters 2016, Transco filed various reports. During fourth quarter 2016, Transco filed status reports, supplemental information, and a variance request (that was granted); and FERC issued 2 environmental inspection reports and 1 construction inspection report. On 11/28/16 FERC granted the request to place the Skillman Loop in service. During first and second quarters 2017, Transco filed various reports and variance requests; during second quarter 2017, FERC approved request to maintain permanent storage yard in Monroe County, PA. <u>On 7/13/17 Transco filed its quarterly status report.</u> | |
| CP14-68 | Duke Energy, DEC, DEP, DEBS | Texas Eastern Transmission, LP abbreviated application for a certificate of public convenience and necessity (CPCN) for its proposed Ohio Pipeline Energy Network Project to deliver production from Utica and Marcellus Shale to Gulf Coast area markets, filed 1/31/14 and revised 3/7/14. Duke Energy intervened on behalf of DEC, DEP, DEBS and other utilities on 2/11/14. On 2/25/14 DEC | Q2 Q3 2017 |

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| | | <p>and DEP also intervened separately. In second quarter 2014 Texas Eastern responded to various FERC data requests. On 6/24/14 FERC issued its schedule for environmental review of the project, which was revised on 8/11/14. FERC issued environmental assessment 8/22/14, and during third quarter 2014 several parties filed comments. In fourth quarter 2014 Texas Eastern submitted various reports. On 12/2/14 FERC issued a certificate. On 12/5/14 Texas Eastern filed its acceptance of the certificate and subsequently on 12/15/14 and 12/22/14 submitted its implementation plan. In first and second quarters of 2015 Texas Eastern filed reports and submitted variance requests. On 4/16/15 FirstEnergy intervened out of time and requested a stay of construction authorized in Columbiana County, OH. On 4/24/15 Texas Eastern objected to the late intervention and the stay. On 4/30/15 FirstEnergy filed its answer and renewed its request for expedited action on its motion for stay. After FERC opened a new docket (RP15-971), FirstEnergy on 5/6/15 filed a motion for abeyance of action on prior motions in this docket. During third quarter 2015, Texas Eastern submitted various reports and requests for variance; FERC granted requests on 7/16/15, 8/4/15, 9/11/15, 9/15/15, 9/22/15 and 9/29/15. During fourth quarter 2015, Texas Eastern submitted various reports and supplemental information. On 11/17/15 FERC granted request to place in service the remaining OPEN facilities not included in prior in-service approvals. On 12/1/15 Texas Eastern filed notice of commencement of service on those remaining facilities. During first and second quarters 2016, Texas Eastern submitted reports on post in-service right-of-way monitoring. During third and fourth quarters 2016, Texas Eastern filed various reports and variance requests. During</p> | |

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| | | second quarter 2017, Texas Eastern filed quarterly status reports. <u>On 9/5/17 Texas Eastern filed a variance request, which FERC granted 9/7/17.</u> | |
| CP15-16 | DEC, DEP | Transco application for a CPCN for Hillabee Expansion Project, filed 11/18/14, and supplemented on 12/22/14 and 12/23/14. On 12/22/14 DEC and DEP intervened. During fourth quarter 2014 many other parties intervened or filed comments. During first quarter 2015 Transco filed supplemental information. On 3/13/15 FERC requested additional information within 30 days. On 4/10/15 Transco filed the requested information. During second quarter 2015 FERC requested additional information, which Transco filed, and various parties filed comments. On 5/13/15 FERC issued schedule for environmental review of the Southeast Market Pipelines Project, of which Transco's application is part. During third quarter 2015, Transco filed various reports including the Draft Environmental Impact Statement. During fourth quarter 2015, public meetings were held; comments were filed; FERC requested additional information; and Transco filed supplemental information including Final Environmental Impact Statement <u>(EIS)</u> . On 2/2/16 FERC issued CPCN. On 3/2/16 Transco filed its acceptance of CPCN, and several parties filed requests for rehearing of 2/2/16 order. During first quarter 2016, Transco filed supplemental information. On 3/29/16 FERC granted rehearing for further consideration of its 2/2/16 order. During second quarter 2016, Transco filed supplemental information and various reports. On 5/24/16 FERC granted Transco's request to use certain contractor yards, and on 6/29/16 FERC granted variance request to use a temporary access road in Coosa County. During third quarter 2016, Transco filed supplemental information, various reports and notice of | Q2 Q3 2017 |

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| | | commencement of construction. On 9/7/16 FERC denied requests for clarification of its 2/2/16 order with respect to Transco accounting for fuel costs via fuel tracker filings. During fourth quarter 2016, Transco filed variance requests and various reports; and FERC issued an Environmental Inspection Report. On 3/27/17 Sierra Club filed comments. During first and second quarters 2017, Transco filed variance requests and various reports, and FERC responded to requests. On 5/18/17 Sierra Club asked FERC to deny requests until DC Circuit Court rules on pending matter. <u>During third quarter 2017, Transco filed status reports and supplemental information. On 9/27/17 FERC issued Draft Supplemental EIS.</u> | |
| CP15-29 | DEC, DEP | Transco's application for a CPCN for Gulf Trace Expansion Project, filed 12/15/14. On 1/12/15 DEC and DEP intervened. During first quarter 2015, Transco supplemented its application, and other parties intervened. On 3/4/15 and 3/23/15 FERC requested additional information. In second quarter 2015 Transco responded to FERC's data requests and filed supplemental information on the project. On 9/14/15 Transco filed comments and clarifications on draft Environmental Assessment. On 10/21/15 FERC issued the CPCN, with conditions including completion of construction and placement into service within 2 years. On 11/3/15 Transco accepted the CPCN. On 11/20/15 Transco requested clarification or rehearing on air emissions modeling requirements. On 12/14/15 FERC granted rehearing for further consideration. During first quarter 2016, Transco commenced construction of the project and filed various status reports. During second quarter 2016, Transco filed supplemental information and various reports. On 5/16/16 and 6/3/16 FERC granted Transco's requests to use temporary work space to | Q2 Q3 2017 |

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| | | stockpile excavated soil and to install piping. On 6/16/16 FERC dismissed Transco's rehearing request as moot. During third quarter 2016, Transco filed various reports and variance requests. During fourth quarter 2016, Transco filed status reports, and FERC issued Environmental and Construction Inspection Reports and granted the request to place the Duralde Meter Station facilities into service. During first and second quarters 2017, Transco filed status reports, supplemental information and various requests; and FERC responded to requests and issued an Environmental Inspection Report. <u>During third quarter 2017, Transco filed various reports.</u> | |
| CP15-89 | DEC, DEP | Transco's application for an abbreviated CPCN for Garden State Expansion Project, filed 2/18/15. On 3/23/15 DEC and DEP intervened. During first quarter 2015, Transco supplemented its application, and other parties intervened or filed comments. During second quarter 2015, Transco responded to FERC's 4/7/15, 4/22/15 and 5/13/15 data requests, and many parties filed comments. During third and fourth quarters 2015, Transco filed supplemental information, and additional parties (including many individuals) intervened and filed comments. During first quarter 2016, many more parties intervened and filed comments. On 2/18/16 Transco filed draft implementation plan. On 3/28/16 Transco renewed its request for prompt approval of CPCN. On 4/7/16 FERC issued certificate. On 4/11/16 Transco accepted certificate. During second quarter 2016 several parties filed requests for rehearing/stay of 4/7/16 order, and Transco filed various reports and implementation plans. On 6/8/16 FERC denied the stay but granted rehearing for further consideration. On 7/1/16 Transco filed a request for partial notice to proceed with construction. During third quarter 2016, various parties | Q2 Q3 2017 |

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| | | filed comments opposing that request, and Transco filed various reports. On 11/9/16 FERC denied requests for rehearing. During fourth quarter 2016, Transco filed status reports. On 1/6/17 the town of Bordentown, NJ filed a petition for review with the 3d Cir. Court of Appeals. During first quarter 2017, Transco filed status reports, requests to proceed and variance requests. On 3/22/17 Bordentown protested the request for a notice to proceed. On 3/24/17 FERC approved the 3/21/17 request to construct the Chesterfield Meter Station and related equipment. On 3/29/17 Bordentown filed a motion for stay of the 3/24/17 notice to proceed. During second quarter 2017, Transco filed status reports and variance requests. On 4/3/17 Transco filed answer to 3/29/17 motion to stay. <u>During third quarter 2017, Transco filed various reports, supplemental information and variance requests. On 9/8/17 FERC allowed Transco to commence service of Phase I facilities.</u> | |
| CP15-90 | DEC, DEP | Texas Eastern's abbreviated application for a CPCN for Gulf Markets Expansion Project, filed 2/19/15. On 3/23/15 DEC and DEP intervened. During first quarter 2015, Texas Eastern supplemented its application, and other parties intervened or filed comments. During second quarter 2015, Texas Eastern responded to FERC data requests and provided supplemental information; several parties filed comments. During third quarter 2015, Texas Eastern filed an Environmental Assessment Report and supplemental information. On 8/19/15 FERC issued a data request, which Texas Eastern responded to on 8/21/15. On 12/17/15 FERC issued order granting CPCN, subject to conditions including completion of construction and placement into service within 2 years. On 1/7/16 Texas Eastern accepted CPCN. On 1/19/16 Texas Eastern filed request for rehearing | Q2 Q3 2017 |

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| | | or clarification of 12/17/15 order. On 2/16/16 FERC granted rehearing for further consideration of its 12/17/15 order. During first quarter 2016, Texas Eastern commenced construction and filed various status reports. On 4/8/16 and 4/29/16 Texas Eastern requested authorization to commence construction of additional facilities, which FERC granted on 4/11/16 and 5/3/16. On 5/20/16 FERC denied rehearing and granted clarification. During second and third quarters 2016, Texas Eastern filed various reports, supplemental information, various requests and requests to commence construction. On 9/14/16 and 9/26/16 Texas Eastern requested authorization to begin service at certain facilities, both of which FERC granted. On 10/7/16 Texas Eastern advised FERC of commencement of service for Phase 1 facilities. During fourth quarter 2016, Texas Eastern also filed supplemental information and status reports; and FERC issued Environmental Inspection Reports. During first and second quarters 2017, Texas Eastern filed various reports. <u>During third quarter, FERC granted Texas Eastern's request to place into service all project facilities, and Texas Eastern filed its final status report, stating that all construction and restoration activities have been completed. (Closed 9/30/17)</u> | |
| CP15-117 | DEC, DEP | Transco's application for an abbreviated CPCN for the Dalton Expansion Project, filed 4/1/15. On 4/22/15 DEC and DEP intervened. On 4/22/15 NCUC and NYPSC filed comments and requested an evidentiary hearing. On 5/12/15 Transco filed its answer to NCUC / NYPSC protest. On 5/19/15 FERC requested additional information, which Transco provided on 6/4/15. On 5/27/15 the NCUC and NYPSC responded to Transco's answer. Many other parties intervened, and Transco filed supplemental | Q2 Q3 2017 |

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| | | information during second quarter 2015. On 6/17/15 EPA expressed concern about route's impact on a federally-mandated conservation easement. During third and fourth quarters 2015, Transco filed supplemental information, and several parties filed comments. During first quarter 2016, Transco filed supplemental information, and FERC issued Environmental Assessment of project. During second quarter 2016, Transco filed supplemental information; EPA and USF&W filed comments, as did other parties. On 8/3/16 FERC issued a CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. During third and fourth quarters 2016 and first quarter 2017, Transco filed various reports and supplemental information and requested authorization to begin construction; and FERC granted many of the requests to proceed. On 3/29/17 FERC authorized Transco to begin partial path interim service. During second quarter 2017, Transco filed reports, and a few parties filed comments. <u>On 7/26/17 FERC granted the request to commence service on the remaining facilities. On 8/4/17 Transco notified FERC that the facilities had been placed in service. During third quarter, Transco filed various reports.</u> | |
| CP15-118 | DEC, DEP | Transco's application for CPCN for Virginia Southside Expansion Project II, filed 3/23/15. On 4/22/15 DEC and DEP intervened. On 4/22/15 NCUC and NYPSC filed comments and requested an evidentiary hearing. On 4/30/15 FERC requested additional information, which Transco filed on 5/11/15. On 5/12/15 Transco filed its answer to NCUC / NYPSC protest. On 5/27/15 the NCUC and NYPSC responded to Transco's answer. Many other parties intervened, and Transco filed supplemental information during | Q2 Q3 2017 |

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| | | second quarter 2015. During third and fourth quarters 2015 and first quarter 2016, Transco filed supplemental information and responded to FERC Staff's data requests. During second quarter 2016, Transco filed supplemental information, and FERC revised the schedule for environmental review of the project. On 7/7/18 FERC issued the CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/6/16 FERC granted rehearing for further consideration. During third and fourth quarters 2016 and first, and second <u>and third</u> quarters 2017, Transco filed various reports and supplemental information and requested authorization to begin construction; and FERC granted several requests to proceed. <u>On 9/13/17 FERC issued an environmental inspection report.</u> | |
| CP15-138 | DEC, DEP | Transco's application for an abbreviated CPCN for Atlantic Sunrise Project, filed 3/30/15. On 4/29/15 DEC and DEP intervened. On 4/22/15 NCUC and NYPSC filed comments and requested an evidentiary hearing. On 5/12/15 Transco filed its answer to NCUC / NYPSC protest. On 5/19/15, 6/10/15 and 6/17/15 FERC requested additional information, which Transco subsequently provided. On 5/27/15 the NCUC and NYPSC responded to Transco's answer. Many other parties intervened, and Transco filed supplemental information during second quarter 2015. During third quarter 2015, Transco filed supplemental information, and many parties filed comments. On 9/30/15 FERC issued a data request. During fourth quarter 2015 and first and second quarters 2016, dozens of parties filed comments (including many individuals), and Transco filed supplemental information. During third and fourth quarters 2016, more comments were filed, and Transco filed supplemental information, reports and responses to FERC data requests. On | Q2 <u>Q3</u> 2017 |

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| | | 12/30/16 FERC issued its Final Environmental Impact Statement. On 2/3/17 FERC issued CPCN with conditions, and on 2/6/17 Transco accepted the CPCN. During first quarter 2017, many parties filed comments, protests, requests for rehearing and/or stay; Transco filed reports, requests to proceed, supplemental information and responses to the motions for stay. On 3/13/17 FERC granted rehearing for further consideration of its 2/3/17 ruling. On 3/29/17 FERC granted Transco's request to proceed with constructing meter and regulator facilities in NC. During second quarter 2017, parties filed comments, and Transco filed reports and supplemental information. On 5/11/17 Hilltop Hollow LP filed a petition for review of FERC's 2/3/17 and 3/13/17 orders with the DC Circuit Court. <u>On 8/31/17 FERC denied Allegheny's 2/10/17 request for stay, which led to several requests for rehearing. On 9/26/17 Transco filed its answer to those filings. During third quarter 2017, Transco filed reports, supplemental information and requests to place facilities into service.</u> | |
| CP15-499 | DEC, DEP | Texas Eastern Transmission, LP's application for an abbreviated CPCN for its proposed South Texas Expansion Project, filed 5/21/15. On 6/23/15 DEC and DEP intervened. During second quarter 2015, many other parties intervened. During third and fourth quarters 2015, Texas Eastern responded to FERC data requests, and a few parties filed comments for use in preparing Environmental Assessment. During first quarter 2016, Texas Eastern filed supplemental information. On 12/30/16 Texas Eastern amended its application, changing the target in-service date to 10/1/18. On 1/12/17 Texas Eastern amended its application. During first quarter 2017, a few parties intervened; Texas Eastern responded to a FERC Staff data request and filed updated landowner lists. During second quarter | Q2 Q3 2017 |

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| | | 2017, several parties filed comments; FERC requested additional information; and Texas Eastern responded to FERC's data requests. <u>During third quarter 2017, FERC requested information from USF&W and issued Environmental Impact Statement for the project.</u> | |
| CP15-554; CP15-555; CP15-556 | DEC, DEP | Atlantic Coast Pipeline (ACP) application, filed by Dominion Transmission, ACP and Piedmont Natural Gas Co. On 2/17/17 DEC and DEP filed supplemental comments in support of the pipeline. During first quarter 2017, thousands of parties, including individuals, filed comments. During second quarter 2017, ACP and Dominion Transmission filed supplemental information. <u>On 7/21/17 FERC issued the final EIS. During third quarter 2017, hundreds of parties filed comments, and ACP filed supplemental information and responses to FERC data requests.</u> | Q2 Q3 2017 |
| CP16-3 | DEC, DEP | Texas Eastern Transmission, LP's application for an abbreviated CPCN for proposed Access South, Adair Southwest and Lebanon Extension Projects, filed 10/8/15. On 11/9/15 DEC and DEP intervened. During fourth quarter 2015, several other parties intervened and Texas Eastern submitted data. On 11/24/15 Texas Eastern filed its answer to comments. On 12/21/15 FERC requested additional information. During first, second and third quarters 2016, Texas Eastern filed supplemental information and amended its application. On 6/16/16 and 9/8/16 USF&W filed comments. On 12/21/16 FERC issued the CPCN and approved abandonment of facilities. On 12/29/16 Texas Eastern filed its Initial Implementation Plan. On 1/9/17 Texas Eastern accepted the CPCN terms. During first quarter 2017, 2 parties filed requests for rehearing; Texas Eastern filed reports, requests to proceed and answer to requests for rehearing; and FERC responded to some of the requests to proceed. | Q2 Q3 2017 |

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| | | On 3/31/17 FERC granted Texas Eastern's request to begin construction of the Berne Compressor Station in Ohio. During second quarter 2017, Texas Eastern filed reports and variance request; FERC granted various requests and issued an Environmental Inspection Report. <u>On 7/19/17 FERC granted request to place facilities in service. On 8/8/17 Texas Eastern notified FERC that facilities commenced service 8/1/17. During third quarter 2017, Texas Eastern also filed reports and variance requests.</u> | |
| CP16-10 | DEC, DEP | Mountain Valley Pipeline (MVP) application for CPCN to build 301 miles of new interstate natural gas pipeline, 3 compressor stations and other facilities in WV and VA, filed 10/23/15, requesting a final order by 10/15/16. On 11/12/15 DEC and DEP intervened. During fourth quarter 2015, first, second, third and fourth quarters 2016 and first quarter 2017, dozens of other parties intervened (including many individuals) and filed comments; and MVP filed supplemental information and responses to FERC data requests. On 3/31/17 FERC revised the schedule for environmental review. <u>On 6/23/17 FERC issued the Final Environmental Impact Statement.</u> During second <u>and third</u> quarters 2017, many parties filed comments, and MVP filed supplemental information and responded to FERC data requests. | Q2 Q3 2017 |
| CP16-494 | DEC | Transco abbreviated CPCN application to build and operate the Gulf Connector Expansion Project, filed 8/16/16, an expansion of its interstate transmission system in Texas and Louisiana. On 9/15/16 DEC and DEP intervened. During third quarter 2016, several other parties intervened and Transco filed supplemental information. During fourth quarter 2016, Transco responded to data requests, and filed supplemental information; 2 parties filed comments. During first and second quarters 2017, Transco filed supplemental information | Q2 Q3 2017 |

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| | | and responded to a data request. <u>On 9/21/17 FERC issued its Environmental Assessment of the project. During third quarter 2017, Transco filed supplemental information.</u> | |
| CP16-501 | DEC, DEP | Texas Eastern's abbreviated application for CPCN for proposed Marshall County Mine Panel Project in WV, filed 9/15/16. On 10/20/16 DEC and DEP intervened. During fourth quarter 2016 several other parties intervened, Texas Eastern filed supplemental information and responses to various FERC data requests. On 1/26/17 FERC issued a CPCN, and on 2/7/17 Texas Eastern accepted the CPCN order. During first quarter 2017, Texas Eastern filed a notice to proceed with construction, and FERC approved it 3/7/17. On 4/5/17 Texas Eastern filed a Notice of Commencement of Construction. During second quarter 2017, Texas Eastern filed supplemental information and variance requests; and FERC responded to variance requests. <u>On 9/5/17 FERC issued a Construction Inspection Report. During third quarter 2017, Texas Eastern filed various status reports.</u> | Q2 Q3 2017 |
| CP17-6 | DEC, DEP | Texas Eastern abbreviated application for approval to abandon 16.5 miles of pipeline facilities previously removed from active gas service in OH, WV and PA, filed 10/28/16. On 11/30/16 DEC and DEP intervened. During fourth quarter 2016 several other parties intervened. On 12/21/15 FERC issued Notice of its Staff's intention to prepare an Environmental Assessment of the impacts of abandonment. On 3/7/17 FERC issued its schedule for environmental review of the project. During first quarter 2017, a few parties filed comments; FERC issued a data request; and Texas Eastern responded to the data request and filed supplemental information. On 6/15/17 FERC approved abandonment of the facilities, with environmental | Q2 Q3 2017 |

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| | | conditions. (Closed 6/30/17) On 8/3/17 Texas Eastern filed a request for extension of time to file implementation plan, which FERC granted 8/4/17. On 8/31/17 USF&W filed supplemental information. | |
| CP17-46 | DEC, DEP | Southern Natural Gas Co. (SNG) CPCN application for the Fairburn Expansion Project in Georgia, filed 2/3/17. On 3/7/17 DEC and DEP intervened. During first quarter 2017, several other parties intervened, and SNG filed supplemental information and responded to a FERC data request. On 3/20/17 FERC issued notice of Environmental Assessment and asked for comments by 4/19/17. During second quarter 2017, parties filed comments, and SNG responded to FERC data requests. <u>On 8/18/17 FERC issued Environmental Assessment of the project. During third quarter 2017, several parties filed comments, and SNG filed supplemental information and responded to FERC data requests.</u> | Q2 Q3 2017 |
| CP17-58 | DEC, DEP | Transco abbreviated CPCN application for the St. James Supply Project in Louisiana, filed 2/6/17. On 3/7/17 DEC and DEP intervened. During first quarter 2017, several other parties intervened, and SNG filed supplemental information and responded to a FERC data request. On 3/17/17 FERC issued notice of Environmental Assessment and asked for comments by 4/14/17. During second quarter 2017, parties filed comments, and SNG responded to FERC data requests. On 6/12/17 FERC issued a notice of the schedule for environmental review of the project. <u>On 8/1/17 one party filed comments.</u> | Q2 Q3 2017 |
| CP17-101 | DEC, DEP | Transco abbreviated CPCN application to construct and operate the Northeast Supply Enhancement Project, filed 3/26 /17. During first and second quarters 2017, Transco filed supplemental information; hundreds of parties intervened and filed comments, including DEC | Q2 Q3 2017 |

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| | | and DEP on 4/27/17; FERC issued several data requests; and Transco responded. <u>During third quarter 2017, hundreds of parties intervened and filed comments, and Transco filed supplemental information.</u> | |
| CP17-119 | DEC, DEP | Texas Eastern abbreviated application for approval to abandon two compressor units and related appurtenances, located in Gregg County, TX, filed 3/31/17 and supplemented 4/4/17. During second quarter 2017, several parties intervened, including DEC and DEP on 5/4/17. On 5/17/17 FERC approved abandonment of the facilities. (Closed 6/30/17) | Q2-2017 |
| CP17-212 | DEC | Transco variance request for a route modification in Luzerne and Wyoming counties, part of the Atlantic Sunrise Project, filed 4/19/17 and supplemented 4/27/17 and 5/16/17. During second quarter 2017, several parties intervened, including DEC on 5/12/17. On 5/18/17 FERC granted the certificate to abandon, with conditions. On 5/24/17 Transco accepted the certificate. (Closed 6/30/17) <u>On 7/31/17 Transco filed implementation plan. On 9/5/17 Transco filed request for Notice to Proceed, which FERC granted 9/15/17. During third quarter, Transco filed supplemental information and weekly status reports.</u> | Q2 <u>Q3</u> 2017 |
| CP17-450 | DEC, DEP | Transco application for approval to abandon gathering facilities located in offshore Texas, filed 5/25/17. During second quarter 2017, several parties intervened, including DEC and DEP on 6/19/17; FERC issued a data request, and Transco responded. <u>On 7/25/17 Transco filed supplemental information. On 8/2/17 FERC issued the Environmental Assessment. On 8/8/17 FERC granted the request to abandon pipeline facilities and required Transco to notify the Commission within 10 days of abandonment.</u> | Q2 <u>Q3</u> 2017 |
| EL13-88 | Duke Energy, | Complaint of Northern Indiana Public Service Co. (NIPSCO) against | Q2 <u>Q3</u> 2017 |

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| | DEC, DEP, DEBS | <p>MISO and PJM to remedy flaws in the interregional planning process between the two, filed on 9/11/13. Duke Energy intervened on 9/30/13 on behalf of its subsidiaries that sell into the PJM market. Both MISO and PJM filed answers or motions for summary disposition in October 2013. On 12/19/13 FERC issued an order holding the complaint in abeyance, pending resolution of related matters that may materially affect certain elements of this complaint. On 7/30/14 NIPSCO asked FERC to no longer hold complaint in abeyance. Several parties responded to NIPSCO's request in third quarter 2014, and on 9/9/14 NIPSCO responded to issues raised. On 12/18/14 FERC directed Staff to convene a technical conference to explore issues raised in the complaint and established a refund effective date of 9/11/13. On 12/19/14 Midwest TDUS intervened. On 2/12/15 FERC requested comments on the issues prior to the technical conference. Initial comments were filed in first quarter 2015. Reply comments were filed in second quarter 2015, and a technical conference was held 6/15/15 to explore issues raised in the complaint. On 9/28/15 NIPSCO filed its answer. On 10/13/15 PJM filed a limited response to NIPSCO answer. During first quarter 2016, additional comments and answers were filed. On 4/21/16 FERC granted complaint in part and directed MISO and PJM to submit compliance filings and informational filings pertaining to their interregional planning process. During second quarter 2016, several parties requested rehearing, filed answers and intervened out of time. On 6/17/16 FERC granted rehearing for further consideration. During third quarter 2016, more parties filed motions to intervene and answers to each other's filings. On 8/19/16 MISO and PJM filed a joint report on efforts to improve transmission expansion planning</p> | |

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| | | coordination, as required by 4/21/16 order. On 10/18/16 (supplemented 10/25/16) MISO and PJM filed another report in response to 4/21/16 order. During fourth quarter 2016, several parties filed comments on the 10/25/16 report. On 2/3/17 MISO and MISO TOs requested an extension of time, which FERC granted 2/10/17, making compliance filings due 4/24/17. No further activity. | |
| EL14-37 | Duke Energy, DEC, DEP | Investigation to address whether PJM's current OATT applies the Financial Transmission Rights (FTR) forfeiture rule to Up to Congestion transactions justly, issued 8/29/14. On 9/22/14 Duke Energy intervened on behalf of its subsidiaries; many other parties intervened as well during third quarter 2014. On 9/24/14 the Financial Marketers Coalition (FMC) filed a motion for clarification and request for expedited consideration. During fourth quarter 2014 more parties intervened. On 10/22/14 FERC granted rehearing for further consideration of its 8/29/14 order initiating the investigation. On 10/31/14 FERC scheduled a technical conference to be held 1/7/15 re PJM's FTR tariff provisions. On 12/16/14 FERC denied the FMC motion. The technical conference was held 1/7/15. During first quarter 2015, several parties intervened out of time. During second quarter 2015, more parties intervened and filed comments following the technical conference. During third quarter 2015, PJM, the PJM Market Monitor and Exion Energy each filed answers, and the Financial Marketers Coalition filed reply comments. On 11/25/15 Vandelay Holdings intervened out-of-time. On 2/11/16 PJM advised that Up-to Congestion Transactions were increasing again and encouraged resolution of this proceeding. Joint parties and FMC responded on 2/26/16 and 3/1/16 respectively. On 1/19/17 FERC issued its Order on investigation, finding PJM's application of its | Q2 Q3 2017 |

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|--|--|---|--|
| | | FTR forfeiture rule to virtual transactions to be unjust and unreasonable; requiring PJM to make a compliance filing within 90 days; and holding the issue of uplift in abeyance, pending the outcome of a NOPR in a separate proceeding. No further activity. <u>On 7/14/17 PJM filed a statement of support.</u> | |
| EL15-29, ER15-623 (not consolidated but closely related) | Duke Energy, DEC, DEP | PJM revision of (1) Operating Agreement (OA) and OATT to correct deficiencies related to excuses for resource performance and (2) Reliability Pricing Market rules in its OATT, filed 12/12/14. On 1/12/15 Duke Energy intervened on behalf of several subsidiaries. During first quarter 2015, many parties intervened or filed comments. On 2/13/15 PJM filed its answer to comments and protests. On 2/25/15 the PJM Independent Market Monitor (IMM) filed its answer to comments. On 3/20/15 and 3/26/15 the PJM IMM filed its answer to PJM's 2/13/15 filing and to answers filed by others to the 2/25/15 filing. On 6/9/15 FERC conditionally accepted the proposed tariff revisions. On 6/30/15 several parties requested expedited clarification or rehearing by 7/17/15. On 7/9/15 PJM filed a compliance tariff filing. During third quarter 2015, more parties filed requests for rehearing or clarification. On 7/22/15 FERC denied requests for clarification, granted in part the request for rehearing, granted in part the complaint and directed PJM to submit a compliance filing within 15 days. On 7/24/15 PJM filed answers to new requests for rehearing. On 7/29/15 and 9/18/15 FERC granted rehearing for further consideration in 2 subdockets. On 12/22/15 PJM filed an informational filing to notify FERC and market participants of its plan to implement capacity performance rules accepted by the Commission and as clarified in PJM compliance filings. On 1/21/16 Delaware PSC filed comments on PJM plan. On | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| | | 2/11/16 PJM and IMM proposed a solution to issue related to nonperformance of generators. On 5/10/16 FERC granted rehearing in part, accepted PJM's compliance filings and directed PJM to submit another compliance filing in 30 days. On 6/9/16 Ill. Muni Electric Agency (IMEA) requested rehearing of 5/10/16 order. On 6/16/16 FERC denied rehearing of 7/22/15 order (allowing PJM to revise OATT to allow annual demand resources to participate in capacity performance transition incremental auctions). During second quarter 2016, PJM and IMM filed answers to IMEA rehearing request. On 7/8/16 FERC granted rehearing for further consideration. During third quarter 2016, several parties filed petitions for review with the DC Circuit Court of Appeals (in ER15-623). No further activity. | |
| EL15-67 | DEC, DEP, DEBS | Complaint of Linden VFT, LLC against PJM re PJM's proposed cost allocations for projects resulting from PJM's 2013 Regional Transmission Expansion Plan, filed 5/22/15 and amended 7/10/15. On 7/1/15 DEBS intervened on behalf of several affiliates. On 7/13/15 PSEG moved to reject the complaint, and on 7/24/15 Linden responded. On 8/20/15 PJM filed its answer to the complaint. During third and fourth quarters 2015, several parties filed comments and answers. On 11/24/15 FERC accepted the tariff revisions but suspended them for 5 months, subject to refund, to become effective 4/25/16 or on a date set forth in future order following a technical conference. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. During first quarter 2016, technical conference was held, and parties filed comments. On 4/22/16 FERC denied complaint. During second quarter 2016, several parties requested rehearing of 4/22/16 order. On 6/21/16 FERC granted rehearing for | Q2 Q3 2017 |

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Open FERC Dockets – ~~Second~~ Third Quarter 2017

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| | | further consideration. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed at the technical conference. On 5/31/17 PSEG responded in opposition to motions. <u>On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and other responded to ConEd's motion.</u> | |
| EL15-79 | Duke Energy, DEC, DEBS | Complaint of Tran Source LLC alleging that PJM refused to provide data underlying cost estimates in System Impact Studies for queue positions, filed 6/23/15. On 5/27/16 Duke Energy intervened on behalf of subsidiaries that sell into PJM. In second quarter 2016, FERC established hearing procedures (after having held proceeding in abeyance), scheduled and then rescheduled the prehearing conference for 8/2/16. On 6/16/16 FERC allowed Duke Energy and others to intervene. During third quarter 2016, a prehearing conference was held 8/22/16, and the Chief Judge issued a procedural schedule. In fourth quarter 2016, prehearing conferences were held on 10/26/16 and 12/20/16; a discovery dispute was resolved; PJM Transmission Owners (TOs) were granted an interlocutory appeal related to the discovery dispute; and a new procedural schedule was set. On 1/4/17 TranSource filed testimony. During second quarter 2017, PJM and Commission Staff filed testimony, and a discovery dispute led to oral argument on 7/18/17. <u>On 8/29/17 FERC modified the unopposed procedural schedule that Transco had proposed. Testimony was filed, and hearing was held 9/12/17.</u> | Q2 Q3 2017 |
| EL15-80 | DEC, DEP, DEBS | Complaint of Advanced Energy Management Alliance Coalition (AEMA) against PJM re capacity performance transition auctions, filed 6/29/15. On 7/8/15 DEBS intervened on behalf of several affiliates. During third quarter 2015, many other parties intervened | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | and filed comments or protests. See the update above at EL15-29. | |
| EL15-95 | DEC, DEP, DEBS | Complaint of Delaware PSC and MD PSC against PJM, alleging that use of DFAX cost allocation method is unreasonable, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. During fourth quarter 2015, several other parties intervened. On 12/4/15 FERC scheduled technical conference to be held 1/12/16. During first quarter 2016, parties filed post-technical conference comments. On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. During second quarter 2016 several parties requested rehearing. On 6/21/16 FERC granted rehearing for further consideration. During third quarter 2016, a few parties intervened and filed comments. On 8/5/16 PJM advised that the Artificial Island project, a transmission line in the Regional Transmission Extension Plan approved by the PJM Board, has been suspended until analysis can be completed. On 8/23/16 complainants moved to defer ruling on requests for rehearing. On 4/12/17 PJM filed an informational filing on status of Artificial Island: PJM Board approved lifting of suspension. On 4/27/17 ODEC filed comments on 4/12/17 PJM filing. <u>On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017.</u> [See also ER15-2562 and ER15-2653 below.] | Q2 Q3 2017 |
| EL16-34 | Duke Energy, DEC | Complaint of Electric Power Supply Assoc. (EPSA), Retail Energy Supply Assoc. (RESA), Dynegy, Eastern Generation and NRG against FirstEnergy, asking FERC to rescind waiver of affiliate power sales restriction granted to FirstEnergy market-based rate (MBR) subsidiaries as it relates to "abusive" affiliate power sales agreement, filed 1/27/16. On 2/3/16 Duke Energy intervened on behalf of several | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | subsidiaries. During first and second quarters 2016, several other parties intervened and filed comments. On 3/9/16 EPSA et al. filed their answer. On 4/27/16 FERC granted complaint and directed FirstEnergy to revise MBR tariffs to rescind waiver of affiliate power sales restriction. During second quarter 2016, several parties subsequently filed protests or comments. On 7/7/16 Ohio Energy Group filed its answer, refuting arguments raised in protests. No further activity. | |
| EL16-49 | Duke Energy, DEC, DEBS | Complaint of Calpine Corp., Dynegy, et al. against PJM, asking FERC to expand the Minimum Offer Price Rule to prevent the artificial suppression of prices in the Reliability Pricing Model market by below-cost offers for existing resources whose continued operation is being subsidized by state-approved out-of-market payments, filed 3/21/16. On 4/5/16 Duke Energy intervened on behalf of subsidiaries that sell in PJM. During first and second quarters 2016, many other parties intervened and filed protests. On 4/25/16 and 6/6/16 PJM filed its answer; other parties responded with comments. On 1/9/17 Calpine amended its complaint and requested expedited action. During first quarter 2017, several parties intervened out-of-time, and many others filed protests or comments. On 1/24/17 FirstEnergy filed a motion to dismiss the complaint. On 1/30/17 PJM and the PJM Independent Market Monitor (IMM) both filed answers to the amended complaint. <u>No further activity-During third quarter 2017, Illinois Attorney General filed comments. On 8/30/17 EPSA filed a motion to lodge a federal court decision and requested expedited action on the complaint. During third quarter 2017, several parties filed answers to the motion to lodge.</u> | Q2 Q3 2017 |
| EL16-112 | DEC, DEP, | Complaint of Coalition of MISO Transmission Customers, filed | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | DEBS | 9/8/16, alleging that MISO misapplied tariff provisions pertaining to capacity import and export limits and requesting an audit of the independent market monitor's review of offers into MISO's 2016-17 planning resource auction. On 9/15/16 various Duke Energy affiliates intervened. During third quarter 2016, several other parties intervened or filed protests/comments. On 9/28/16 MISO responded to the comments. During fourth quarter 2016, several parties filed answers. On 12/6/16 FERC denied the complaint in part, finding that MISO did not violate its Tariff and that its approach for calculating the Sub-Regional Export Constraint for the 2016-17 Auction was just and reasonable, and declining to direct MISO to provide refunds. The order granted the complaint in part, finding that current provisions for calculating Sub-Regional Export and Import constraints do not specify the methodology for the calculations and directing MISO to propose a methodology for those calculations within 55 days. During first quarter 2017, several parties filed protests or requests for rehearing. On 2/6/17 FERC granted rehearing for further consideration. No further activity. <u>On 8/22/17 the Illinois Attorney General filed comments.</u> | |
| EL17-8 | DEC, DEP, DEBS | Complaint of Indianapolis Power & Light (IPL) alleging that MISO's OATT doesn't compensate IPL for the reliability service provided by IPL's grid-scale battery installation and requesting fast-track processing, filed 10/21/16. On 11/7/16 DEC, DEP and DEBS intervened. On 11/10/16 MISO filed a motion to dismiss. On 11/23/16 IPL responded to MISO's motion to dismiss. On 12/14/16 MISO filed an answer to IPL's response. During fourth quarter 2016 many parties intervened or filed comments. On 2/1/17 FERC granted the complaint in part and denied in part. During first quarter 2017, | Q2 <u>Q3</u> 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | MISO and IPL filed requests for rehearing. On 3/27/17 FERC granted rehearing for further consideration. During second quarter 2017, two parties filed motions to intervene; MISO filed an answer to protests; and IPL filed a petition for review with 7 th Circuit Court. <u>No further activity.</u> | |
| EL17-29 | Duke Energy, DEC, DEP, DEBS | Complaint of American Municipal Power (AMP) alleging that MISO's assessment of congestion and administrative charges associated with dispatches of an AMP generating resource by PJM is unjust and unreasonable, filed 12/19/16 and supplemented 12/20/16. On 1/17/17 Duke Energy intervened on behalf of subsidiaries. On 1/25/17 MISO filed its answer to the complaint and also a joint motion with PJM to hold the matter in abeyance. During first quarter 2017, other parties intervened and filed comments on the answers and motion to stay. On 3/27/17 MISO and PJM filed an informational update on efforts to resolve the congestion overlap issue. On 5/26/17 MISO and PJM filed a status update. On 6/12/17 AMP filed comments on the 5/26/17 filing. <u>On 7/25/17 and 9/25/17 MISO/PJM filed a status update. On 8/9/17 AMP filed a response to the 7/25/17 status update.</u> | Q2 Q3 2017 |
| EL17-32 | Duke Energy, DEC, DEP | Complaint of Old Dominion Electric Cooperative (ODEC) requesting fast-track processing to prevent an unreasonable and irreparable action by PJM that will prevent Seasonal Capacity Performance Resources from participating in the Base Residual Auction for the 2020-21 delivery year, scheduled for May 2017, filed 12/23/16. On 1/12/17 Duke Energy intervened on behalf of subsidiaries. On 1/25/17 PJM filed its answer, corrected on 1/26/17. On 2/9/17 ODEC responded. During first quarter 2017, many other parties intervened and filed comments. No further activity. | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| EL17-36 | Duke Energy, DEC, DEP | Complaint of Advanced Energy Management Alliance against PJM, seeking changes to PJM's OATT and Reliability Assurance Agreement to protect customers from unnecessary and dramatic increases in costs if seasonal capacity resources are precluded from participating in the May 2017 Base Residual Auction for the 2020-21 delivery year, filed 1/5/17. On 1/12/17 Duke Energy intervened on behalf of subsidiaries. On 2/28/17 PJM filed its answer. During first quarter 2017, many parties intervened, filed comments or answers. No further activity. | Q2 Q3 2017 |
| EL17-62 | Duke Energy, DEC, DEP | Complaint of Potomac Economics asking FERC to direct PJM to revise its OATT and Reliability Assurance Agreement Among Load-Serving Entities in the PJM Region (RAA) to eliminate the existing requirement that resources located external to PJM seeking to offer as Capacity Performance Resources in PJM be pseudo-tied into PJM, filed 4/6/17. During second quarter 2017, many parties intervened and filed comments, including Duke Energy on 4/13/17. On 5/8/17 PJM filed a motion to dismiss, and several parties filed comments. On 6/20/17 PJM filed its answer to comments and protests. <u>No further activity.</u> | Q2 Q3 2017 |
| EL17-65 | Duke Energy, DEC, DEP | Complaint of Renewable Energy Systems Americas (RESA) and Invenergy Storage Development LLD alleging that PJM's implementation of a frequency regulation signal inconsistent with the operational design of PJM's market for energy-limited resources negatively affected storage devices and is discriminatory, filed 4/14/17. During second quarter 2017, many parties intervened and filed comments, including Duke Energy on 5/12/17. On 5/31/17 RESA filed its answer to comments. On 6/9/17 PJM IMM filed its answer. On 6/27/17 PJM filed its answer. <u>On 7/25/17 complainants</u> | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | <u>requested appointment of a settlement judge, to which PJM and 2 other parties replied during third quarter 2017.</u> | |
| EL17-68 | Duke Energy, DEC, DEP | Linden VFT complaint and request for fast track processing, alleging that PJM's cost reallocations for BLC project are unjust and unreasonable, filed 4/28/17. During second quarter 2017, several parties intervened, including Duke Energy on 5/2/17. On 5/18/17 PJM filed its answer. Parties subsequently filed comments and protests, and Linden VFT filed its response to PJM's answer on 5/31/17. <u>No further activity.</u> | Q2 Q3 2017 |
| EL17-75 | Duke Energy, DEC, DEP | Advanced Energy Economy (AEE) petition for declaratory order re the participation of Energy Efficiency Resources (EERs) in markets operated by RTOs/ISOs following PJM proposal to initiate a stakeholder process to revise its tariffs to give State Commissions the authority to restrict or condition the participation of EERs in PJM's capacity market, filed 6/2/17. During second quarter 2017, several parties intervened, including Duke Energy on 6/29/17. On 6/29/17 the Organization of MISO States (OMS) requested an extension until 7/19/17 to file comments. <u>During third quarter 2017, many parties intervened and filed comments or answers.</u> | Q2 Q3 2017 |
| <u>EL17-82</u> | <u>Duke Energy, DEC, DEP</u> | <u>Complaint of PJM Independent Market Monitor (IMM) alleging that PJM incorrectly granted a Competitive Entry Exemption from the Minimum Offer Price Rule to an unnamed participant ineligible for such an exemption and asking FERC to order PJM to rescind the grant, filed 7/21/17. On 8/10/17 Duke Energy intervened on behalf of subsidiaries. On 8/21/17 PJM filed its answer. On 8/30/17 PJM IMM filed its response. On 9/8/17 PJM filed a further, limited answer. During third quarter 2017, several parties intervened and filed</u> | <u>Q3 2017</u> |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | <u>comments, protests or answers.</u> | |
| <u>EL17-83</u> | <u>DEC</u> | <u>Complaint of Piedmont Municipal Power Agency (PMPA) alleging DEC has assessed charges in violation of service agreement for wholesale generation service, filed 8/1/17. On 9/11/17 DEC filed its answer and a motion for summary judgement. During third quarter 2017, several parties intervened.</u> | <u>Q3 2017</u> |
| <u>EL17-84;</u> <u>ER17-2073</u> | <u>Duke Energy,</u> <u>DEC, DEP</u> | <u>Section 206 investigation into the justness of Hudson Transmission Partners LLC (HTP) being unable to convert its Firm Withdrawal Rights into Non-Firm Transmission Withdrawal Rights following FERC's rejection of PJM's unexecuted amendment to Interconnection Service Agreement, issued 9/8/17. On 9/18/17 Duke Energy intervened on behalf of subsidiaries. During third quarter 2017, FirstEnergy and AEP also intervened in complaint docket.</u> | <u>Q3 2017</u> |
| ER13-535 | Duke Energy, DEC, DEP | PJM revisions to PJM OATT to implement stakeholder-driven revisions to the minimum offer price rule under PJM's Reliability Pricing Model, filed on 12/7/12: Duke Energy intervened on behalf of DEC and DEP on 12/28/12. PJM answered comments of various parties on 1/8/13. On 2/5/13 FERC advised PJM that its initial filing was deficient, and PJM on 3/14/13 responded to questions raised. Various parties subsequently commented in first and second quarters 2013. On 5/2/13 FERC issued an order accepting in part and rejecting in part PJM's proposal. PJM submitted its compliance filing on 6/3/13, and several parties requested rehearing in May and June 2013. On 6/27/13 FERC granted rehearing for further consideration. On 7/15/13 PJM answered protests. FERC scheduled and held a technical conference on centralized capacity markets in RTOs/ISOs on 9/25/13. On 10/15/15 FERC issued order denying rehearing and accepting PJM's compliance filing. On 12/14/15 PJM Power | Q2 <u>Q3</u> 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | Providers Group and NRG Power Marketing each filed petitions for review with the US Court of Appeals. On 2/23/16 FERC certified record to the DC Circuit Court of Appeals. No further activity. | |
| ER13-1923 | DEC, DEP | MISO Order No. 1000 Compliance filing re interregional transmission planning and coordination with Southeastern Regional Transmission Planning (SERTP) members, filed 7/10/13. DEC and DEP intervened on 9/3/13. MISO submitted answer to comments and protests on 10/21/13. On 1/23/15 FERC accepted the MISO compliance filing, subject to further compliance filings within 60 days. On 2/23/15 MISO et al. requested clarification or rehearing. On 2/24/15 MISO et al. requested a 90-day extension of time, which FERC granted on 3/6/15. On 3/25/15 FERC granted rehearing for further consideration of its 1/23/15 order. On 6/22/15 MISO submitted its SERTP compliance filing; comments are due 7/13/15. On 7/13/15 International Transmission Co. intervened out-of-time. On 11/25/15 FERC denied request for rehearing and conditionally accepted the compliance filings, subject to further compliance filings within 30 days. On 12/23/15 MISO submitted its SERTP compliance filing. On 3/22/16 FERC accepted SERTP-MISO interregional interface compliance filings and denied requests for rehearing of second compliance order. During second quarter 2016, MISO Transmission Owners filed a petition for review with the DC Circuit. No further activity. | Q2 Q3 2017 |
| ER13-1924 | Duke Energy, DEC, DEP | PJM Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with MISO, filed 7/10/13. Duke Energy intervened on behalf of DEC, DEP and other subsidiaries on 9/5/13. MISO Transmission Owners (TOs) submitted answer to NIPSCO protest on 10/31/13. On | Q2 Q3 2017 |

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|---------------|--|--|--|
| | | 12/18/14 FERC rejected in part and conditionally accepted in part PJM's compliance filing and directed PJM to submit further compliance filing within 60 days. On 1/20/15 MISO TOs requested rehearing. On 1/26/15 PJM et al. requested an extension to submit compliance filing, which FERC granted on 1/30/15, extending the deadline to 6/16/15. On 2/9/15 FERC granted rehearing for further consideration of its 12/18/14 order. On 6/15/15 FERC held a technical conference to explore issues raised. On 6/9/15 MISO and PJM requested an extension of time to draft tariff modifications, which FERC granted on 6/16/15. On 8/21/15 ITC Companies and NIPSCO filed a joint protest. On 9/11/15 PJM answered the protest, and on 9/25/15 ITC and NIPSCO responded to PJM's answer. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. During second quarter 2016 several parties requested clarification of 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 10/28/16 FERC denied rehearing and conditionally accepted third compliance filings subject to further compliance filings. On 12/27/16 MISO TOs filed a petition for review with the DC Circuit Court of Appeals. No further activity. | |
| ER13-1943 | Duke Energy, DEC, DEP | MISO Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with PJM, filed 7/10/13. Duke Energy intervened on | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | <p>behalf of DEC, DEP and other subsidiaries on 9/5/13. On 9/11/13 NIPSCO filed a protest. On 10/31/13 MISO Transmission Owners filed an answer to the NIPSCO protest, as did PJM on 11/21/13. On 11/1/13 MISO and PJM filed a joint answer to various protests and comments, and in November 2013 MISO Transmission Owners filed a reply, as did American Transmission Co., Duke Energy Transmission Holding Co. and Duke-American Transmission Co. On 12/18/14 FERC rejected in part and conditionally accepted in part the compliance filings and directed MISO to submit further compliance filings within 60 days. On 1/20/15 MISO TOs submitted request for rehearing. On 1/26/15 MISO et al. requested a 120-day extension, which FERC granted on 1/30/15, making the filing due 6/16/15. On 2/19/15 FERC granted rehearing for further consideration of its 12/18/14 order. Technical conference was held 6/15/15 to explore seams-related issues in EL13-88. On 6/9/15 MISO et al. requested an extension of time, which FERC granted on 6/16/15: compliance filings are due 7/31/15. On 7/13/15 PJM filed its compliance filing per 12/18/14 order. During third quarter 2015, three parties intervened or filed protests. On 9/11/15 PJM filed its answer to protests. On 9/25/15 ITC Companies and NIPSCO filed their answer to PJM's answer. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. During second quarter 2016, several parties requested clarification of 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions</p> | |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | for clarification. On 6/20/16 FERC denied the motion for extension. On 6/20/16 MISO submitted a tariff filing in compliance with 4/5/16 order. On 7/5/16 Entergy intervened. On 10/28/16 FERC denied rehearing requests and conditionally accepted third compliance filing and directed PJM/MISO to submit another compliance filing in 30 days. On 11/22/16 PJM/MISO filed the compliance filing. On 12/27/16 MISO TOs filed a petition for review of the 10/28/16 order with the DC Circuit Court of Appeals. On 1/9/17 FERC accepted MISO filing. No further activity. | |
| ER13-1944 | Duke Energy, DEC, DEP | PJM Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with MISO, filed 7/10/13. Duke Energy intervened on behalf of DEC, DEP and other subsidiaries on 9/5/13. On 9/11/13 NIPSCO filed a protest. On 10/31/13 MISO Transmission Owners (TOs) filed an answer to the NIPSCO protest, as did PJM on 11/21/13. On 11/1/13 MISO and PJM filed a joint answer to various protests and comments, and in November 2013 American Transmission Co., Duke Energy Transmission Holding Co. and Duke-American Transmission Co. filed a reply. On 12/18/14 FERC rejected in part and conditionally accepted in part the compliance filings and directed PJM to submit further compliance filings within 60 days. On 1/20/15 MISO TOs requested rehearing. On 1/26/15 PJM et al. requested an extension to submit compliance filing, which FERC granted on 1/30/15, extending the deadline to 6/16/15. On 2/19/15 FERC granted rehearing for further consideration of its 12/18/14 order. Technical conference was held 6/15/15 to explore seams-related issues in EL13-88. On 6/9/15 MISO et al. requested an extension of time, which FERC granted on 6/16/15: compliance filings are due 7/31/15. On 7/13/15 PJM filed its | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| | | compliance filing per 12/18/14 order. During third quarter 2015, three parties intervened or filed protests. On 9/11/15 PJM filed its answer to protests. On 9/25/15 ITC Companies and NIPSCO filed their answer to PJM's answer. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. During second quarter 2016, several parties requested clarification of 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 6/20/16 PJM submitted a tariff filing in compliance with 4/5/16 order. On 7/8/16 American Municipal Power intervened. On 10/28/16 FERC denied rehearing requests and conditionally accepted third compliance filing and directed PJM/MISO to submit another compliance filing in 30 days. On 11/22/16 PJM/MISO filed the compliance filing. On 12/22/16 PJM filed a correction of the PJM/MISO JOA. On 12/27/16 MISO TOs filed a petition for review of the 10/28/16 order with the DC Circuit Court of Appeals. On 1/9/17 FERC accepted PJM filing. No further activity. | |
| ER13-1945 | Duke Energy, DEC, DEP | MISO Order No. 1000 Compliance filing re interregional transmission planning and coordination, set forth in OATT Attachment FF, with PJM, SERTP and SPP, filed 7/10/13. Duke Energy intervened on behalf of DEC, DEP and other subsidiaries on 9/5/13. NIPSCO filed a protest on 9/11/13, and various parties filed answers in third quarter 2013. On 10/31/13 MISO Transmission Owners (TOs) filed an answer to the NIPSCO protest. On 12/18/14 | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | <p>FERC rejected in part and conditionally accepted in part the compliance filings related to the MISO-PJM interregional interface and directed MISO to submit further compliance filings within 60 days. On 1/20/15 MISO TOs requested rehearing. On 1/23/15 FERC conditionally accepted MISO's compliance filings, subject to further compliance filings within 60 days. On 1/26/15 MISO et al. requested an extension to submit compliance filing, which FERC granted on 1/30/15, extending the deadline to 6/16/15. On 2/19/15 FERC granted rehearing for further consideration of its 12/18/14 order and also issued an order conditionally accepting the MISO-SPP filings, subject to further compliance filings within 60 days. On 2/23/15 MISO et al. requested rehearing of 1/23/15 order. On 2/24/15 MISO et al. requested an extension to submit compliance filing with the SERTP interregional interface, which FERC granted 3/6/15, making the filing due 6/22/15. On 3/25/15 FERC granted rehearing for further consideration of its 1/23/15 order. On 4/2/15 MISO et al. requested an extension of time, which FERC granted on 4/17/15: MISO-SPP compliance filings are due 8/18/15. Technical conference was held 6/15/15 to explore seams-related issues in EL13-88. On 6/9/15 MISO et al. requested an extension of time, which FERC granted on 6/16/15: MISO-PJM compliance filings are due 7/31/15. On 8/21/15 ITC Companies and NIPSCO filed a protest. On 11/25/15 FERC conditionally accepted MISO's compliance filing for the MISO-SERTP interface. On 12/23/15 MISO and MISO Transmission Owners filed a request for rehearing of 11/25/15 order. On 3/22/16 FERC issued order denying request for rehearing and accepting SERTP and MISO's compliance filings. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second</p> | |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. During second quarter 2016, several parties requested clarification of 4/5/16 order. On 6/2/16 FERC granted rehearing for further consideration. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 10/28/16 FERC denied rehearing requests and conditionally accepted third compliance filing and directed PJM/MISO to submit another compliance filing in 30 days. On 12/27/16 MISO TOs filed a petition for review of the 10/28/16 order with the DC Circuit Court of Appeals. No further activity. | |
| ER14-972 | Duke Energy, DEC, DEP, DEBS | PJM amendments to Schedules 6 and 12 to incorporate cost responsibility assignments for 111 upgrades in the recent update to its Regional Transmission Expansion Plan, filed on 1/10/14. Duke Energy intervened on behalf of DEBS, DEC, DEP and other utilities on 1/15/14. On 4/9/14 FERC accepted the filing but required a compliance filing. On 5/9/14 PJM submitted the compliance filing. Several parties requested rehearing, and on 6/9/14 FERC granted rehearing for further consideration. On 6/13/14 ConEd filed a motion to lodge a related deficiency letter (from ER14-1485). On 7/3/14 PJM filed an answer, and Linden VFT responded on 7/10/14. On 8/20/14 PJM filed a motion to hold docket in abeyance, to allow parties to discuss cost allocation for the contested projects. On 12/16/14 PJM filed a motion to release the stay for this docket but not for the related docket, ER14-1485. During first quarter 2015, 2 more parties intervened out of time, and Linden VFT advised that no | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | settlement discussions are pending with PJM. On 4/9/15 NY Power Authority (NYPA) intervened out of time; on 4/24/15 PJM opposed the intervention; and on 5/11/15 NYPA responded. On 6/18/15 FERC denied the complaint, denied rehearing and accepted ConEd's compliance filing. On 6/25/15 Con Ed filed a petition for review, and on 6/26/15 Linden VTF did likewise. During third quarter 2015, two parties filed requests for rehearing, and ConEd intervened. On 8/10/15 PSEG Companies filed an answer, and on 8/19/15 Linden VTF filed its answer. On 8/19/15 FERC granted rehearing for further consideration of its 6/18/15 order. On 10/23/15 ConEd filed a motion to lodge; on 11/9/15 PSE&G and PJM opposed that motion. On 11/25/15 FERC accepted and suspended PJM's proposed tariff revisions and scheduled a technical conference on 1/12/16. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. During second quarter 2016, several parties requested rehearing of 4/22/16 orders, and Linden filed answers to those requests. On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. No further activity. [See also ER14-1485, ER15-2562 and ER15-2563.] | |
| ER14-1461 | Duke Energy, DEC, DEP, DEBS | PJM revision of its Reliability Assurance Agreement and OATT Attachment DD to reform the current Reliability Pricing Model market rules that incent sellers in 3-year forward auctions to submit speculative offers that can undermine long-term reliability, filed | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | 3/10/14 and amended 3/14/14. Duke Energy intervened on behalf of DEBS, DEC, DEP and other utilities on 3/28/14. On 5/9/14 FERC rejected tariff changes and instituted a Section 206 proceeding (EL14-48); a technical conference will be held. On 6/9/14 PJM requested rehearing, as did PJM Utilities Coalition. On 7/8/14 FERC granted rehearing for further consideration. On 8/18/14 PJM requested deferral until FERC has acted on PJM's capacity performance filing, which will be made in December 2014. On 9/10/14 AEP and Dayton P&L responded to PJM's request to defer action. On 10/29/15 PJM filed a report and requested continued deferral of action in this docket; PJM committed to file a further report by 11/23/16. On 11/2/15 Pepco Holdings filed a motion to intervene. On 11/23/16 PJM filed a further report and request for continued deferral of action. On 12/30/16 PJM IMM filed a motion to lodge a report on the issues raised and a motion to commence the compliance process set forth in 5/9/14 order. On 1/17/17 PJM Load Group filed answer to IMM motions. On 2/9/17 PJM filed answer. No further activity. | |
| ER14-1485 | Duke Energy, DEC, DEP, DEBS | PJM revision of Schedule 12 Appendix A to incorporate changes to the RTEP, filed 3/13/14. Duke Energy intervened on behalf of DEBS, DEC, DEP and other utilities on 4/16/14. On 6/6/14 FERC issued deficiency letter. On 7/7/14 PJM submitted a filing in response to deficiency letter, and several parties protested. On 8/21/14 PJM filed a motion to hold the docket in abeyance, to allow parties to discuss cost allocation for the contested projects, and on 9/26/14 PJM filed errata to its motion, to correct effective date entered in eTariff metadata. On 1/26/15 Linden VFT advised that no settlement discussions are pending with PJM. On 3/31/15 Con Edison filed a motion to lodge information related to the PSE&G Sewaren Project. | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | <p>On 4/15/15 PSEG opposed ConEd's 3/31/15 motion to lodge, and on 4/23/15 ConEd filed its answer. On 4/9/15 NYPA intervened out of time; on 4/24/15 PJM Transmission Owners filed its opposition; and on 5/11/15 NYPA filed its answer. On 7/15/15 PJM requested FERC release the stay and resume the procedural schedule. On 9/11/15 FERC accepted PJM's proposed cost responsibility assignments for 19 baseline upgrades in the RTEP, effective 6/11/14. On 10/13/15 ConEd and Linden VFT filed requests for rehearing of 9/11/15 order. On 10/23/15 ConEd filed a motion to lodge. In fourth quarter 2015, PJM TOs and PSE&G opposed ConEd and Linden requests for rehearing, and ConEd and Linden filed further answers. On 11/9/15 FERC granted rehearing for further consideration. On 11/25/15 FERC accepted and suspended the proposed tariff revisions and on 12/4/15 scheduled a technical conference to be held 1/12/16. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 3/22/16 ConEd filed motion to lodge FERC's 2/12/16 order holding that reliability projects driven by Form 715 criteria lack regional benefits; to reopen the record and vacate the cost allocation for the Sewaren Project. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. During second quarter 2016, several parties protested or requested rehearing of 4/22/16 orders, and</p> | |

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| | | Linden filed answers to those requests. On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. On 12/9/16 FERC denied rehearing, denied clarification and rejected PJM compliance filing that reassigned cost responsibility to PSEG; the latter had the incorrect effective date. No further activity. [See also ER14-972, ER15-2562, and ER15-2563.] | |
| ER14-2242 | Duke Energy, DEC, DEP, DEBS | ODEC petition for waiver of provisions in PJM OATT and Operating Agreement to make ODEC whole for certain January 2014 operations, filed 6/23/14. Duke Energy intervened on behalf of utilities and DEBS on 7/14/14. In third quarter 2014 many other parties intervened, and several filed answers. On 6/9/15 FERC denied ODEC petition for waiver of certain PJM OATT provisions to allow recovery of natural gas costs associated with January 2014 cold weather events. On 7/9/15 Old Dominion requested rehearing of the 6/9/15 order (supplemented on 8/18/15); on 8/10/15 FERC granted the request for rehearing for further consideration. On 3/1/16 FERC denied rehearing and reaffirmed earlier ruling that ODEC's waiver request is barred by the filed rate doctrine and the rule against retroactive ratemaking. On 4/13/16 ODEC filed petition for review with DC Circuit Court of Appeals. No further activity. | Q2 Q3 2017 |
| ER14-2940 | Duke Energy, DEC, DEP | PJM revision to OATT re triennial review of its Variable Resource Requirement curve, filed 9/25/14. On 10/15/14 Duke Energy intervened on behalf of several subsidiaries. On 11/28/14 FERC conditionally accepted the tariff revisions and required a compliance filing. On 12/19/14 PJM submitted the compliance filing. Several parties subsequently filed requests for rehearing in fourth quarter 2014. On 1/20/15 FERC granted rehearing for further consideration of its 11/28/14 order. On 10/15/15 FERC denied requests for | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | rehearing and accepted PJM's 12/19/14 compliance filing. On 12/15/15 PSEG and PJM Power Providers Group filed separate petitions for review with the US Court of Appeals. On 2/1/16 FERC certified record to DC Circuit Court of Appeals. No further activity. | |
| ER15-2562 | DEC, DEP, DEBS | PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 19 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. During fourth quarter 2015, several parties intervened and filed comments. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 12/23/15 Linden VFT requested clarification or rehearing of 11/25/15 order. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. During second quarter 2016, several parties protested or requested rehearing of 4/22/16 order. On 6/21/16 FERC granted rehearing for further consideration of the 4/22/16 order. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed in the technical conference. On 5/31/17 PSEG responded in opposition. <u>On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. During third quarter 2017, PJM and other responded to ConEd's motion.</u> [See also EL15-67, ER14-972, ER14-1485, and ER15-2563.] | Q2 Q3 2017 |
| ER15-2563 | DEC, DEP, | PJM revisions to OATT and Operating Agreement to incorporate cost | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | DEBS | responsibility assignments for 12 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. During fourth quarter 2015, several parties intervened and filed comments. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 12/23/15 Linden VFT requested clarification or rehearing of 11/22/15 order. On 1/14/16 FERC granted rehearing for further consideration of 11/25/15 order. During first quarter 2016, parties filed comments and reply comments/answers following 1/12/16 technical conference. On 4/22/16 FERC denied complaint and accepted PJM's cost responsibility assignments for transmission projects. During second quarter 2016 several parties requested rehearing. On 6/21/16 FERC granted rehearing for further consideration. On 4/12/17 PJM filed an informational filing on status of the Artificial Island: PJM board approved lifting the suspension. On 4/27/17 ODEC filed comments on the 4/12/17 PJM filing. <u>On 9/6/17 complainants jointly moved to reopen the record and to lodge a PJM report, which led to answers or comments of several parties during third quarter 2017.</u> [See also EL15-95, ER14-972, ER14-1485, and ER15-2562.] | |
| ER16-372 | DEC, DEP, DEBS | PJM revision of its OATT and Operating Agreement to allow Market Sellers to submit day-ahead offers that vary by hour and to allow Market Sellers to update offers in real time on an hourly basis under certain circumstances, filed 11/20/15. On 12/10/15 DEBS intervened on behalf of several affiliates. During fourth quarter 2015, several other parties intervened or filed comments. On 1/8/16 PJM filed its answer to comments. On 2/3/16 FERC advised that 11/20/15 filing | Q2 Q3 2017 |

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| | | <p>was deficient and requested information in 30 days. On 3/4/16 PJM responded to FERC data request. During first quarter 2016, more parties intervened or filed comments on PJM's 3/4/16 response. On 6/17/16 FERC rejected PJM's 11/20/15 filing and directed PJM to submit another compliance filing within 30 days. On 6/24/16 PJM requested an extension of time, which FERC granted on 6/30/16, making the compliance filing due 8/16/16. On 8/16/16 PJM submitted its compliance filing. During third and fourth quarters 2016, several parties intervened and filed comments, protests or responses to the filings of others. On 2/3/17 FERC accepted the compliance filing and required a further compliance filing in 30 days. On 3/6/17 PJM requested clarification and also filed its compliance filing. On 3/10/17 the PJM IMM filed an answer to PJM's request for clarification, and on 3/27/17 the PJM IMM filed comments on the PJM compliance filing. On 3/31/17 the Joint State Commissions (PA, NJ and DE) filed a reply to the 3/10/17 IMM filing. On 4/11/17 and 4/13/17 PJM filed its answer to PJM IMM's 3/28/17 comments. During second quarter 2017, PJM IMM, filed comments on PJM's answer, as did several other parties. <u>On 7/31/17 PJM amended its 3/6/17 filing to better describe how PJM will implement hourly offers and calculate penalties. During third quarter 2017, several parties filed comments. On 9/29/17 PJM informed FERC of readiness to implement intraday offers by 11/1/17.</u></p> | |
| ER16-1967 | Duke Energy, DEC, DEP | <p>PJM revisions to Operating Agreement with MISO to establish timelines for the coordinated system plan study process, in compliance with 4/21/16 order on NIPSCO complaint, filed 6/20/16. On 6/23/16 Duke Energy intervened on behalf of its subsidiaries. During second and third quarters 2016, other parties intervened and</p> | Q2 Q3 2017 |

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| | | filed comments or protests. On 7/26/16 PJM and MISO both filed answers to comments and protests. On 8/9/16 International Transmission Co. also filed an answer. On 12/15/16 PJM submitted its compliance filing. On 1/19/17 FERC accepted PJM's 12/15/16 filing. On 1/19/17 FERC also issued an order on rehearing: denying requests for rehearing, granting requests for clarification in part, conditionally accepting and rejecting in part MISO and PJM's 6/30/16 compliance filings, and requiring further compliance filings in 30 days. On 2/3/17 MISO and PJM requested an extension of time, which FERC granted on 2/10/17, making the compliance filings due 4/24/17. On 4/24/17 PJM filed its compliance filing, subsequently amended on 6/29/17. <u>On 8/1/17 FERC accepted filing as amended 6/29/17. (Closed 9/30/17)</u> | |
| ER17-179 | DEC, DEP | PJM and PJM Transmission Owners (TOs) OATT revisions in compliance with show cause order in EL16-71 regarding transmission planning for Supplemental Projects, filed 10/25/16. On 11/2/16 Duke Energy intervened on behalf of subsidiaries. During fourth quarter 2016 several other parties intervened and filed comments, protests and answers. On 11/30/16 and 12/7/16 PJM and PJM TOs filed answers to comments, protests, and AMP's motion to dismiss. No further activity. | Q2 Q3 2017 |
| ER17-367 | DEC, DEP | PJM revisions to its OATT and Reliability Assurance Agreement to support the continuing participation of certain resources as Capacity Performance Resources in its Reliability Pricing Model capacity market, to allow resources to obtain additional capacity interconnection rights and modify rules for measuring Demand Resource performance during the winter periods. On 12/2/16 Duke Energy intervened on behalf of several subsidiaries. During fourth | Q2 Q3 2017 |

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| | | quarter 2016, dozens of other parties intervened, and filed comments or protests. On 12/22/16 LS Power filed its answer to comments. On 12/23/16 PJM Power Providers Corp. and Exelon each filed answers to comments. On 12/23/16 FERC advised PJM that its 11/17/16 filing was deficient and requested additional information within 30 days. On 1/23/17 PJM filed a tariff revision in response to 12/23/16 deficiency letter, updated on 1/24/17. During first quarter 2017, a few parties filed comments or protests, which PJM answered on 3/6/17. On 3/21/17 FERC accepted PJM compliance filing. On 4/25/17 PSEG requested clarification of 3/21/17 order. <u>No further activity.</u> | |
| ER17-718; ER17-719; ER17-721 | Duke Energy, DEC, DEP, DEBS | MISO, MISO Transmission Owners (TOs), PJM and PJM TOs filings (in 3 related dockets) to revise the MISO-PJM Joint Operating Agreement (JOA) to create a new interregional transmission project type that facilitates the development of low-cost, high-value projects intended to reduce congestion and improve coordination between the RTOs, filed 12/30/16. On 1/10/17 Duke Energy intervened on behalf of subsidiaries. During first quarter 2017, many parties intervened or filed comments. On 3/27/17 PJM and MISO filed answer to protests. On 6/13/17 FERC held workshop to discuss the Targeted Market Efficiency Project. During second quarter 2017, MISO and the Generator Group filed comments. On 6/26/17 FERC accepted the 12/30/16 filing. (Closed 6/30/17) | Q2 2017 |
| ER17-905 | Duke Energy, DEC, DEP | NYISO proposed revisions to Joint Operating Agreement (JOA) with PJM, to address interchange scheduling and the implementation of Market-to-Market (M2M) coordination at the ABC Interface and JK Interface on the border of southeastern NY and northern NJ, filed 1/31/17. During first quarter 2017, many parties intervened and filed comments. On 3/31/17 FERC accepted the filing. During second | Q2 <u>Q3</u> 2017 |

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| | | quarter 2017, parties intervened out-of-time, including Duke Energy on 4/13/17, and several requested rehearing. On 5/26/17 FERC granted rehearing for further consideration. <u>No further activity.</u> | |
| ER17-950 | Duke Energy, DEC, DEP | PJM proposed revisions to its OATT Schedule 12 appendices to revise cost responsibility assignments for Regional Facilities and Necessary Lower Voltage Facilities and Lower Voltage Facilities included in the PJM Regional Transmission Expansion Plan (RTEP) due to termination of long-term firm point-to-point transmission service agreements entered between PJM and ConEd, filed 2/8/17 and amended 2/13/17. During first quarter 2017, many parties intervened and filed comments or protests. During second quarter 2017, parties intervened out-of-time, including Duke Energy on 4/6/17, and several parties filed answers. On 4/25/17 FERC accepted PJM's filings and required a compliance filing. On 4/26/17 PJM filed its compliance filing. During second quarter 2017, several parties filed requests for clarification or rehearing. On 6/20/17 FERC accepted PJM's compliance filing, and on 6/23/17 FERC granted rehearing for further consideration. <u>No further activity.</u> | Q2 <u>Q3</u> 2017 |
| ER17-1042 | DEC | Revision of PPA with Central EPC (Rate Schedule No. 336) to provide for recovery of costs incurred by DEC in compliance with federal and state environmental requirements related to remediation and management of coal ash combustion residuals and closure of coal ash basins, filed 2/27/17. During first quarter 2017, several EMCs and Piedmont Municipal Power Agency intervened. On 4/24/17 FERC issued deficiency letter. On 5/24/17 DEC responded to deficiency letter. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 <u>Q3</u> 2017 |
| ER17-1071 | DEP | Revision of Wholesale Depreciation Rates (Rate Schedule No. 199) to | Q2-2017 |

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| | | reflect a proposed initial depreciation rate of 4% to be applied to 4 large solar PV generating units and associated equipment that DEP recently placed in service in NC, filed 3/1/17. During first quarter 2017, Fayetteville PWC, NCEMPA and NCEMC intervened. On 4/27/17 FERC accepted the filing. (Closed 6/30/17) | |
| ER17-1076 (withdrawn); ER17-1129 (withdrawn); ER17-1170 | DEC, DEP | Revision of Joint OATT Attachment C-1 to permit DEP to offer intra-Day, Daily Non-Firm and Firm Point-to-Point transmission service in its zone, filed 3/1/17 and subsequently withdrawn 3/8/17 in ER17-1076; filed 3/9/17 and subsequently withdrawn 3/10/17 in ER17-1129; and filed 3/13/17. On 4/3/17 NCEMC intervened. Comments are due 4/3/17. On 4/3/17 NCEMC intervened. On 5/12/17 FERC accepted filing. (Closed 6/30/17) | Q2-2017 |
| ER17-1138 | Duke Energy, DEC, DEP | PJM revision of OATT and Reliability Assurance Agreement re enhancements to rules governing resources physically outside the PJM region that serve as capacity for loads in the PJM region, filed 3/9/17. On 3/28/17 Duke Energy intervened on behalf of subsidiaries. During first quarter 2017, many other parties intervened. During second quarter 2017, several parties intervened out-of-time; several responded to MISO's 5/26/17 proposal for a technical conference; and PJM, NYISO, PJM IMM, MISO, Brookfield Energy Marketing and Exelon filed answers. On 5/8/17 FERC issued a deficiency letter. On 6/2/17 FERC granted PJM an extension until 9/17/17 to respond. <u>On 8/22/17 two parties filed comments. On 9/18/17 PJM responded to FERC's 5/5/17 deficiency letter.</u> | Q2 <u>Q3</u> 2017 |
| ER17-1236 | Duke Energy, DEC, DEP | PJM proposed revisions to its OATT Schedule 12 Appendix A to incorporate cost responsibility assignments for new baseline upgrades included in the recent RTEP approved by the PJM Board of Managers, filed 3/17/17. During second quarter 2017, parties intervened, including Duke Energy on 4/25/17. On 6/1/17 FERC accepted PJM's filing. On 6/30/17 Dominion filed a request for | Q2 <u>Q3</u> 2017 |

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|-------------------------|--|---|--|
| | | rehearing. <u>On 7/31/17 FERC granted rehearing for further consideration.</u> | |
| ER17-1317 | DEC, DEP | Revision of Joint OATT Schedule 10-B and Attachment H.1 to H.3 to incorporate actual Post Retirement Benefits Other than Pensions (PBOP) into formula rates on an annual basis, filed 3/28/17. On 3/30/17 Fayetteville PWC intervened. During second quarter 2017, NCEMC and NCEMPA intervened. On 5/9/17 FERC accepted filing. (Closed 6/30/17) | Q2-2017 |
| ER17-1334 | DEP | Revision of NITSA with town of Waynesville, NC to change the effective date, revise Specified Costs for Delivery Points to reflect costs as of 1/1/03 rather than 9/30/00, to clarify leased facilities charges, remove a monthly charge for meter and communication devices no longer used, filed 3/29/17. On 5/19/17 FERC accepted filing. (Closed 6/30/17) | Q2-2017 |
| ER17-1357 | DEC, DEP | Revision of Joint OATT to add Attachment W memorializing the methodology to calculate real power loss factors and to change the real power loss factor for DEC and DEP to be calculated June 1 annually, filed 3/31/17. During second quarter 2017, several parties intervened. On 5/30/17 FERC issued deficiency letter. On 6/27/17 DEP and DEC responded to deficiency letter. <u>On 7/18/17 NCEMPA filed a protest. On 7/31/17 DEP filed a correction to 6/27/17 filing. On 8/2/17 DEP/DEC filed answer to protest. On 8/25/17 NCEMPA, NCEMC and Fayetteville PWC responded jointly. On 9/27/17 FERC accepted and suspended rates, subject to refund, and established settlement judge procedures.</u> | Q2 Q3 2017 |
| ER17-1362; ER17-1363 | DEC, DEP | Amendments to 24 Power Purchase Agreements (PPAs) to reflect electrical losses associated with generator step-up transformers (GSUs) in calculating the quantity of demand and energy billed under those agreements, filed 3/31/17. On 4/21/17 NCEMC intervened. On 5/29/17 FERC accepted filings. (Closed 6/30/17) | Q2-2017 |
| ER17-1406 | Duke Energy, | PJM proposed revisions to OATT Schedule 12, to establish the means | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | DEC, DEP | by which the costs of Targeted Market Efficiency Projects (TMEPs) will be assigned in the PJM Region, filed 4/11/17. During second quarter 2017, parties intervened, including Duke Energy on 4/17/17. <u>No further activity.</u> | |
| ER17-1420 | Duke Energy, DEC, DEP | PJM proposed revisions to OATT Schedule 12 Appendix A to incorporate cost responsibility assignments for new baseline upgrades included in the recent RTEP approved by the PJM Board of Managers, filed 4/13/17 and amended 4/28/17. During second quarter 2017, parties intervened, including Duke Energy on 5/18/17. On 6/1/17 FERC accepted PJM's filing. On 6/30/17 Dominion filed a request for rehearing. <u>On 8/8/17 FERC granted extension until 8/25/17. During third quarter 2017, many parties intervened and filed comments, answers and protests.</u> | Q2 Q3 2017 |
| ER17-1461 | DEC | Proposed revision of PPA with City of Greenwood, SC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/24/17 and supplemented 5/24/17. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1462 | DEC | Proposed revision of PPA with City of Concord to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/24/17 and supplemented 5/24/17. During second quarter 2017, several parties intervened. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1470 | DEP | Proposed revision of PPAs with Towns of Black Creek, Lucama and Stantonburg to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/25/17 and supplemented 5/25/17. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| ER17-1474 | DEC | Proposed revision of PPA with City of Kings Mountain, NC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/26/17 and supplemented 5/24/17. During second quarter 2017, several parties intervened. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q2 <u>Q3</u> 2017 |
| ER17-1486 | DEC | Proposed revision of PPA with Forest City, NC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/27/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q2 <u>Q3</u> 2017 |
| ER17-1492 | DEC | Proposed revision of PPA with Town of Dallas, NC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 4/28/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q2 <u>Q3</u> 2017 |
| ER17-1520 | DEC | Proposed revision of PPA with Lockhart Power Co. to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/2/17 and supplemented 5/24/17. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q2 <u>Q3</u> 2017 |
| ER17-1553 | DEP | Proposed revision to PPA with Fayetteville PWC, filed 5/5/17 and supplemented 5/25/17. On 5/9/17 Fayetteville PWC intervened. On 7/20/17 FERC accepted filing. (Closed 9/30/17) | Q2 <u>Q3</u> 2017 |
| ER17-1557 | DEP | Proposed revision of PPA with NCEMPA to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/5/17 and supplemented 5/25/17. On 5/19/17 NCEMPA intervened. On 7/20/17 | Q2 <u>Q3</u> 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---|--|---|--|
| | | <u>FERC accepted filing. (Closed 9/30/17)</u> | |
| ER17-1576 | DEC | Proposed revision of PPA with Western Carolina University to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/9/17 and supplemented 5/24/17. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1582 | DEC | Proposed revision of PPA with Town of Highlands, NC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/10/17 and supplemented 5/24/17. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1611 | DEC | Proposed revision of PPA with Town of Prosperity, SC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/15/17 and supplemented 5/24/17. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1614 | DEC | Proposed revision of PPA with Town of Due West, SC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 5/17/17 and supplemented 5/24/17. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1617; ER17-1618 (DEP Certificate of Concurrence) | DEC, DEP | Available Capacity Sales Agreement between DEC and DEP, to allow the parties to sell each other capacity in instances where one party has more capacity than it needs and the other party would benefit from the acquisition of such capacity, filed 5/17/17. During second quarter 2017, several parties intervened and protested. <u>On 7/5/17 NCEMC withdrew protest. On 7/14/17 FERC issued deficiency letter. On 8/11/17 DEC responded to deficiency letter.</u> | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| ER17-1653 | DEP | Certificate of Concurrence with revised Interconnection Agreement filed by Dominion to reflect a new Dominion substation that will result in the reconfiguration and renaming of two 230kV Interconnection Points, filed 5/3/17. <u>On 7/12/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1771 | DEP | Proposed revision of PPA with Town of Winterville, NC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 6/7/17. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1783 | DEC | Proposed revision of PPAs with Piedmont EMC, Rutherford EMC and Haywood EMC to provide recovery of costs for coal ash pond remediation and closure in compliance with federal and state environmental requirements, filed 6/9/17. During second quarter 2017, several parties intervened. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1826 | DEC | Proposed amendments Attachment C-1 of Joint OATT to align processes used by DEC and DEP to calculate ATC and to consolidate Attachments C-1 and C-3 into Attachment C-1, filed 6/15/17. <u>During third quarter 2017, NCEMC and NCEMPA intervened. On 8/7/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1839 | DEP | Proposed revision of 2 PPAs with Piedmont EMC and Haywood EMC, filed 6/15/17. During second quarter 2017, Piedmont EMC and Haywood EMC intervened. <u>On 7/20/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1901 | DEP | Cancellation of 89 transmission service agreements for which service hasn't been provided or customers charged during the past 3 years, filed 6/26/17. <u>On 8/2/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 Q3 2017 |
| ER17-1967; | DEC, DEP | Updated triennial market power analysis focusing on generation | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-------------------------------------|--|---|--|
| ER17-1963 (withdrawn); ER17-1964 | | owned and controlled by Duke Energy MBR sellers, along with proposed revisions to MBR tariffs to reflect FERC's standard tariff provisions for third-party providers of ancillary services, including Primary Frequency Response service, filed 6/30/17. <u>No further activity.</u> | |
| <u>ER17-2016</u> | <u>DEC</u> | <u>Revised Power Purchase Agreement with Piedmont Municipal Power Agency to allow recovery of costs incurred in compliance with federal and state environmental requirements relating to the remediation and management of coal ash combustion residuals and closure of basins in NC and SC, filed 7/19/17. On 8/25/17 FERC accepted the filing. (Closed 9/30/17)</u> | <u>Q3 2017</u> |
| <u>ER17-2134</u> | <u>DEC</u> | <u>Cancellation of 88 transmission service agreements under the Joint OATT, filed 7/24/17. On 9/12/17 FERC accepted the filing. (Closed 9/30/17)</u> | <u>Q3 2017</u> |
| <u>ER17-2183</u> | <u>DEP</u> | <u>Cancellation of OATT service agreement with Coastal Carolina Clean Power, LLC Kornegay Plant, filed 7/31/17. On 9/20/17 FERC accepted filing. (Closed 9/30/17)</u> | <u>Q3 2017</u> |
| <u>ER17-2209</u> | <u>DEP</u> | <u>Refund report which demonstrates that making time-value refunds to Dominion under the Facilities Upgrade Agreement would result in a loss to DEP, filed 8/1/17. On 9/22/17 FERC accepted filing. (Closed 9/30/17)</u> | <u>Q3 2017</u> |
| <u>ER17-2210</u> | <u>DEC</u> | <u>Metering Agreement with SCE&G that inadvertently had not been filed previously, filed 8/1/17. On 9/22/17 FERC accepted filing. (Closed 9/30/17)</u> | <u>Q3 2017</u> |
| <u>ER17-2218</u> | <u>Duke Energy, DEC, DEP</u> | <u>Revisions to PJM-MISO Joint Operating Agreement (JOA) to improve implementation and operation of Pseudo-Ties by incorporating into the JOA standard definitions, rules and responsibilities between the two RTOs, to be effective 10/1/17, filed</u> | <u>Q3 2017</u> |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|------------------|--|---|--|
| | | <u>8/1/17. On 8/22/17 Duke Energy intervened on behalf of subsidiaries. During third quarter 2017, many parties intervened and filed comments, protests or answers. On 9/28/17 FERC issued a deficiency letter and required additional information within 30 days.</u> | |
| <u>ER17-2267</u> | <u>Duke Energy, DEC, DEP</u> | <u>PJM filing of unexecuted Interconnection Service Agreement with Linden VFT, L.L.C. and Public Service Electric and Gas Company (PSE&G) pertaining to transition from Firm to Non-Firm Transmission Withdrawal Rights and requesting that FERC resolve dispute between Linden and PSE&G, filed 8/9/17. On 9/13/17 Duke Energy intervened on behalf of subsidiaries. During third quarter 2017, many parties intervened and filed comments, protests or answers.</u> | <u>Q3 2017</u> |
| <u>ER17-2279</u> | <u>DEP</u> | <u>Re-filing Large Generator Interconnection Agreement with Craven County Wood Energy LP, inadvertently omitted when Notice of Succession filing was made in 2013, filed 8/10/17. On 9/27/17 FERC accepted filing. (Closed 9/30/17)</u> | <u>Q3 2017</u> |
| <u>ER17-2407</u> | <u>DEC</u> | <u>Revisions to 10 Power Purchase Agreements to add provisions that reflect electrical losses associated with generator step-up transformers in calculating the quantity of demand and energy billed, filed 8/31/17.</u> | <u>Q3 2017</u> |
| <u>ER17-2434</u> | <u>DEP</u> | <u>Revision of Network Integration Transmission Service Agreement and Network Operating Agreement with NCEMC and a new Metering Services Agreement, in conjunction with significant revisions to Power Sale Agreement with NCEMC, filed 9/5/17 and amended 9/7/17. On 9/8/17 Fayetteville PWC intervened. On 9/26/17 NCEMC intervened.</u> | <u>Q3 2017</u> |
| <u>ER17-2436</u> | <u>DEC</u> | <u>Revision of Catawba Nuclear Station Interconnection Agreement with NCEMC, to allow recovery of costs incurred in compliance with federal and state environmental requirements relating to the</u> | <u>Q3 2017</u> |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|------------------|--|--|--|
| | | <u>remediation and management of coal ash combustion residuals and closure of basins in NC and SC, filed 9/5/17. On 9/6/17 NCEMC intervened.</u> | |
| <u>ER17-2437</u> | <u>DEC</u> | <u>Revision of Power Purchase Agreement with NCEMC, to allow recovery of costs incurred in compliance with federal and state environmental requirements relating to the remediation and management of coal ash combustion residuals and closure of basins in NC and SC, filed 9/5/17. On 9/6/17 NCEMC intervened.</u> | <u>Q3 2017</u> |
| <u>ER17-2438</u> | <u>DEP</u> | <u>Revision of Power Supply Agreement with NCEMC, to allow recovery of costs incurred in compliance with federal and state environmental requirements relating to the remediation and management of coal ash combustion residuals and closure of basins in NC and SC, filed 9/5/17. On 9/6/17 NCEMC intervened.</u> | <u>Q3 2017</u> |
| <u>ER17-2439</u> | <u>DEP</u> | <u>Revision of Power Supply & Coordination Agreement with NCEMC, to allow recovery of costs incurred in compliance with federal and state environmental requirements relating to the remediation and management of coal ash combustion residuals and closure of basins in NC and SC, filed 9/5/17. On 9/6/17 NCEMC intervened.</u> | |
| <u>ER17-2499</u> | <u>DEC</u> | <u>PJM Pseudo-Tie Agreement for Cheoah Units, with TVA, Brookfield Energy Marketing LP and Smoky Mountain Transmission LLC, filed 9/18/17. On 9/25/17 DEC intervened, since it is the third-party Transmission Provider in the agreement and in Appendix 3, an agreement with TVA and Brookfield.</u> | <u>Q3 2017</u> |
| <u>ER17-2500</u> | <u>DEC</u> | <u>PJM Pseudo-Tie Agreement for Calderwood Units, with TVA, Brookfield Energy Marketing LP and Smoky Mountain Transmission LLC, filed 9/18/17. On 9/25/17 DEC intervened, since it is the third-party Transmission Provider in the agreement and in Appendix 3, an agreement with TVA and Brookfield.</u> | <u>Q3 2017</u> |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| P-432 | DEP | Walters Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| P-2206 | DEP | Yadkin-Pee Dee Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. Relicensing: A final BiOp was issued by NMFS on 4/29/13 with a finding of no jeopardy but with a newly imposed onerous monitoring provision. DEP filed a complaint in the US District Court, Western District NC on 12/23/13 appealing the final BiOp. On 8/26/14 DEP and NMFS entered into a settlement, which was approved by the court on 9/2/14. Pursuant to the settlement NMFS issued the revised final BiOp on 4/17/15 consistent with an agreed upon framework. FERC issued a new 40-year license on 4/1/15, but based on the disputed BiOp. On 5/1/15 American Rivers and the City of Rockingham requested rehearing of license terms related to flow. On 5/1/15 DEP also requested rehearing. On 10/15/15 FERC denied American Rivers' / Rockingham's request for rehearing and granted in part DEP's request for rehearing. The license term remains 40 years. On 12/10/15 American Rivers and Rockingham appealed the FERC Order and the NMFS BiOp to the Fourth Circuit Court of Appeals. DEP was permitted to intervene on 12/31/15. Petitioners filed their | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | opening brief on 4/19/16. FERC and NMFS each filed their briefs in response on 6/20/16. DEP filed its brief in response on 7/5/16. Petitioners filed reply brief 7/20/16. Oral argument was held in Richmond, VA on 3/23/17 <u>March 23, 2017</u> . <u>On 7/6/17, the Fourth Circuit Court of Appeals issued a unanimous decision upholding the FERC Order and the NMFS BiOp.</u> | |
| P-2232 | DEC | Catawba-Wateree Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. This included Duke Energy filing on June 30, 2017, its first annual report of its compliance with License operational requirements (lake levels, flow releases, and water quality). Relicensing: operating under annual licenses since expiration of prior license in 2008. The issuance of the final Biological Opinion (BiOp) by the National Marine Fisheries Service (NMFS) was the only outstanding item requiring completion before FERC could issue a new license for the Project. A final BiOp was issued by NMFS on 7/8/13 with a finding of no jeopardy. On 4/17/14 FERC denied DEC's motion requesting that FERC find that South Carolina waived issuance of the water quality certification for failure to act in a timely manner. FERC cannot issue the new license without either a water quality certification or a finding that the certification was waived. On 5/16/14 DEC filed a request for rehearing, appealing the 4/17/14 denial. On 7/11/14 environmental groups American Rivers and the SC Coastal Conservation Council, the SC Department of Health & | Q2 <u>Q3</u> 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | <p>Environmental Control (SCDHEC) and DEC executed a settlement whereby the environmental groups have dropped their objection to issuance of a water quality certification; DEC agrees to apply for a new certification; and all parties agree to seek a stay of proceedings in SC state courts re challenges to the previously proposed and then denied water quality certification. On 2/12/15 SCDHEC issued a new water quality certification, which has become final and nonappealable. On 3/3/15 SCDHEC notified FERC of the settlement and new final water quality certification. FERC issued a new operating license on 11/25/15, with a 40-year license term. On 12/21/15 DEC filed a rehearing request with FERC, specifically requesting a 50-year license term. On 1/19/16, FERC issued a tolling order effectively giving itself as much time as it needs to respond to DEC rehearing request. On 7/6/16 FERC issued an order on rehearing denying DEC's request for a 50-year license. FERC did approve some minor helpful changes to the license. On 8/22/16 DEC filed a petition for review in the Court of Appeals, challenging the license denial order. The court ordered FERC to file the administrative record by 10/21/16. On 10/25/16 the court established the briefing schedule. DEC's opening brief was filed on 12/5/16. FERC's brief was filed 2/2/17, and DEC's reply brief was filed 2/17/17. FERC's final brief was filed 3/9/17. The court will set the date for oral argument in a subsequent order.</p> <p><u>License Amendment:</u> On 5/5/17, DEC filed an application to amend the current License. This amendment consisted of several changes to the current License including Comprehensive Relicensing Agreement (CRA) member party requests, some voluntary updates requested by DEC, and changes supporting implementation of the CW Water</p> | |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
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| | | Management Group's (CWWMG) Water Supply Master Plan. <u>Both states have issued water quality certifications. The FERC put the application out for public notice, which closed 8/14/17.</u> | |
| P-2331 | DEC | Ninety-Nine Islands Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| P-2332 | DEC | Gaston Shoals Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| P-2380 | DEP | Marshall Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| P-2503 | DEC | Keowee-Toxaway Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| P-2601 | DEC | Bryson Hydroelectric Project. Various routine filings pursuant to | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | |
| P-2603 | DEC | Franklin Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| P-2619 | DEC | Mission Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| P-2686 | DEC | West Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| P-2692 | DEC | Nantahala Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and | Q2 Q3 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| | | wildlife protection plans. | |
| P-2694 | DEC | Queens Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| P-2698 | DEC | East Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| P-2740 | DEC | Bad Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 Q3 2017 |
| PA14-2 | Duke Energy, DEC, DEP | Audit by FERC Division of Audits and Accounting for Duke Energy's compliance with merger conditions from 1/1/11 to present, issued 1/29/14. Documents were provided in 2014, 2015 and first quarter 2016 in response to data requests. On 4/1/16 FERC approved the Staff's audit report and required Duke Energy to submit an implementation plan and status reports. On 9/22/16 FERC denied Duke Energy's request for rehearing. On 12/6/16 FERC directed Duke Energy to begin implementation of recommendations in 4/1/16 Audit Report and required submittal of a refund analysis within 60 days. On 5/10/17 FERC accepted the refund report; all actions recommended by FERC Staff have been completed. (Closed 6/30/17) | Q2 2017 |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------------|--|--|--|
| RP13-1359 | DEC, DEP | Transco cash-out report for the period 8/1/12 – 7/31/13 and report of cash-out refunds during that period, filed 9/27/13. DEC and DEP intervened on 10/9/13. No further activity. | Q2 <u>Q3</u> 2017 |
| RP17-553 | DEC, DEP | Transeo revised Tariff to reflect the company's current practice for processing nominations in the event of a failure of its electronic service system or of the electronic communication equipment of its shippers, filed 3/24/17. During second quarter 2017, several parties intervened, including DEC and DEP on 4/5/17. On 4/10/17 Transeo withdrew its filing. (Closed 6/30/17) | Q2 2017 |
| RP17-587 | DEC, DEP | East Tennessee's cashout report for November 2015 through October 2016, filed 3/30/17. During second quarter 2017, several parties intervened, including DEC and DEP on 4/12/17. <u>No further activity.</u> | Q2 <u>Q3</u> 2017 |
| RP17-623 | DEC, DEP | Texas Eastern proposed tariff revisions to to update indices used to calculate cash outs to properly reflect the pricing for imbalances, filed 3/31/17. During second quarter 2017, several parties intervened, including DEC and DEP on 4/12/17. On 4/26/17 FERC accepted Texas Eastern's filing. (Closed 6/30/17) | Q2 2017 |
| RP17-744 | DEP | East Tennessee Natural Gas filing of a letter agreement with DEP for firm transportation service under Rate Schedule FT-A, filed 5/12/17. On 5/23/17 DEP intervened. <u>On 7/5/17 FERC accepted filing. (Closed 9/30/17)</u> | Q2 <u>Q3</u> 2017 |
| <u>RP17-869</u> | <u>DEC, DEP</u> | <u>Texas Eastern submission of 2 alternative tariff records with rates for the Lebanon Extension Project which was completed early and will be available for service on 8/1/17, subject to request for rehearing in CP16-3, filed 6/29/17. On 7/11/17 DEC and DEP intervened. During third quarter 2017, several parties intervened. On 7/28/17 FERC accepted the tariff record which did not reflect the Commission's action on the request for rehearing. (Closed 9/30/17)</u> | <u>Q3</u> 2017 |

Attachment A

Open FERC Dockets – ~~Second~~ Third Quarter 2017

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding | Quarter Reported Pursuant to Reg. Condition 3.10 |
|--|--|--|--|
| <u>RP17-942</u> | <u>DEC, DEP</u> | <u>Transco revision to Gas Tariff to add section on liability to the Terms and Conditions, to establish a bilateral liability provision that limits liability to direct damages, filed 7/31/17. On 8/11/17 DEC and DEP intervened. During third quarter, several other parties intervened or filed comments. On 8/30/17 FERC accepted the filing. (Closed 9/30/17)</u> | <u>Q3 2017</u> |
| <u>RP17-952</u> | <u>DEC, DEP</u> | <u>Transco filing of initial recourse rates for the Atlantic Sunrise Project, portions of which will be available for service on 9/11/17, filed 8/1/17. On 8/11/17 DEC and DEP intervened. During third quarter 2017, several other parties intervened. On 8/30/17 FERC accepted the filing. On 9/6/17 Transco filed notice that partial path service began 9/1/17. (Closed 9/30/17)</u> | <u>Q3 2017</u> |
| <u>RP17-964 ; RP17-967</u> | <u>DEC, DEP</u> | <u>Texas Eastern's Joint Settlement Extension Agreement with shippers who agreed to participate in a dispute resolution process, filed 8/4/17. On 8/9/17 Texas Eastern asked FERC to consider the agreement in a new docket (RP17-967) instead. On 8/16/17 DEC and DEP intervened in both dockets. During third quarter 2017, many other parties intervened.</u> | <u>Q3 2017</u> |
| <u>RP17-1049</u> | <u>DEC, DEP</u> | <u>Transco cash-out report for the period 8/1/16 through 7/31/17, filed 9/14/17. On 9/26/17 DEC and DEP intervened. During third quarter 2017, several parties intervened.</u> | <u>Q3 2017</u> |
| <u>RP17-1053</u> | <u>DEC, DEP</u> | <u>Dominion Energy Carolina Gas Transmission LLC report of proposed Fuel Retainage Percentages for the 12-month period beginning 11/1/17 and report of proposed surcharge for outstanding balance in the Transportation Deferred Account, filed 9/15/17. On 9/27/17 DEC and DEP intervened. During third quarter 2017, 2 other parties intervened.</u> | <u>Q3 2017</u> |

Attachment B

Periodic Reports Filed with FERC – ~~Second~~ Third Quarter 2017

| Docket No(s). | Filing Entity (Duke Energy, DEC, DEBS) | Description of Report |
|-------------------------------------|--|--|
| N/A | DEC, DEP | Form 1—Annual Report for Major Electric Utilities |
| N/A | DEC, DEP | Form 3Q – Quarterly Financial Report |
| N/A | Duke Energy | Form 561—Annual Report of Interlocking Positions |
| N/A | DEC, DEP | FERC No. 582—Annual Charges Report |
| N/A | DEC, DEP | Form 714—Annual Electric Control and Planning Area Report |
| ER05-1236 | DEC | Independent Entity Independent Market Monitor Reports submitted quarterly by Potomac Economics, Ltd. |
| ER09-1165; ER11-3585 | DEC, DEP | Annual Update for OATT Formula Transmission Rate |
| QA07-53; QA08-100 | DEC, DEP | Annual Operational Penalty Assessments and Distributions |
| RM02-14 | Duke Energy | Money Pool Agreement |